

# Secure Key-Alternating Feistel Ciphers Without Key Schedule

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**Abstract** Light key schedule has found many applications in lightweight blockciphers, e.g. LED, PRINTcipher and LBlock. In this paper, we study an interesting question of how to design a as light as possible key schedule from the view of provable security and revisit the four-round key-alternating Feistel cipher by Guo and Wang in Asiacrypt 18. We optimize the construction by Guo and Wang and propose a four-round key-alternating Feistel cipher with an ultra-light (in fact non-existent) key schedule. We prove our construction retain the same security level as that of Guo and Wang's construction. To the best of our knowledge, this is the first provably secure key-alternating Feistel cipher using identical round function and one  $n$ -bit master key but with ultra-light (non-existent) key schedule.

We also investigate whether the same refinement works for the three-round key-alternating Feistel cipher. This time we show a distinguishing attack on such three-round construction with only four encryption queries. On the positive side, we prove that three-round key-alternating Feistel cipher with a suitable key schedule is a pseudorandom permutation. This is also the first provable-security result for three-round key-alternating Feistel cipher.

**Keywords** blockciphers, key schedule, key-alternating Feistel, provable security

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## 1 Introduction

Blockciphers play an fundamental role for cryptography in information security, which usually consist of round functions and key schedules. As one of the significant modules in blockciphers, key schedules have not received deserved attention. Commonly, the key schedule takes as input a master key and outputs the so-called round keys that are used in each round. In the case of AES-128, the master key is a 128-bit string and the total length of the round keys is  $11 \cdot 128 = 1408$  bits. The AES-128 key schedule can be seen as a function from  $\{0, 1\}^{128}$  to  $\{0, 1\}^{1408}$ .

Scientifically designing the key schedule part of block ciphers is an important but not well-understood subject. In general, it is not yet clear what practical and necessary principles a good key schedule has to follow. In order to resist some existing attacks, there are some properties on what a key schedule should *not* have, e.g. avoiding (semi-) weak keys, equivalent keys, symmetry and complementation properties, and actual key information insufficiency [1-2]. Moreover, it should not be possible to mount trivial guess-and-determine attack attacks, meet-in-the-middle attacks, related-key attacks, slide-attacks or invariant subspace attacks. Considering the key schedule from the view of provable security is another direction. In [3], Chen et al. use a lovely key schedule instantiated with a linear orthomorphism to minimize a

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two-round Even-Mansour cipher from just one  $n$ -bit master key and one  $n$ -bit permutation. They prove such AES-like construction can achieve beyond the birthday bound security. Recently, Guo and Wang (GW) [4] also use a linear-orthomorphism key schedule to obtain a birthday-bound secure four-round key-alternating Feistel (KAF) cipher from just one  $n$ -bit master key and one  $n$ -bit function. They claim this four-round construction is theoretically *minimal* in the sense that removing any component of this construction would ruin the security.

In addition to providing necessary cryptographic security, the efficiency of the key schedule is also of great significance, especially for lightweight blockciphers. Lightweight blockciphers are often employed in source constrained environments such as RFID tags and sensor networks. In these lightweight ciphers, the key schedule is commonly highly simplified to optimize the software and hardware efficiency. Some key schedules have round-by-round iteration with low diffusion [5-7], or do simple permutation or linear operations on master keys [8-9]. In particular, some lightweight ciphers have ultra-light (in fact non-existent) key schedule, and directly use master keys in each round [10-11].

**Our Contributions** We start with an interesting question of how to design a as light as possible key schedule from the view of provable security and revisit the four-round KAF by GW. Although the key schedule instantiated with linear orthomorphism can be efficient in some instances, it is still unsatisfying for lightweight ciphers when applied in many source constrained environments. In this paper, we optimize the construction by GW and propose a new four-round KAF with an ultra-light (non-existent) key schedule. Interestingly, we find the orthomorphism in their construction can be removed with a slight modification on the first round, i.e., applying one-bit rotation after the first round function. We prove this refined construction can achieve the birthday-bound security. Compared with GW's construction, our proposal has two advantages. The most significant one is that the key schedule is ultra-light (non-existent), which needs no computation/memory costs. One can simply bitwise exclusive-or (xor) the  $n$ -bit master key in corresponding rounds without bothering to any round-key derive function. Secondly, the one-bit rotation is more efficient than the linear orthomorphism used in GW's construction in most applications, because it only costs a one-bit shift rather than addition or field multiplication. We believe our construction is theoretically *minimal* (or even lighter than GW's construction) since removing the one-bit rotation or any other components would make it totally insecure. To the best of our knowledge, this is the first provably secure key-alternating Feistel cipher using identical round functions and  $n$ -bit master key but without any key schedule.

On the other hand, we also investigate whether the same one-bit rotation works for three-round single-key KAF with identical round functions. This time we find such three-round construction is not a pseudo-random permutation (PRP) and show a distinguishing attack on it with only four encryption queries. On the positive side, we prove that three-round KAF with a suitable key schedule can achieve PRP security. This is also the first provable-security result for three-round key-alternating Feistel cipher, which may be independent of the interest.

