# Private Collaborative Data Cleaning via Non-Equi PSI

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#### Abstract

We introduce and investigate the privacy-preserving version of collaborative data cleaning. With collaborative data cleaning, two parties want to reconcile their data sets to filter out badly classified, misclassified data items. In the privacy-preserving (private) version of data cleaning, the additional security goal is that parties should only learn their misclassified data items, but nothing else about the other party's data set. The problem of private data cleaning is essentially a variation of private set intersection (PSI), and one could employ recent circuit-PSI techniques to compute misclassifications with privacy. However, we design, analyze, and implement three new protocols tailored to the specifics of private data cleaning that significantly outperform a circuit-PSI-based approach. With the first protocol, we exploit the idea that a small additional leakage (the size of the intersection of data items) allows for runtime and communication improvements of more than one order of magnitude over circuit-PSI. The other two protocols convert the problem of finding a mismatch in data classifications into finding a match, and then follow the standard technique of using oblivious pseudo-random functions (OPRF) for computing PSI. Depending on the number of data classes, this leads to either total runtime or communication improvements of up to two orders of magnitude over circuit-PSI.

# 1 Introduction

Data cleaning [17] is the most time-consuming task in data science. Current estimates range from 45% to 80% of the total time in data science is spent on data cleaning [29, 44]. Data cleaning is necessary to prepare high-quality data sets that result in high-quality machine learning models. The more data sources can be used to clean data, improve data quality, and reduce errors, the higher the accuracy of the final model.

A standard collaborative data cleaning scenario consists of two data sources, each comprising a set of pairs of data elements and their corresponding classifications (labels). One wants to find those data elements that have been misclassified, i.e., classified differently in each set. More formally, given two sets  $S_A = \{(x_1, u_1), \ldots, (x_n, u_n)\}$  and  $S_B = \{(y_1, v_1), \ldots, (y_n, v_n)\}$  where  $x_i, y_j$  are data elements and  $u_i, v_j$  their corresponding labels, compute the set of classification errors  $S_E = \{(y_j, v_j) \in B | \exists (x_i, u_i) \in A : x_i = y_j \land u_i \neq v_j\}$ .

Examples for detecting such classification errors ("misclassifications") are plentiful: malware classifiers, where two Security Operation Centers (SOCs) detect attacks by the same malware but have assigned it to different classes of malware, medical image classifiers, where experts (doctors) have classified shared medical images but have come to different conclusions, and many more. Finding misclassified data elements is crucial, as these cannot be used in their corresponding application, but have to be cleaned (removed, re-classified) instead

However, often different data sets come from different, untrusted parties, so data cannot be exchanged in clear text. Ideally, different parties would jointly compute misclassified data from their sets, while at the same time not learning anything else about the other party's set. Private set intersection (PSI) [10] is a common tool to link two data sources without revealing anything but the intersection. Yet, for data

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PARAMETERS: Input length n

1. Wait for input \langle (x_1, u_1), \dots, (x_n, u_n) \rangle from sender S and \langle (y_1, v_1), \dots, (y_n, v_n) \rangle from receiver R.

2. Output \{i | \exists j : (x_j = y_i) \land (u_j \neq v_i)\} to R.
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Figure 1: Ideal misclassification functionality  $\mathcal{F}^{MCLASS}$ 

cleaning, the intersection may be too revealing. For example, as the intersection is a superset of the data items to be cleaned, leaking the intersection violates privacy regulations such as the GDPR mandate on data minimization [40]. The GDPR dictates that only data necessary to perform the required functionality can be disclosed.

While private data cleaning is a restricted form of PSI, reducing collaborative private data cleaning to private set intersection at scale is non-trivial. As we will see, one might use a general form of circuit-PSI [4, 20, 31, 33, 35, 37] and construct a strawman protocol (Section 1.3) for detecting mismatching labels  $u_i \neq v_j$ . Yet, the resulting performance is disappointing (Section 5) since it resorts to generic two-party computation. More clever reductions are not straightforward, since turning a label mismatch into a match (as found by PSI) would require comparing a label to the entire set of possible labels.

In this paper, we present two new ideas how to improve the performance of Private Data Cleaning and scale it to big data sizes of  $2^{20}$  inputs. These two ideas use different insights into the problem of data cleaning which makes them interesting to study in parallel. The first (Section 3) results in protocol PDC<sub>1</sub> that has optimal round complexity (O(1), 1.5 rounds) as well as optimal communication, and optimal computation complexity (O(n)). On the downside, PDC<sub>1</sub> brings a small additional leakage (the size of the intersection is revealed to one party), and it uses O(n) public key operations. Hence, the protocol's concrete runtime is sub-optimal.

Consequently, we present a second protocol  $PDC_2$  which does not suffer from any leakage and only uses only a small, constant number of public key operations, and then reverts to symmetric key techniques for the bulk of the work.  $PDC_2$  also features optimal round complexity O(1), 1 or 2 rounds depending on the oblivious pseudo-random function (OPRF) used, but has  $O(n \log |\mathcal{L}|)$  communication and computation complexity, where  $\mathcal{L}$  is the set of all possible labels. Our extensive benchmarks (Section 5) show that  $PDC_2$ 's concrete runtime and communication cost is always the best choice when label length  $\ell = \log |\mathcal{L}|$  is low to medium (up to  $\ell \leq 10$  bits). For larger label lengths  $\ell \geq 10$  bits and when differentially private leakage is admissible,  $PDC_1$  becomes the best choice still outperforming circuit-PSI by an order of magnitude. In summary, we make four contributions:

- We formalize the problem of private collaborative data cleaning and show its relation to private set intersection (Section 1.1)
- We present a new protocol with optimal round, communication, and computation complexity, but small additional leakage and non-optimal use of public-key cryptography (Section 3).
- We present a new protocol with optimal round complexity, leakage, and use of public-key cryptography, but with higher communication and computation complexity (Section 4.4). However, this protocol has the best concrete runtime in our experiments.
- We evaluate our open-source implementation of these protocols and the baseline and report runtimes and communication cost (Section 5). Overall, we show an improvement of up to two orders of magnitude over circuit-PSI.

#### 1.1 Problem Definition

We consider two parties, a sender S and a Receiver R. Sender S has a sequence of pairs  $S_S = \langle (x_1, u_1), \ldots, (x_n, u_n) \rangle$ , and R has a sequence of pairs  $S_R = \langle (y_1, v_1), \ldots, (y_n, v_n) \rangle$ . We call the  $x_i, y_j \in \mathcal{D}$  data elements, e.g., x-ray images or cryptographic hashes of malware. Here,  $\mathcal{D}$  denotes the domain of all possible data elements. Similar to PSI, we assume that all  $x_i$  are unique in  $S_S$ , and all  $y_j$  are unique in  $S_R$ . We call the  $u_i, v_j \in \mathcal{L}$  labels, e.g., classes in a classification task that come from domain  $\mathcal{L}$ . Labels do not need to be

unique within either  $S_S$  or  $S_R$ . Our goal is to allow receiver R to compute all elements  $(y_j, v_j)$  representing a classification error with respect to sequence  $S_S$  of sender S. That is, R should be able compute those  $(y_j, v_i)$  pairs where  $y_j$  matches an  $x_i$ , but the corresponding labels  $u_i$  and  $v_i$  differ:  $S_E = \{(y_j, v_i) \in S_R | \exists (x_i, v_i) \in S_S : x_i = y_j \land u_i \neq v_j\}$ . We require that this computation is secure in the sense that it reveals nothing else to either S or R. In particular, elements  $(x_i, u_i)$  which are also in  $S_S$  (and would be revealed by running PSI on  $\{x_i\}$  and  $\{y_j\}$ ) are not revealed.

We formalize our intuition of an ideal misclassification functionality in Figure 1. Note that data elements  $x_i, y_j$  are typically bit strings of some length  $L, x_i, y_j \in \{0, 1\}^L$  with  $L = \log |\mathcal{D}|$ , and similarly  $u_i, v_j \in \{0, 1\}^\ell$  with  $\ell = \log |\mathcal{L}|$ . To work with smaller data types and also save communication, a standard trick is to hash arbitrary long inputs into bit strings of length  $\log n + \sigma$ , where  $\sigma$  is a statistical security parameter. Similar to related work [4] against which we compare, we implicitly use this technique (if not specified otherwise), too.

### 1.2 Preliminaries

Throughout the paper,  $\lambda$  denotes a computational security parameter, and  $\sigma$  denotes a statistical security parameter.

We write  $i \in [n]$  as a shorthand for  $i \in \{1, ..., n\}$  and  $\langle x_i \rangle_{i \in [n]}$  for sequence  $\langle x_1, ..., x_n \rangle$ . We will often use sequences instead of sets to represent data in this paper, as sequences allow indexing over individual elements.

For a length  $\ell$  bit string  $B = b_1 \dots b_\ell$ ,  $\mathsf{Prefix}_i(B) = b_1 \dots b_i$  denotes the length i prefix of B. We write  $\mathsf{Prefix}_i(B) \oplus 1$  as a shorthand for the length i prefix of B where the last bit of the prefix is flipped, i.e.,  $\mathsf{Prefix}_i(B) \oplus 1 = b_1 \dots (b_i \oplus 1)$ .

We write B[i] to denote the  $i^{th}$  bit of B.

For a keyed pseudo-random function (PRF) PRF :  $\{0,1\}^{\lambda} \times \{0,1\}^{\lambda} \to \{0,1\}^{\lambda}$ , a cryptographic hash function  $H: \{0,1\}^* \to \{0,1\}^{\lambda}$  (modeled as a random oracle), and s variable-length bit strings  $x_i \in \{0,1\}^{\ell_i}$ , we will write  $\mathsf{PRF}_K(x_1||\ldots||x_s)$  as a shorthand for  $\mathsf{PRF}_K(H(x_1||\ldots||x_s))$ . Here, "||" denotes an unambiguous pairing of inputs, e.g., concatenation with padding.

Similarly, for Elgamal encryption  $\operatorname{Enc}: \mathcal{K} \times \mathcal{M} \to \mathbb{G}$  over key space  $\mathcal{K}$ , plaintext space  $\mathcal{M}$ , and message space  $\mathbb{G}$ , we write  $\operatorname{Enc}_K(x_1||\ldots||x_s)$  as a shorthand for  $\operatorname{Enc}_K(H^{\mathbb{G}}(x_1||\ldots||x_s))$ , where  $H^{\mathbb{G}}: \{0,1\}^* \to \mathbb{G}$  is a cryptographic hash function.

If obvious from the context, we will also omit writing key K for a keyed PRF  $\mathsf{PRF}_K(\cdot)$  on input x and simply write  $\mathsf{PRF}(x)$ .

For two families of random variables (probability ensembles)  $\{X\}_{\lambda\in\mathbb{N}}$  and  $\{Y\}_{\lambda\in\mathbb{N}}$  we write  $X\stackrel{c}{\equiv}Y$  if they are computationally indistinguishable.

## 1.3 A Strawman Solution using Circuit-PSI

There already exist variations of PSI that one can use in combination with generic two-party computation (2PC) to perform private data cleaning. On input of sequence  $S_S = \langle x_1, \ldots, x_n \rangle$  by sender S and sequence  $S_R = \langle y_1, \ldots, y_n \rangle$  by receiver R, a circuit-PSI protocol [4, 20, 31, 33, 35, 37] offers the following functionality. For each  $x_i \in S_S$ , S receives a value  $\alpha_i$ , and R receives a value  $\beta_i$  such that  $\alpha_i = \beta_i$ , iff  $x_i \in S_S \cap S_R$ . After executing a circuit-PSI protocol, parties can then use 2PC to compute any functionality on top of the intersection, using the  $\alpha_i$ ,  $\beta_i$  as input to the 2PC.