**Organizations.** We first establish the notation and recall definitions in Section 2. In Section 3, we describe our new four-round KAF construction without key schedule and prove the security of it. We then investigate the three-round KAF, and show a distinguishing attack on three-round KAF without key schedule and also prove the security of three-round KAF with a suitable key schedule in Section 4. We finally give the conclusion in Section 5.

## 2 Preliminaries

**Notation.** If  $\mathcal{X}$  is a set, then  $X \xleftarrow{\$} \mathcal{X}$  denotes the operation of picking  $X$  from  $\mathcal{X}$  uniformly at random.  $\{0, 1\}^n$  denotes the set of all  $n$ -bit strings. We denote  $N = 2^n$  for simplicity. For any two strings  $X, Y$  of equal length,  $X \oplus Y$  denotes their bitwise exclusive-or, and  $X||Y$  denotes their concatenation.  $|X|$

denotes the bit length of string  $X$ .  $\text{Func}(n)$  denotes the set of all functions from  $\{0, 1\}^n$  to  $\{0, 1\}^n$ , and  $\text{Perm}(n)$  denotes the set of all permutation on  $\{0, 1\}^n$ .

**Key-Alternating Feistel Cipher.** Given a function  $f : \{0, 1\}^n \rightarrow \{0, 1\}^n$  and a  $n$ -bit key  $K$ , define the permutation  $\Psi_K^f$  on  $\{0, 1\}^{2n}$  as  $\Psi_K^f(L\|R) = (R, L \oplus f(R \oplus K))$  where  $L$  and  $R$  are respectively the left and right  $n$ -bit halves of the input. A key-alternating Feistel cipher (KAF) with  $r$  rounds is specified by  $r$  public random functions  $f = (f_1, \dots, f_r)$  from  $\{0, 1\}^n$  to  $\{0, 1\}^n$  and a round-key vector  $K = (K_1, \dots, K_r)$  (denote by  $\mathcal{K}$  the set of all key  $K$ ):

$$\text{KAF}_K^f(L\|R) = \Psi_{K_r}^{f_r} \circ \dots \circ \Psi_{K_1}^{f_1}(L\|R).$$

These functions may be completely independent, or correlated or even identical. In particular, we denote by KAFSF the variant of KAF with identical round function, i.e.,

$$\text{KAFSF}_K^f(L\|R) = \Psi_{K_r}^f \circ \dots \circ \Psi_{K_1}^f(L\|R).$$

The key spaces of these schemes are not fixed and depend on the concrete contexts.

**Security Definitions.** We define two types of security notion with respect to the ability of the adversary  $\mathcal{A}$ , namely pseudorandomness permutation (PRP) and strong pseudorandomness permutation (SPRP), where in the former  $\mathcal{A}$  can only make encryption queries to the blockcipher while in the latter  $\mathcal{A}$  can make both encryption and decryption queries to the blockcipher. Formally, for any  $q_e$  and  $q_f$ , we define the PRP security of a  $r$ -round key-alternating Feistel cipher KAF as

$$\begin{aligned} & \text{Adv}_{\text{KAF}}^{\text{PRP}}(q_e, q_f) \\ &= \max_{\mathcal{A}} |\Pr[K \xleftarrow{\$} \mathcal{K}, f \xleftarrow{\$} (\text{Func}(n))^r : \mathcal{A}^{\text{KAF}, f} = 1] - \Pr[\pi \xleftarrow{\$} \text{Perm}(n), f \xleftarrow{\$} (\text{Func}(n))^r : \mathcal{A}^{\pi, f} = 1]| \end{aligned}$$

where the maximal is taken over all distinguishers  $\mathcal{A}$  that ask at most  $q_e$  encryption queries to the permutation oracle and at most  $q_f$  queries to each function oracle. Similarly, we define the SPRP security of KAF as

$$\begin{aligned} & \text{Adv}_{\text{KAF}}^{\text{SPRP}}(q_e, q_f) \\ &= \max_{\mathcal{A}} |\Pr[K \xleftarrow{\$} \mathcal{K}, f \xleftarrow{\$} (\text{Func}(n))^r : \mathcal{A}^{\text{KAF}, \text{KAF}^{-1}, f} = 1] - \Pr[\pi \xleftarrow{\$} \text{Perm}(n), f \xleftarrow{\$} (\text{Func}(n))^r : \mathcal{A}^{\pi, \pi^{-1}, f} = 1]| \end{aligned}$$

where the maximal is taken over all distinguishers  $\mathcal{A}$  that asks at most  $q_e$  queries to the permutation oracle and at most  $q_f$  queries to each function oracle.

**The H-coefficient Technique.** Following the notation from Hoang and Tessaro [12], it is useful to consider interactions between an adversary  $\mathcal{A}$  with an abstract system  $\mathbf{S}$  which answers  $\mathcal{A}$ 's queries. The resulting interaction can then be recorded with a transcript  $\tau = ((X_1, Y_1), \dots, (X_q, Y_q))$ . Let  $p_{\mathbf{S}}(\tau)$  denote the probability that  $\mathbf{S}$  produces  $\tau$ . It is known that  $p_{\mathbf{S}}(\tau)$  is the description of  $\mathbf{S}$  and independent of the adversary  $\mathcal{A}$ . Let  $X$  denote the probability distribution of the transcript  $\tau$  when  $\mathcal{A}$  interacting with  $\mathbf{S}$ . We say that a transcript is attainable for system  $\mathbf{S}$  if  $\Pr[X = \tau] > 0$ .