Previous works use Oblivious Programmable Pseudo-Random Functions (OPPRFs) to realize circuit-PSI which, interestingly, also allows to include associate payloads in the computation of the intersection functionality with 2PC. Here, the associate payload of an element  $x_i$  is  $u_i$  as in our definition of data cleaning, i.e., parties' inputs are pairs  $(x_i, u_i)$  and  $(y_i, v_i)$ . As we use a circuit-PSI-based strawman construction for data cleaning as our benchmark and to compare our techniques against, we give a brief overview about general circuit-PSI and how our circuit-PSI-based strawman construction works below. For more details on circuit-PSI with associated payload, see Section 6 of Pinkas et al. [33] or Figure 10 of Rindal and Schoppmann [37].

**Circuit-PSI**. To simply compute shares of the intersection, parties S and R run a circuit-PSI instance using  $S_S = \langle x_1, \ldots, x_n \rangle$  and  $S_R = \langle y_1, \ldots, y_n \rangle$  as their input. That is, S chooses n random values  $t_i$  and

Table 1: Theoretical communication and computational complexities. n: number of data elements/labels  $\langle x_i, u_i \rangle_{i \in [n]}, \langle y_i, v_j \rangle_{i \in [n]}, |\mathrm{DH}|$ : size of element of DDH-group,  $\ell = |u_i| = |v_j| \leq \log n + \sigma$  for statistical security parameter  $\sigma$ ,  $\lambda$ : computational security parameter, m: size of stash-free cuckoo hash table with three hash functions (m = 1.27n), SK: symmetric key operations, PK: public-key operations. For VOLE-PDC<sub>2</sub>, we use the VOLE-OPRF communication complexity as specified in Section 7 of Rindal and Schoppmann [37].

$\operatorname{Scheme}$		Communication Complexity	Computational Complexity	Leakage
Circuit-PSI + 2PC		$O(n\ell)$	$O(\lambda)$ PK + $O(n\ell)$ SK	None
Ours	$PDC_1$	$13n \cdot  \mathrm{DH} $	O(n)PK + O(n)SK	$ X \cap Y $
	$DH-PDC_2$	$n\ell \cdot (2 \cdot  \mathrm{DH}  +  H )$	$O(n\ell)$ PK + $O(n\ell)$ SK	None
	$KKRT-PDC_2$	$(5m+3n)\ell\lambda$	$O(\lambda)$ PK + $O(n\ell)$ SK	None
	$VOLE-PDC_2$	$((5+2^{17}(m\ell)^{-\frac{19}{20}})m+3n)\ell\lambda+2m\ell(\sigma+2\log(n))$	$O(\lambda)$ PK + $O(n\ell)$ SK	None

OPPRFs  $f_i(y)$  such that  $f_i(y) = t_i$  if  $\exists j : y = x_j$ , else  $f_i(y)$  is random. Sender S and receiver R obliviously evaluate the  $f_i$  such that R receives  $r_i = f(y_i)$  for  $y_i$ . Finally, S and R run a 2PC circuit that outputs a share of bit  $(t_i \stackrel{?}{=} r_i)$  to each.

Circuit-PSI-based Strawman. For computing misclassification with circuit-PSI, we follow the associated payloads approach from Rindal and Schoppmann [37] (see also improvements in [35]), but institute a change to the 2PC part as described below.

First, parties use  $S_S = \langle (x_1, u_1), \dots, (x_n, u_n) \rangle$  and  $S_R = \langle (y_1, v_1), \dots, (y_n, v_n) \rangle$  as their input. Sender S chooses 2n random values  $t_i, t_i'$  and OPPRFs  $f_i'(y)$  such that  $f_i'(y) = t_i || t_i' \oplus u_j$ , if  $\exists j : y = x_j$ , else  $f_i'(y)$  is random. Parties obliviously evaluate the  $f_i'$  such that R receives  $r_i || r_i' = f'(y_i)$  for each  $y_i$ .

After the evaluation of the OPPRFs, parties run one circuit in 2PC in our strawman. Namely, parties execute for each  $(y_i, v_i)$  a circuit outputting

$$F(r_i, r_i', v_i, t_i, t_i') = \begin{cases} 1, & \text{if } r_i = t_i \land v_i \neq r_i' \oplus t_i' \\ 0, & \text{otherwise} \end{cases}$$

to R. So, R learns whether  $(y_i, v_i)$  is a misclassification if it has received 1 as an output.

In conclusion, one can realize the private data cleaning functionality by essentially running circuit-PSI including the evaluation of a 2PC circuit. While conceptually simple, it turns out that this strawman construction is expensive in practice, as the 2PC computation has high bandwidth requirements, see our evaluation in Section 5. In this paper, we significantly improve both computation and communication overhead for realistic values of  $\ell = \log \mathcal{L}$ , i.e., the domain of all possible labels.

# 2 Technical overview of our constructions

We present, analyze, and implement two new ideas to construct more efficient protocols that do not need to resort to generic, expensive 2PC.

### 2.1 PDC<sub>1</sub>

Our first insight is that it suffices if receiver R cannot distinguish between two cases in a PSI protocol: the case  $y_j \notin \mathcal{S}_S$ , when their element is not in set  $\mathcal{S}_S$  of sender S, and the case  $x_i = y_j \wedge u_i = v_j$ , when their element is in set  $\mathcal{S}_S$  of sender S, but has the same label  $u_i$  as the corresponding element  $x_i$  from S.