We now describe the H-coefficient technique of Patarin [13-14]. Generically, it considers an adversary that aims at distinguishing a "real" system  $\mathbf{S}_{\text{re}}$  from an "ideal" system  $\mathbf{S}_{\text{id}}$ . The interactions of adversary with those systems induce two transcript distributions  $X_{\text{re}}$  and  $X_{\text{id}}$  respectively. It is well known that the statistical distance  $\text{SD}(X_{\text{re}}, X_{\text{id}})$  is an upper bound on the distinguishing advantage of  $\mathcal{A}$ .

**Lemma 1.** [13-14] Let  $\Theta = \Theta_{\text{good}} \sqcup \Theta_{\text{bad}}$  be the set of attainable transcripts for ideal system  $\mathbf{S}_{\text{id}}$ . If there exists  $\epsilon \geq 0$  such that for any  $\tau \in \Theta_{\text{good}}$ , it has

$$\frac{p_{\mathbf{S}_{\text{re}}}(\tau)}{p_{\mathbf{S}_{\text{id}}}(\tau)} \geq 1 - \epsilon.$$

Then  $\text{SD}(X_{\text{re}}, X_{\text{id}}) \leq \epsilon + \Pr[X_{\text{id}} \in \Theta_{\text{bad}}]$ .

At the end of this section, we introduce a simple and efficient operation, i.e. one-bit rotation  $\varepsilon$ . It has been used in Luby-Rackoff construction [15-16]. Note that the gap between Luby-Rackoff Feistel construction and key-alternating Feistel construction is non-negligible and one cannot simply borrow the security results of the former to the latter. We will use the following useful property of  $\varepsilon$  in our construction. The proof can be found in [15].

**Lemma 2.** Let  $\varepsilon$  be the rotation of one bit. Then for any  $c \in \{0, 1\}^n$ ,

$$\Pr[x \xleftarrow{\$} \{0, 1\}^n : x \oplus \varepsilon(x) = c] \leq \frac{2}{N}.$$

### 3 Four-Round Single-Key KAFSF Without Key Schedule

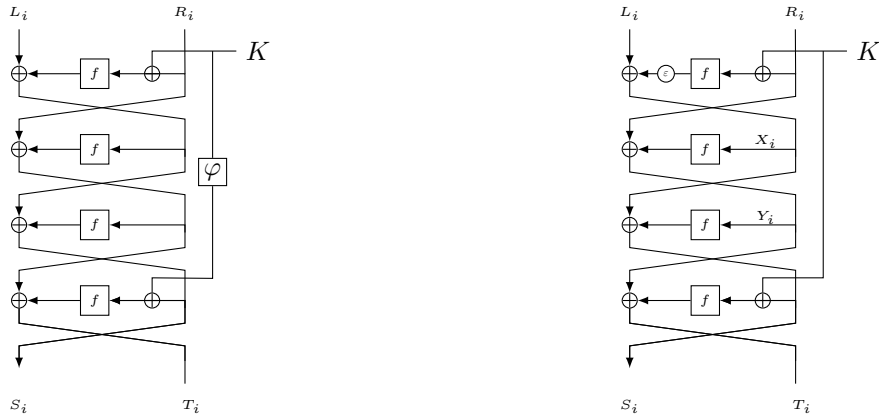


Figure 1: Left: Guo and Wang’s four-round single-key KAFSF with key-schedule function  $\varphi$ . Right: Our four-round single-key KAFSF without key schedule, where  $\varepsilon$  is the rotation of one bit.

In this section, we propose a four-round single-key KAFSF without key schedule and prove that it is a strong pseudorandom permutation (SPRP). See the right of Fig. 1 for an illustration.

Our security result for four-round KAF is as follows.

**Theorem 1.** For the four-round single-key KAFSF without key schedule, it holds

$$\text{Adv}_{\text{KAFSF}}^{\text{SPRP}}(q_e, q_f) \leq \frac{4q_e q_f}{N} + \frac{13q_e^2}{N} + \frac{q_e^2}{2N^2}.$$