Hence, with our first protocol PDC<sub>1</sub> we construct a variant of the basic Diffie-Hellman (DH) key exchange based PSI that evaluates an OPRF [1, 15, 26].

In PDC<sub>1</sub>, S and R run OPRFs such that S receives PRF outputs for all data elements from  $S_S$  and  $S_R$  using receiver R's key. At the same time, S also receives Elgamal ciphertexts for all labels in  $S_S$  and  $S_R$ , encrypted under R's key. In case there is a PRF output from a data element  $S_R$  matching a PRF output for a data element in  $S_S$ , we have  $x_i = y_j$ . In that case, S sends this PRF output together with the two encrypted labels back to R. Receiver R decrypts these labels, and if they do not match, R has found a misclassification. In case there is no matching PRF output for a data element from  $S_R$  in  $S_S$ , S also sends the PRF output to

R, but now together with: 1) the corresponding Elgamal encrypted label, and 2) an Elgamal re-encryption of this encrypted label. Again R decrypts the two encrypted labels and finds matching labels.

Note the security properties that PDC<sub>1</sub> provides. Receiver R obtains, for each  $y_j$ , two Elgamal ciphertexts. In case the ciphertexts encrypt different plaintexts, R has found a misclassification. In case they encrypt the same plaintexts, either there is a matching  $x_i$ , but the labels of  $x_i$  and  $y_i$  are the same, or there is no matching  $x_i$  for  $y_i$ . Receiver R cannot distinguish the two cases and consequently does not learn any information besides what is specified by ideal functionality  $\mathcal{F}^{\text{MCLASS}}$ . However, we stress that S learns the intersection cardinality of the data elements, i.e.,  $|\{x_i\} \cap \{y_j\}|$  which is more than specified by  $\mathcal{F}^{\text{MCLASS}}$ . As we will see, PDC<sub>1</sub> is still interesting, as it outperforms all other protocols considered in this paper when the length  $\ell = |u_i| = |v_i|$  of classification strings increases. Moreover, its additional leakage can be protected by differential privacy and hence be acceptable depending on the scenario.

For the sake of giving an overview, we here omit several additionally required security techniques for  $PDC_1$  like *blinding*, such that, e.g., R cannot compute the actual label. We refer to Section 3 for all technical details.

# 2.2 PDC<sub>2</sub>

Our second insight is that, in order to compare labels for misclassification, we only need to compare their bit representation. In particular, for each mismatching pair of labels there exist unique prefixes of the two label bit strings that differ only in the last bit.

In PDC<sub>2</sub>, for each of their labels  $u_i$  and  $v_j$ , S and R create all possible prefixes. In addition, S flips the last bits of their prefixes. As a result, for a *mismatching* combination  $u_i \neq v_j$ , there exist exactly one matching combination of prefix from R and modified prefix from S. For a *matching* pair of labels  $x_i = v_j$ , there exists no matching combination of prefix from R and modified prefix from S.

For each (modified) prefix, PDC<sub>2</sub> then hashes the (modified) prefix together with the corresponding data element  $x_i$  or  $y_i$ . For length- $\ell$  classifications  $u_i, v_j, |u_i| = |v_j| = \ell$ , S computes  $\ell$  hash values  $H(x_i||\mathsf{ModifiedPrefix}_1(u_i))$ , ...,  $H(x_i||\mathsf{ModifiedPrefix}_\ell(u_i))$ , and R computes  $\ell$  hash values  $H(y_j||\mathsf{Prefix}_1(v_j)), \ldots, H(y_j||\mathsf{Prefix}_\ell(v_j))$ .

The idea is now to compute private set intersection cardinality (PSI-CA) over two sets of hash values. The resulting cardinality (either 0 or 1) indicates a misclassification.

Again, we omit additional techniques, e.g., how to avoid computing PSI-CA for  $O(n^2)$  pairs of sets of size  $\ell$ , but instead compute essentially n PSI-CAs for sets of size  $\ell$ . We refer to Section 4 for all details.

 $\mathbf{DH\text{-}PDC_2}$ . One way to implement a PSI-CA protocol is to permute the OPRF output of a batch of elements. R submits its elements to S in an OPRF protocol. S randomly shuffles the PRFs before returning them to R. Finally, S also sends PRF outputs of their elements, and R computes PSI-CA simply by counting the number of matching PRF outputs while not learning which of their elements match.

In our first protocol, dubbed DH-PDC<sub>2</sub> (Section 4.2), we use the DH-based OPRF as it allows S to shuffle outputs before sending them to R. While computing PSI-CA with a DH-based OPRF approach results in low concrete communication complexity, its concrete computational complexity is high, requiring  $3\ell \cdot n$  public key operations.

**Vector-PDC<sub>2</sub>**. Thus, our main construction, dubbed Vector-PDC<sub>2</sub> (Section 4.4), computes PSI-CA with highly efficient OPRFs, such as the KKRT-OPRF [21] and the VOLE-OPRF [37]. These OPRFs require only a number of public key operations that is linear in the security parameter. While they compute over batches of elements, they do not allow to implement shuffling of the output. The inability to shuffle the output turns out to be a major technical problem for implementing PSI-CA.

To remedy, our idea in Vector-PDC<sub>2</sub> is that both S and R use stash-less Cuckoo hashing with three hash functions to hash their data elements into separate Cuckoo hash tables. With Cuckoo hashing and three hash functions, data elements  $x_i = y_j$  might end up in three different buckets in their hash tables. For example, let  $b_1, b_2, b_3$  be the three possible bucket indexes that  $x_i$  or  $y_j = x_i$  can be hashed to. Sender S maps  $x_i$  to, e.g.,  $b_2$ , but R maps  $y_j$  to  $b_3$ . Our idea is now that R place three "replicas" of  $H(y_j||\operatorname{Prefix}_k(v_j))$  into bucket  $b_3$ , each blinded with the PRF output of  $y_i$  using a PRF key specific to bucket  $b_1$ ,  $b_2$ , and  $b_3$ . R sends the resulting blinded table to S.