In the remaining of this section, we will prove Theorem 1. Following the notational framework of Section 2, the real system  $\mathbf{S}_{\text{re}}$  here is a pair of oracles (KAFSF,  $f$ ) while the ideal system  $\mathbf{S}_{\text{id}}$  is a pair of oracles ( $\pi, f$ ), where  $f$  is the public random function in KAFSF and  $\pi$  is a perfect  $2n$ -bit random permutation. The adversary  $\mathcal{A}$  is assumed to be computationally unbounded and hence deterministic without loss of generality.  $\mathcal{A}$  is also assumed to never make repeated queries since it only receives the same response if asking the same query. The interactions of  $\mathcal{A}$  with its system is recorded by a pair of  $(\mathcal{Q}_E, \mathcal{Q}_F)$ , where  $\mathcal{Q}_E = ((L_1 \| R_1, S_1 \| T_1), \dots, (L_{q_e} \| R_{q_e}, S_{q_e} \| T_{q_e}))$  is the  $q_e$  construction query-response tuples when interacting with the permutation oracle (KAFSF in system  $\mathbf{S}_{\text{re}}$  or  $\pi$  in system  $\mathbf{S}_{\text{id}}$ ), and  $\mathcal{Q}_F = ((x_1, y_1), \dots, (x_{q_f}, y_{q_f}))$  is the  $q_f$  primitive query-response tuples when interacting with the function oracle  $f$ . For convenience, we will slightly modify the security experiment by revealing to the adversary  $\mathcal{A}$  the secret key  $K$  in the real system, or a "dummy" key  $K$  chosen uniformly at random from  $\{0, 1\}^n$  if in the ideal system. Note that this can only enlarge the distinguishing advantage of the adversary  $\mathcal{A}$  because it can simply ignore this piece of information if it wants. All in all, the transcript of the attack is encoded by the triplet  $\tau = (\mathcal{Q}_E, \mathcal{Q}_F, K)$ .

**Bad Transcripts.** Denote by  $\Theta$  the set of all attainable transcripts for ideal system  $\mathbf{S}_{\text{id}}$ , denote by  $\mathcal{Q}_F^+ = \{x_1, \dots, x_{q_f}\}$  the set of input values to function  $f$ . We begin our proof by defining bad transcripts.

**Definition 1.** We say a transcript  $\tau = (\mathcal{Q}_E, \mathcal{Q}_F, K)$  is bad if there exists  $(L\|R, S\|T) \in \mathcal{Q}_E$  and  $x \in \mathcal{Q}_F^+$  such that  $R \oplus K = x$  or  $S \oplus K = x$ . Denote by  $\Theta_{\text{bad}}$ , resp.  $\Theta_{\text{good}}$  the set of bad, respectively good transcripts.

We upper bound the probability to obtaining a bad transcript in the ideal world.

**Lemma 3.** For any integers  $q_e$  and  $q_f$ , one has

$$\Pr[X_{\text{id}} \in \Theta_{\text{bad}}] \leq \frac{2q_e q_f}{N}.$$

*Proof.* For each of  $q_e q_f$  pairs of  $(LR, ST)$  and  $x$ , the event  $(K \oplus R = x \vee K \oplus S = x)$  happens with probability at most  $2/N$  since  $K$  is uniformly chosen. Hence by the union bound, the probability that  $\tau$  is bad is at most  $2q_e q_f/N$ .

**Analysis of Good Transcripts.** We now analyze good transcripts when adversary  $\mathcal{A}$  interacting with these two systems. Let  $\tau = (\mathcal{Q}_E, \mathcal{Q}_F, K)$  be a good transcript. Since in the ideal system, the construction oracle is a perfect  $2n$ -bit random permutation and independent of the function  $f$ , we simply have

$$\text{Ps}_{\text{id}}(\tau) = \frac{1}{|\mathcal{K}| \cdot N^{q_f}} \cdot \prod_{i=0}^{q_e-1} \frac{1}{N^2 - i}. \quad (1)$$

We now proceed to lower bound the probability to obtain a good transcript in the real system. For  $1 \leq i \leq q_e$ , we denote by  $X_i = \varepsilon(f(R_i \oplus K)) \oplus L_i$  the input to the second round function, and  $Y_i = f(S_i \oplus K) \oplus T_i$  the input to the third round function. We define some bad conditions as follows:

- c.1 there exists some  $i$  such that  $X_i \in \mathcal{Q}_F^+$  or  $Y_i \in \mathcal{Q}_F^+$ ;
- c.2 there exists a pair of  $(i, j)$  for  $i \neq j$  satisfying at least one of the following conditions:
  - c.2.1  $X_i \in \{R_i \oplus K, Y_i, S_i \oplus K, R_j \oplus K, X_j, Y_j, S_j \oplus K\}$ ;
  - c.2.2  $Y_i \in \{R_i \oplus K, S_i \oplus K, R_j \oplus K, X_j, Y_j, S_j \oplus K\}$ ;
  - c.2.3  $X_j \in \{R_i \oplus K, S_i \oplus K, R_j \oplus K, Y_j, S_j \oplus K\}$ ;
  - c.2.4  $Y_j \in \{R_i \oplus K, S_i \oplus K, R_j \oplus K, S_j \oplus K\}$ .