At the same time, S places  $H(x_i||\mathsf{Prefix}_k(u_j))$  into  $b_2$ . Then S runs an OPRF with R for each of S' Cuckoo table buckets, using the bucket contents as input and receiving the PRF output with R's bucket

INPUT OF S: n pairs  $<(x_1,u_1),\ldots,(x_n,u_n)>,x_i\in\{0,1\}^*,u_i\in\{0,1\}^\ell$ , keys  $\alpha_1,\alpha_2\in\mathbb{Z}_p$ , public keys  $g^{\beta_1},g^{\beta_2}$ INPUT OF R: n pairs  $<(y_1,v_1),\ldots,(y_n,v_n)>,y_i\in\{0,1\}^*,v_i\in\{0,1\}^\ell$ , keys  $\beta_1,\beta_2\in\mathbb{Z}_p$ , public keys  $g^{\alpha_1},g^{\alpha_2}$ PARAMETERS: Security parameter  $\lambda$ , DDH group  $\mathbb G$  of prime order  $p,|p|=\lambda$ , generator g, Elgamal encryption Enc, Dec, UnMask, UnPeel, AddEnc, ReEnc, DH-based pseudorandom function family PRF, hash function  $H:\{0,1\}^*\to\mathbb G$ 

PROTOCOL:

- 1. For all  $i \in [n]$ , S hashes inputs  $(x_i, u_i)$  to  $X_i = H(x_i)$  and  $\Upsilon_i = H(x_i||u_i)$ . R hashes inputs  $(y_i, v_i)$  to  $Y_i = H(y_i)$  and  $\Phi_i = H(y_i||v_i)$ .
- 2. S computes the sequence of tuples  $\langle (\mathsf{PRF}_{\alpha_1}(X_i), \mathsf{Enc}_{\alpha_2}(\Upsilon_i)) \rangle_{i \in [n]}$  and sends it to R.
- 3. R computes the two sequences

$$\mathcal{R}_1 = <(\mathsf{PRF}_{\beta_1\alpha_1}(X_i) = \mathsf{PRF}_{\beta_1}(\mathsf{PRF}_{\alpha_1}(X_i)), \mathsf{Enc}_{\alpha_2\beta_2}(\Upsilon_i) = \mathsf{AddEnc}_{\beta_2}(\mathsf{Enc}_{\alpha_2}(\Upsilon_i)))>_{i \in [n]} \\ \mathcal{R}_2 = <(\mathsf{PRF}_{\beta_1}(Y_i), \mathsf{Enc}_{\beta_2}(\Phi_i))>_{i \in [n]}.$$

R shuffles  $\mathcal{R}_1$  and shuffles  $\mathcal{R}_2$  and sends both to S.

4. S computes

$$\mathcal{S} = <(\mathsf{PRF}_{\beta_1}(X_i) = \mathsf{UnMask}_{\alpha_1}(\mathsf{PRF}_{\beta_1\alpha_1}(X_i)), \mathsf{Enc}_{\beta_2}(\Upsilon_i) = \mathsf{UnPeel}_{\alpha_2}(\mathsf{Enc}_{\alpha_2\beta_2}(\Upsilon_i)))>_{i\in[n]}.$$

S creates an empty sequence  $\Sigma$ . For each pair  $(\mathsf{PRF}_{\beta_1}(Y_i), \mathsf{Enc}_{\beta_2}(\Phi_i)) \in \mathcal{R}_2$ ,

- If  $\exists (\mathsf{PRF}_{\beta_1}(X_j), \mathsf{Enc}_{\beta_2}(\Upsilon_j)) \in \mathcal{S} : \mathsf{PRF}_{\beta_1}(X_j) = \mathsf{PRF}_{\beta_1}(Y_i)$ , then S computes tuple  $(a_i = \mathsf{PRF}_{\beta_1}(Y_i), b_i = \mathsf{PRF}_{\alpha_1}(\mathsf{Enc}_{\beta_2}(\Phi_i)), c_i = \mathsf{PRF}_{\alpha_1}(\mathsf{Enc}_{\beta_2}(\Upsilon_j))$  and appends it to  $\Sigma$ .
- If  $\sharp(\mathsf{PRF}_{\beta_1}(X_j), \mathsf{Enc}_{\beta_2}(\Upsilon_j)) \in \mathcal{S} : \mathsf{PRF}_{\beta_1}(X_j) = \mathsf{PRF}_{\beta_1}(Y_i)$ , then S computes tuple  $(a_i = \mathsf{PRF}_{\beta_1}(Y_i), b_i = \mathsf{PRF}_{\alpha_1}(\mathsf{Enc}_{\beta_2}(\Phi_i)), c_i = \mathsf{ReEnc}_{g^{\beta_2}}(b_i)$  and appends it to  $\Sigma$ .

S sends  $\Sigma$  to R.

5. R computes  $\langle (a_i, b'_i = \mathsf{Dec}_{\beta_2}(b_i), c'_i = \mathsf{Dec}_{\beta_2}(c_i)) \rangle_{i \in [n]}$ . For each  $Y_i$  from R's input, where  $\mathsf{PRF}_{\beta_1}(Y_i) = a_i$ , but  $b'_i \neq c'_i$ , R outputs i.

Figure 2: Linear misclassification protocol PDC<sub>1</sub>

specific key. For each data element  $x_i$ , S takes the three buckets  $b_1, b_2, b_3$  from R's blinded table, and "unblinds" the replica that corresponds to the bucket R has the PRF output from. To avoid that parties learn at which bit position  $u_i$  and  $v_j$  differ, there is an additional blinding step (from S) and unblinding (from R) required. For more details, we refer to Section 4.4.