If none of above conditions is fulfilled, then given tuples  $\mathcal{Q}_F$  and a key  $K$ , the occurrence of  $\tau$  in the real system is equivalent to the event of  $2q_e$  new and distinct equations on the random round-function  $f$ , which is relatively easy to compute. We first consider the first bad condition. Since both  $R_i \oplus K$  and  $S_i \oplus K$  are fresh inputs to function  $f$ , the values  $X_i$  and  $Y_i$  remain uniformly distributed. Hence by the union bound

$$\Pr[\text{c.1}] \leq \frac{2q_e q_f}{N}.$$

We then analyze the condition c.2.1:

- For any element  $x \in \{R_i \oplus K, S_i \oplus K, R_j \oplus K, S_j \oplus K\}$ , the equation  $X_i = x$  holds with probability at most  $1/N$  because  $X_i$  is uniformly distributed.
- For  $x = Y_i$ , if  $S_i = R_i$ , then  $\Pr[X_i = x] = \Pr[\varepsilon(f(R_i \oplus K)) \oplus f(R_i \oplus K) = L_i \oplus T_i] = 2/N$  due to Lemma 2. Otherwise  $\Pr[X_i = x] = 1/N$  since both  $f(R_i \oplus K)$  and  $f(S_i \oplus K)$  are uniformly distributed and independent of each other.
- For  $x = X_j$ , if  $R_i \neq R_j$ , then  $\Pr[X_i = x] = 1/N$  since both  $\varepsilon(f(R_i \oplus K))$  and  $\varepsilon(f(R_j \oplus K))$  are uniformly distributed and independent of each other. If  $R_i = R_j$ , then necessarily  $X_i \neq x$  since otherwise this would contradict the hypothesis that  $L_i R_i$  and  $L_j R_j$  are two distinct queries.
- For  $x = Y_j$ , if  $R_i \neq S_j$ , then  $\Pr[X_i = x] = 1/N$  since both  $\varepsilon(f(R_i \oplus K))$  and  $f(S_j \oplus K) \oplus T_j$  are uniformly distributed and independent of each other. Otherwise  $\Pr[X_i = x] = \Pr[\varepsilon(f(R_i \oplus K)) \oplus f(S_j \oplus K) = L_i \oplus T_j] = 2/N$  which comes from Lemma 2.

By the union bound and summing over above terms, for any pair  $(i, j)$ , we have

$$\Pr[\text{c.2.1}] \leq \frac{9}{N}.$$

By similar arguments, we can obtain

$$\Pr[\text{c.2.2}] \leq \frac{7}{N},$$

and

$$\Pr[\text{c.2.3}] \leq \frac{6}{N},$$

and

$$\Pr[\text{c.2.4}] \leq \frac{4}{N},$$

for any pair  $(i, j)$ . Since there are at most  $\binom{q_e}{2}$  such pairs, the probability of the occurrence of event *c.2* is at most

$$\Pr[\text{c.2}] \leq \binom{q_e}{2} \cdot \frac{26}{N} \leq \frac{13q_e^2}{N}.$$

As mentioned before, if none of above bad conditions is fulfilled, then given tuples  $\mathcal{Q}_F$  and a key  $K$ , the probability  $\text{ps}_{\text{re}}(\tau)$  is equivalent to the probability of below event:

$$\begin{aligned} f(X_1) &= R_1 \oplus Y_1, \dots, f(X_{q_e}) = R_{q_e} \oplus Y_{q_e}, \\ f(Y_1) &= S_1 \oplus X_1, \dots, f(Y_{q_e}) = S_{q_e} \oplus X_{q_e}, \end{aligned}$$

where  $X_1, \dots, X_{q_e}, Y_1, \dots, Y_{q_e}$  are  $2q_e$  fresh and distinct input values to random function  $f$ . It is clear that this event holds with probability  $1/N^{2q_e}$ . Hence for any  $\tau \in \Theta_{\text{good}}$ ,

$$\begin{aligned} \frac{\text{ps}_{\text{re}}(\tau)}{\text{ps}_{\text{id}}(\tau)} &\geq \frac{\frac{1}{|\mathcal{K}| \cdot N^{q_f}} \cdot \left(1 - \frac{2q_e q_f}{N} - \frac{13q_e^2}{N}\right) \cdot \frac{1}{N^{2q_e}}}{\frac{1}{|\mathcal{K}| \cdot N^{q_f}} \cdot \prod_{i=0}^{q_e-1} \frac{1}{N^2-i}} \\ &\geq \left(1 - \frac{2q_e q_f}{N} - \frac{13q_e^2}{N}\right) \cdot \left(1 - \frac{q_e^2}{2N^2}\right) \\ &\geq 1 - \frac{2q_e q_f}{N} - \frac{13q_e^2}{N} - \frac{q_e^2}{2N^2}. \end{aligned}$$

Applying Lemma 1 and combining above equation and Lemma 3, the distinguishing advantage of the adversary  $\mathcal{A}$  can be bounded by

$$\text{SD}(X_{\text{re}}, X_{\text{id}}) \leq \frac{4q_e q_f}{N} + \frac{13q_e^2}{N} + \frac{q_e^2}{2N^2},$$

which concludes the proof of Theorem 1.

**Remark.** Note that the security result of our 4-round KAFSF can also be generalized to multi-user security via a similar analysis of Guo and Wang [4], i.e., partitioning the key into two good and bad sets instead of partitioning transcripts, while the security result of our 3-round KAFSF (will be analyzed in next section) cannot since there exists certain bad transcripts.

## 4 Three-Round Single-Key KAFSF

One natural question is whether our refinement works for three-round key-alternating Feistel cipher. In this section, we will show a distinguishing attack on 3-round KAFSF without key schedule. After that, we present a PRP-secure 3-round single-key KAFSF with a suitable key schedule.

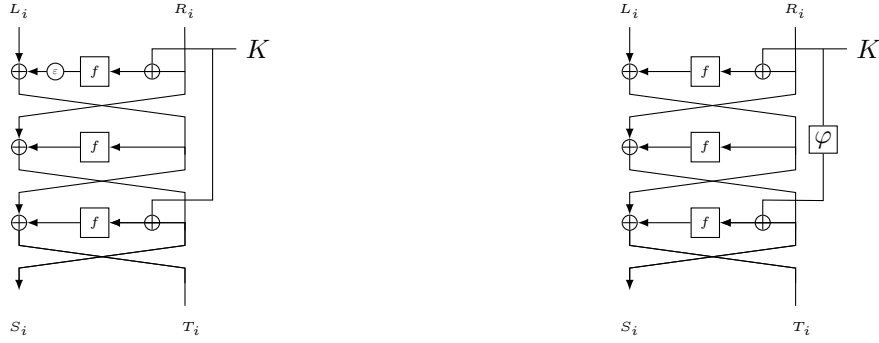


Figure 2: Left: 3-round single-key KAFSF without key schedule, where  $\varepsilon$  is the rotation of one bit. Right: 3-round single-key KAFSF with key-schedule function  $\varphi$ .

#### 4.1 Attack on 3-Round KAFSF Without Key Schedule

We show a distinguishing attack on 3-round KAFSF without key schedule where the one-bit rotation  $\varepsilon$  is applied after the first round function (See the left of Fig. 2. for an illustration). This attack is similar to that in [16]. Same analysis would work when the rotation  $\varepsilon$  is applied after the last round function. Our attack on 3-round KAFSF requires four forward queries, and is as follows:

1. The adversary first asks  $L_1\|R_1$  and  $L_2\|R_1$  to the three-round KAFSF, and receives the responses  $S_1\|T_1$  and  $S_2\|T_2$  respectively.
2. Let  $\Delta = \varepsilon(L_1 \oplus L_2 \oplus T_1 \oplus T_2)$ . The adversary then asks  $S_1\|0$  and  $S_2\|\Delta$  to KAFSF, and receives the responses  $S_3\|T_3$  and  $S_4\|T_4$  respectively. One can check the equation  $S_3 \oplus S_4 = S_1 \oplus S_2$  holds with probability 1.

When the adversary is interacting with an  $2n$ -bit random permutation, the probability of the event  $S_3 \oplus S_4 = S_1 \oplus S_2$  occurring is about  $1/N^2$ . Hence the success probability to distinguish this KAFSF from an  $2n$ -bit random permutation is about  $1 - 1/N^2 \approx 1$ .

**Remark.** As pointed out by Nandi [16], similar attack still works for the other simple variants of function  $\varepsilon$ , e.g. when  $\varepsilon(x) = \alpha \cdot x$  (the Galois field multiplication by a primitive element  $\alpha$ ) or any other linear function  $\varepsilon$  as long as  $\Pr[x \xrightarrow{\$} \{0, 1\}^n : \varepsilon(\varepsilon(x \oplus c_1)) \oplus \varepsilon(\varepsilon(x \oplus c_2)) = \Delta]$  is non-negligible for some fixed constants  $\Delta$ ,  $c_1$ , and  $c_2$ .

#### 4.2 PRP-Secure 3-Round Single-Key KAFSF With a Suitable Key Schedule

Besides providing an attack on 3-round single-key KAFSF without key schedule, on the positive side, we propose a 3-round single-key KAFSF with key-schedule function  $\varphi$  and prove that it achieves PRP security. See the right of Fig. 2. for an illustration.

**Key Schedule.** We begin by defining the key schedule used in our construction.

**Definition 2** (orthomorphism). We say  $\varphi$  is an orthomorphism if both  $\varphi$  and  $x \mapsto x \oplus \varphi(x)$  are a permutation on  $\{0, 1\}^n$ .

Note that  $\varphi(x_L\|x_R) = x_L\|x_L \oplus x_R$  and  $\varphi(x) = c \odot x$  (where  $\odot$  is the extension field multiplication) are two instances of orthomorphisms. Orthomorphisms have found many cryptographic applications, e.g. in [4, 17-18].

Our construction achieves PRP security when scheduling the key by the orthomorphism  $\varphi$ . The security result for 3-round single-key KAFSF using the orthomorphism  $\varphi$  is as follows.

**Theorem 2.** For 3-round single-key KAFSF using an orthomorphism  $\varphi$  as the key-schedule function, it holds

$$\text{Adv}_{\text{KAFSF}}^{\text{PRP}}(q_e, q_f) \leq \frac{3q_e q_f}{N} + \frac{6q_e^2}{N} + \frac{q_e^2}{2N^2}.$$

In the remaining of this section, we will prove Theorem 2.