We summarize theoretical communication and computational complexities in Table 1.

# $3 PDC_1$

With protocol  $PDC_1$ , we improve over the strawman solution by avoiding secure 2PC and only rely on a (modified) PSI protocol. Our key insight is that the protocol view of receiver R is secure if they cannot distinguish between the two cases when 1) their element  $y_j$  is not in the input set of sender S and 2) when  $y_j$  is in input set of S ( $\exists x_i : x_i = y_j$ ), but the two corresponding labels are equal, i.e.,  $u_i = v_j$ . The idea behind  $PDC_1$  is based on the standard DH-based PSI protocol for computing the size of the intersection (PSI capacity, PSI-CA [7]) and computes a permuted and blinded set intersection of the data elements. We then augment this set intersection protocol with (Elgamal) encrypted labels, such that S can select R's label if data element  $x_i$  is not in the intersection, and the label from S if it is.

# 3.1 Tools: DH-based PRF and Elgamal Encryption

Let  $\mathbb{G}$  be a DDH group of prime order  $p, |p| = \lambda$ , and let key  $k \stackrel{\$}{\leftarrow} \mathbb{Z}_p$  be chosen randomly such that  $k^{-1} \mod (p-1)$  exists (k co-prime to p-1). For inputs  $\chi \in \mathbb{G}$ , the output of function

$$\mathsf{PRF}_k(\chi) = \chi^k$$

is indistinguishable from randomly chosen elements of  $\mathbb{G}$ . This is a simple *DH-based PRF*, essentially masking  $\chi$  by k [1, 15, 26]. As a side note, one can convert such a DH-based PRF into a regular PRF by a standard application of the leftover hash lemma [13].

There exists an interesting commutativity feature for DH-based PRFs which we will exploit later. For keys  $\alpha_1, \beta_1 \in \mathbb{Z}_p$ , we have

$$(\mathsf{PRF}_{\alpha_1}(x))^{\beta_1} = (\mathsf{PRF}_{\beta_1}(x))^{\alpha_1} = \mathsf{PRF}_{\alpha_1\beta_1}(x).$$

The masking by raising to a key can also be undone: given  $\mathsf{PRF}_{\alpha_1\beta_1}(x)$  and  $\alpha_1$ ,

$$\mathsf{UnMask}_{\alpha_1}(\mathsf{PRF}_{\alpha_1\beta_1}(x)) = Y^{\alpha_1^{-1}} = \mathsf{PRF}_{\beta_1}(x)$$

and similarly for  $\beta_1$ .

Let  $\alpha_2, \beta_2 \stackrel{\$}{\leftarrow} \mathbb{Z}_p$  again be chosen randomly. They serve as secret keys for Elgamal encryptions  $\mathsf{Enc}_{\alpha_2}$  and  $\mathsf{Enc}_{\beta_2}$ . The corresponding public keys  $g^{\alpha_2}$  and  $g^{\beta_2}$  are distributed in advance to S and R. For key  $k \in \{\alpha_2, \beta_2\}$  and any input  $\chi \in \mathbb{G}$ , we define Elgamal encryption as

$$\operatorname{Enc}_k(\chi) = \langle g^r, \chi g^{rk} \rangle,$$

where  $r \stackrel{\$}{\leftarrow} \mathbb{Z}_p$ . Note that  $\mathsf{Enc}_k(\chi)$  is a probability ensemble indexed by the length  $p, |p| = \mathsf{poly}(\lambda)$ . Consequently, there are many ciphertexts  $\mathsf{Enc}_k(\chi)$  for a plaintext  $\chi$  which we regard as an ensemble. We only need to store one representative of the ensemble, since all other elements in the ensemble can be generated from it.

For this Elgamal encryption, we can add another layer of encryption as follows. With  $\langle A, B \rangle \leftarrow \text{Enc}_{\alpha_2}(\chi)$ , we define a double-layer Elgamal ciphertext  $\text{Enc}_{\beta_2\alpha_2}(\chi)$  as

$$\begin{split} \mathsf{Enc}_{\beta_2\alpha_2}(\chi) &= \mathsf{AddEnc}_{\beta_2}(< A, B >) \\ &= < Ag^{r'}, BA^{\beta_2} \cdot (g^{\alpha_2})^{r'} \cdot (g^{\beta_2})^{r'} >, \end{split}$$

with  $r' \in \mathbb{Z}_p$  chosen randomly. Note that knowledge of  $\beta_2$  is required. Adding another layer of encryption is commutative. Let  $\langle A, B \rangle \leftarrow \mathsf{Enc}_{\alpha_2}(\chi)$  and  $\langle A', B' \rangle \leftarrow \mathsf{Enc}_{\beta_2}(\chi)$ . We have

$$\mathsf{AddEnc}_{\beta_2}(< A, B >) \stackrel{c}{\equiv} \mathsf{AddEnc}_{\alpha_2}(< A', B' >).$$

To re-encrypt (re-randomize) a given ciphertext  $\langle A, B \rangle \leftarrow \mathsf{Enc}_{\alpha_2}(\chi)$  using public key  $g^{\beta_2}$ , we use

$$\mathsf{ReEnc}_{g^{\beta_2}}(< A, B>) = < Ag^r, B \cdot (g^{\beta_2})^r>,$$

with  $r \in \mathbb{Z}_p$  chosen randomly.