**Bad Transcripts.** We use exactly the same notations as in the proof of 4-round KAFSF in Section 3. Note that here we only allow the adversary  $\mathcal{A}$  to make encryption queries since we are aiming at proving PRP security. Let  $\tau = (\mathcal{Q}_E, \mathcal{Q}_F, K)$  be the transcript that records the interactions of the adversary  $\mathcal{A}$  with those systems, where  $\mathcal{Q}_E = ((L_1 \| R_1, S_1 \| T_1), \dots, (L_{q_e} \| R_{q_e}, S_{q_e} \| T_{q_e}))$  and  $\mathcal{Q}_F = ((x_1, y_1), \dots, (x_{q_f}, y_{q_f}))$ . Denote by  $\mathcal{Q}_F^+ = \{x_1, \dots, x_{q_f}\}$  the set of input values to function  $f$ . Denote by  $X_{\text{re}}$  resp.  $X_{\text{id}}$  the transcript distribution when  $\mathcal{A}$  interacting with system  $\mathbf{S}_{\text{re}} = (\text{KAFSF}, f)$ , respectively system  $\mathbf{S}_{\text{id}} = (\pi, f)$ . We then define bad transcripts.

**Definition 3.** We say that an attainable transcript  $\tau = (\mathcal{Q}_E, \mathcal{Q}_F, K)$  is bad if at least one of the following conditions is fulfilled:

- there exists two distinct construction queries  $(L_i \| R_i, S_i \| T_i)$  and  $(L_j \| R_j, S_j \| T_j)$  in  $\mathcal{Q}_E$  such that  $S_i = S_j$ ;
- there exists  $(L_i \| R_i, S_i \| T_i) \in \mathcal{Q}_E$  and  $x_j \in \mathcal{Q}_F^+$  such that  $K \oplus R_i = x_j$  or  $\varphi(K) \oplus S_i = x_j$ ;
- there exists two (not necessarily distinct)  $(L_i \| R_i, S_i \| T_i)$  and  $(L_j \| R_j, S_j \| T_j)$  in  $\mathcal{Q}_E$  such that  $R_i \oplus K = S_j \oplus \varphi(K)$ ;

Denote by  $\Theta_{\text{bad}}$ , resp.  $\Theta_{\text{good}}$  the set of bad, respectively good transcripts.

We then upper bound the chance to obtain a bad transcript in the ideal world.

**Lemma 4** (Bad Transcripts). For any integers  $q_e$  and  $q_f$ , one has

$$\Pr[X_{\text{id}} \in \Theta_{\text{bad}}] \leq \frac{q_e^2}{2(N+1)} + \frac{2q_e q_f + q_e^2}{N}.$$

*Proof.* We consider these three conditions one by one. Firstly, for each of the  $\binom{q_e}{2}$  pairs of  $(L_i \| R_i, S_i \| T_i)$  and  $(L_j \| R_j, S_j \| T_j)$ , the event of  $S_i = S_j$  occurs with probability at most  $N^2(N-1)/N^2(N^2-1) = 1/(N+1)$  because in the ideal world  $\pi$  is a perfect  $2n$ -bit random permutation and independent of the function  $f$ . For each of the  $q_e q_f$  pairs of  $(L_i \| R_i, S_i \| T_i)$  and  $x_j$ , the chance of the event  $(K \oplus R_i = x_j \vee \varphi(K) \oplus S_i = x_j)$  occurring is at most  $2/N$  since  $K$  is uniformly chosen and  $\varphi$  is a permutation over  $\{0, 1\}^n$ . On the other hand, for each of the  $q_e^2$  pairs of  $(L_i \| R_i, S_i \| T_i)$  and  $(L_j \| R_j, S_j \| T_j)$  (not necessarily distinct), the probability of the event  $R_i \oplus K = S_j \oplus \varphi(K)$  occurring is at most  $1/N$  since  $K$  is uniformly chosen and  $\varphi$  is an orthomorphisms. Hence by the union bound,

$$\Pr[X_{\text{id}} \in \Theta_{\text{bad}}] \leq \frac{q_e^2}{2(N+1)} + \frac{2q_e q_f + q_e^2}{N},$$

which concludes the proof.

**Analysis for Good Transcripts.** Let  $\tau = (\mathcal{Q}_E, \mathcal{Q}_F, K)$  be a good transcript. Since in the ideal world, the construction  $\pi$  is a perfect  $2n$ -bit random permutation and independent of the internal function  $f$ , we simply have

$$\Pr[X_{\text{id}} = \tau] = \frac{1}{|\mathcal{K}| \cdot N^{q_f}} \cdot \prod_{i=0}^{q_e-1} \frac{1}{N^2 - i}. \quad (2)$$

We then lower bounding the probability to obtaining  $\tau$  in the real world. For  $1 \leq i \leq q_e$ , we denote by  $X_i = f(R_i \oplus K) \oplus L_i$  the input to the second round function. We define two bad conditions as follows:

- c.1 there exists some  $i$  such that  $X_i \in \mathcal{Q}_F^+$ ;
- c.2 there exists a pair of  $(i, j)$  for  $i \neq j$  satisfying at least one of the following conditions:
  - c.2.1  $X_i \in \{R_i \oplus K, S_i \oplus \varphi(K), R_j \oplus K, X_j, S_j \oplus \varphi(K)\}$ ;
  - c.2.2  $X_j \in \{R_i \oplus K, S_i \oplus \varphi(K), R_j \oplus K, S_j \oplus \varphi(K)\}$ .