Furthermore, we combine our DH-based PRF with Elgamal encryption. For key  $k \in \{\alpha_2, \beta_2\}$  and a ciphertext  $\langle A, B \rangle$ , we define our DH-based PRF variant for Elgamal ciphertexts as

$$\mathsf{PRF}_k(< A, B >) = < A^k, B^k > .$$

Again, Elgamal encryption and our DH-based PRF offer commutativity. Let  $\langle A, B \rangle \leftarrow \mathsf{Enc}_{\alpha_2}(\chi)$  and  $\chi' = \mathsf{PRF}_{\beta_2}(\chi)$ . Then,

$$\mathsf{PRF}_{\beta_2}(\langle A, B \rangle) \stackrel{c}{\equiv} \mathsf{Enc}_{\alpha_2}(\chi').$$

For some double-layer ciphertext  $< A, B> \leftarrow \mathsf{Enc}_{\alpha_2\beta_2}$ , we can peel-of one layer of encryption from key  $k \in \{\alpha_2, \beta_2\}$  by

UnPeel<sub>k</sub>(
$$< A, B >$$
) = $< A, BA^{-k} >$ .

Finally, we also decrypt any Elgamal ciphertext A, B >using key  $k \in \{\alpha_2, \beta_2\}$  as

$$\operatorname{Dec}_k(\langle A, B \rangle) = BA^{-k}$$
.

Observe that  $\mathsf{PRF}_{\beta_2}(\chi) = \mathsf{Dec}_{\alpha_2}(\mathsf{PRF}_{\beta_2}(\mathsf{Enc}_{\alpha_2}(\chi))).$ 

### 3.2 Main Protocol

Our protocol proceeds in the following five main steps.

In Step 1, both parties hash their data elements and labels into elements from an appropriate DDH group. This enables the parties to use the DH-based PRF and Elgamal constructions from the previous section.

In Step 2, sender S sends their input data elements, masked by their PRF, and an Elgamal encryption of the corresponding label to R.

In Step 3, R applies their PRF to the masked data elements and adds an encryption to the labels from S. S's data elements and labels are now protected by two keys, one from S and one from S. S shuffles the result and sends it back to S. Also, S sends PRF outputs of their data elements together with Elgamal encryptions of corresponding labels to S. These are only protected by one key from S.

In Step 4, S unmasks the doubly protected data elements and unpeels one layer of encryption from the labels of S. These are now protected by the same keys as the data elements and labels from R. Then, S matches the data elements in the two sets. S learns which PRF outputs are in both sets. However, S cannot determine which of their inputs correspond to which PRF output. For each PRF output that is in both sets, S sends to R: the PRF output, a masked version of the corresponding Elgamal encryption of the label as received from R, and a masked version of the Elgamal encryption of the corresponding label from S. For each PRF output in R's set but not in both sets, S sends to R: the PRF output, a masked version of the corresponding Elgamal encryption of the label as received from R, and a masked version of the re-encryption of the corresponding Elgamal encryption of the label a received from R. S can apply the masking to the labels, since encryption and PRF are commutative. Each PRF output has now two masked, encrypted labels where in case both parties have the data element their plaintexts are the labels of the respective party and in case only R has the data element, they are copies of each other.

In Step 5, R decrypts the Elgamal ciphertexts and verifies, for each PRF output, whether the two corresponding Elgamal ciphertexts match (no misclassification) or not (misclassification found).

We formalize our protocol in Figure 2.

# 3.3 Security Analysis

Semi-Honest Security Model. We operate in the semi-honest, i.e., passive, security model. Security against malicious adversaries is an open problem for circuit-PSI protocols. All current circuit-PSI protocols follow the same construction [4, 20, 33] of a reactive 2PC after computing secret shares of the intersection. Since this construction is composed of reactive functionalities, it is also only secure in the semi-honest model by default. Efficient constructions secure against malicious adversaries that do not resort to 2PC for the entire protocol are still an open problem. Hence, we believe it is justified to also consider the semi-honest model for our protocols.

Let  $S_I$  be the intersection of elements in  $\langle x_i \rangle_{i \in [n]}$  and  $\langle y_i \rangle_{i \in [n]}$ . For protocol PDC<sub>1</sub>, we assume the leakage of the size of this intersection  $S_I$  given to the sender S. We prove security against semi-honest adversaries by constructing two simulators  $\mathsf{Sim}_R(S_R, S_E)$  and  $\mathsf{Sim}_S(S_S, |S_I|)$  or  $\mathsf{Sim}_S(S_S)$ , depending on the leakage, for the receiver and sender, respectively. The output of each simulator is computationally indistinguishable from the respective party's view  $\mathsf{VIEW}_R$  or  $\mathsf{VIEW}_S$ , i.e., its messages received, during the execution of the real protocol:

$$\begin{array}{ccc} \mathsf{Sim}_R(\mathcal{S}_R,\mathcal{S}_E) & \stackrel{c}{\equiv} & \mathsf{VIEW}_R^{\mathsf{PDC}_1} \\ \mathsf{Sim}_S(\mathcal{S}_S,|\mathcal{S}_I|) & \stackrel{c}{\equiv} & \mathsf{VIEW}_S^{\mathsf{PDC}_1} \end{array}$$

### Security Proof.

**Theorem 1.** Protocol PDC<sub>1</sub> securely implements<sup>1</sup> functionality  $\mathcal{F}^{MCLASS}$  in the semi-honest security model.

*Proof.* We prove the existence of the two simulators by construction.

 $\mathsf{Sim}_R(\mathcal{S}_R, \mathcal{S}_E)$ : R receives n PRF outputs and Elgamal ciphertexts under S's keys  $\alpha_1$  and  $\alpha_2$ . By the definition of the primitives (pseudo-random functions and semantically secure encryption) these can be

<sup>&</sup>lt;sup>1</sup>With leakage of the set intersection cardinality  $|S_I|$  to S

PARAMETERS: Security parameter  $\lambda$ , batch size b, pseudo-random function family PRF:  $\{0,1\}^{\lambda} \times \{0,1\}^{\lambda} \to \{0,1\}^{\lambda}$ 

- 1. Wait for input  $x_1, \ldots, x_b : x_i \in \{0, 1\}^{\lambda}$  from receiver R.
- 2. Choose  $K \stackrel{\$}{\leftarrow} \{0,1\}^{\lambda}$  and random permutation  $\pi : [b] \to [b]$ . Output  $\langle \mathsf{PRF}_K(x_{\pi(i)}) \rangle_{i \in [b]}$  to R and  $(K,\pi)$  to S.