If none of above conditions is fulfilled, then given the tuples  $\mathcal{Q}_F$  and a key  $K$ , the probability of  $X_{\text{re}} = \tau$  is equivalent to the probability of  $2q_e$  new and distinct equations on the random round-function  $f$ . We bound the probability of above conditions first. We begin with the first condition. Since  $\tau$  is good, the value of  $f(R_i \oplus K)$  remains uniformly distributed, and hence

$$\Pr[c.1] \leq \frac{q_e q_f}{N}.$$

Next we consider the condition *c.2.1*:

- For any  $x \in \{R_i \oplus K, S_i \oplus \varphi(K), R_j \oplus K, S_j \oplus \varphi(K)\}$ , the event of  $X_i = x$  happens with probability at most  $1/N$  since  $f(R_i \oplus K)$  is uniformly distributed;

- For  $x = X_j$ , if  $R_i \neq R_j$ , then  $\Pr[X_i = x] = 1/N$  since both  $f(R_i \oplus K)$  and  $f(R_j \oplus K)$  are uniformly distributed and independent of each other. If  $R_i = R_j$ , then necessarily  $X_i \neq x$  since otherwise this would contradict the hypothesis that  $L_i \parallel R_i$  and  $L_j \parallel R_j$  are two distinct queries.

By the union bound, for any pair  $(i, j)$ , we have

$$\Pr[c.2.1] \leq \frac{5}{N}.$$

By similar arguments,

$$\Pr[c.2.2] \leq \frac{4}{N}.$$

Since there are  $\binom{q_e}{2}$  such pairs, the event *c.2* happens with probability at most

$$\Pr[c.2] \leq \binom{q_e}{2} \cdot \frac{9}{N} \leq \frac{9q_e^2}{2N}.$$

As mentioned before, if none of above bad conditions is met, given the tuples  $\mathcal{Q}_F$  and a key  $K$ , the event  $X_{\text{re}} = \tau$  is equivalent to the event:

$$\begin{aligned} f(X_1) &= R_1 \oplus S_1, \dots, f(X_{q_e}) = R_{q_e} \oplus S_{q_e}, \\ f(S_1 \oplus \varphi(K)) &= X_1 \oplus T_1, \dots, f(S_{q_e} \oplus \varphi(K)) = X_{q_e} \oplus T_{q_e}, \end{aligned}$$

where  $X_1, \dots, X_{q_e}, S_1 \oplus \varphi(K), \dots, S_{q_e} \oplus \varphi(K)$  are  $2q_e$  fresh and distinct inputs to random function  $f$  due to the goodness of  $\tau$  and the excursion of bad conditions *c.1* and *c.2*. Hence for any good  $\tau$ ,

$$\begin{aligned} \frac{\Pr[X_{\text{re}} = \tau]}{\Pr[X_{\text{id}} = \tau]} &\geq \frac{\frac{1}{|\mathcal{K}| \cdot N^{q_f}} \cdot \left(1 - \frac{q_e q_f}{N} - \frac{9q_e^2}{2N}\right) \cdot \frac{1}{N^{2q_e}}}{\frac{1}{|\mathcal{K}| \cdot N^{q_f}} \cdot \prod_{i=0}^{q_e-1} \frac{1}{N^{2-i}}} \\ &\geq \left(1 - \frac{q_e q_f}{N} - \frac{9q_e^2}{2N}\right) \cdot \left(1 - \frac{q_e^2}{2N^2}\right) \\ &\geq 1 - \frac{q_e q_f}{N} - \frac{9q_e^2}{2N} - \frac{q_e^2}{2N^2}. \end{aligned}$$

Combining above equation and Lemma 4 and applying Lemma 1, the distinguishing advantage of the adversary  $\mathcal{A}$  can be bounded by

$$\begin{aligned} \text{SD}(X_{\text{re}}, X_{\text{id}}) &\leq \frac{q_e q_f}{N} + \frac{9q_e^2}{2N} + \frac{q_e^2}{2N^2} + \frac{q_e^2}{2(N+1)} + \frac{2q_e q_f + q_e^2}{N} \\ &\leq \frac{3q_e q_f}{N} + \frac{6q_e^2}{N} + \frac{q_e^2}{2N^2}, \end{aligned}$$

which concludes the proof of Theorem 2.

## 5 Conclusion

In this paper, we consider how to design a as light as possible key schedule which has found many applications in lightweight ciphers, from the point of view of provable security. In particular, we optimize the 4-round key-alternating Feistel by Guo and Wang [4] and propose a new 4-round key-alternating Feistel with an ultra-light (non-existent) key schedule. Our result sheds some light on designing ultra-light (non-existent) key schedule for blockcipher from the view of provable security. To the best of our knowledge, this is the first provably secure key-alternating Feistel without any key schedule. We also investigate whether our optimization works for 3-round key-alternating Feistel. We show a distinguishing attack on 3-round key-alternating Feistel without key schedule, and prove that with a suitable key schedule 3-round key-alternating Feistel is a PRP.

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