Figure 3: Ideal oblivious pseudo-random function (OPRF) functionality with set semantics  $\mathcal{F}^{\mathsf{Set-OPRF}}$ 

PARAMETERS: Security parameter  $\lambda$ , batch size b, pseudo-random function family  $\mathsf{PRF} : \{0,1\}^{\lambda} \times \{0,1\}^{\lambda} \to \{0,1\}^{\lambda}$ 

- 1. Wait for input  $x_1, \ldots, x_b : x_i \in \{0, 1\}^{\lambda}$  from receiver R.
- 2. Choose  $K_1, \ldots, K_b, K_i \stackrel{\$}{\leftarrow} \{0,1\}^{\lambda}$ . Output  $\langle \mathsf{PRF}_{K_i}(x_i) \rangle_{i \in [b]}$  to R, and output  $\langle K_i \rangle_{i \in [b]}$  to S.

Figure 4: Ideal oblivious pseudo-random function (OPRF) functionality with vector semantics  $\mathcal{F}^{\mathsf{Vector}\mathsf{-}\mathsf{OPRF}}$ 

simulated using 3n uniformly random numbers from the DDH group  $\mathbb{G}$ . In the second round, R receives again n PRF outputs and 2n Elgamal ciphertexts under R's key's  $\beta_1$  and  $\beta_2$ . The simulator computes the n PRF outputs from  $\mathcal{S}_R$  and R's key  $\beta_1$ . The 2n Elgamal ciphertexts decrypt to (and their plaintext can be simulated by) PRF outputs of the element concatenated with the label under S' key  $\alpha_1$ . For each element  $y_i \in \mathcal{S}_E$ , the simulator outputs the same uniformly chosen group element (in  $\mathbb{G}$ ) twice. For each element  $y_i \in \mathcal{S}_R \setminus \mathcal{S}_E$ , the simulator outputs two independently, uniformly chosen group elements. The message received by R are Elgamal ciphertexts under R's key  $\beta_2$  of these group elements.

Sim<sub>S</sub>( $S_S$ ,  $|S_I|$ ): S receives 2n pseudo-random functions and Elgamal ciphertexts under R's keys  $\beta_1$  and  $\beta_2$ . The Elgamal ciphertexts can be simulated using 4n group elements from  $\mathbb{G}$ . However, the joint distribution of the PRF outputs reveals the set intersection cardinality, while each PRF output remains indistinguishable from a random group element. The simulator uniformly chooses  $|S_I|$  group elements from  $\mathbb{G}$  and puts them into set  $S_1$ . It then chooses  $2(n-|S_I|)$  group elements and puts them into set  $S_2$ . The simulator divides set  $S_2$  into two equal-sized sets  $S_3$  and  $S_4$ . Then it forms two new sets  $S_5 = S_1 \cup S_3$  and  $S_6 = S_1 \cup S_4$ . It randomly shuffles  $S_5$  and  $S_6$ . These sequences follows the same joint distribution as the sequences received during the real protocol execution.

Security in IND-CDP-2PC. He et al. [14] define a security model IND-CDP-2PC for two-party computations in the computational differential privacy setting [27]. In this model the leakage of the set intersection cardinality to the sender S can be avoided. This security model allows the view of a party to be differentially private between two neighboring inputs of the other party instead of indistinguishable.

To achieve security in IND-CDP-2PC, we modify the protocol as follows. In addition to  $\langle x_i, u_i \rangle S$  inputs  $d = 2\lceil -\log \delta/\epsilon \rceil$  elements  $\langle \chi_1, v_1, \ldots, \chi_d, v_d \rangle$ . Correspondingly, R chooses a random number d' from a shifted and bounded Laplace distribution  $\min(d, \max(0, Lap(-\log \delta/\epsilon, 1/\epsilon)))$ . R's additional input is  $\langle \chi_1, v_1, \ldots, \chi_{d'}, v_{d'} \rangle$  and  $\langle \psi_1, v_{d'+1}, \ldots, \psi_{d-d'}, v_d \rangle$  where  $\psi_i \neq \chi_j$ . They run the protocol as in Figure 2. R's output is unmodified, since none of the additional inputs has a matching element with mismatching labels. Let  $|\mathcal{S}_I|$  be the set intersection cardinality of  $\langle x_i \rangle_{i \in [n]}$  and  $\langle y_i \rangle_{i \in [n]}$ . S learns, i.e., its view includes,  $|\mathcal{S}_I| + d'$ . This satisfies IND-CDP-2PC, since the set intersection cardinality of two neighbouring input databases  $\mathcal{S}_R$  and  $\mathcal{S}'_R$  (R's input) and the database  $\mathcal{S}_S$  may differ by at most 1. The shifted and bounded Laplace mechanism is  $(\epsilon, \delta)$ -differentially private with respect to a change (sensitivity) of 1 (see [41]) and hence the view of S in the protocol is differentially private with respect to neighboring inputs  $\mathcal{S}_R$  and  $\mathcal{S}'_R$  by R.

# $4 PDC_2$

The high efficiency of OPRF-based PSI [4, 21, 35, 37] stems from the fact that the actual intersection is computed "in the clear". Receiver R receives (O)PRF outputs for their input, and sender S sends PRF values for their input to R. The actual intersection of elements is then computed by R "in the clear" on the two sets of PRF outputs. This avoids reverting to expensive cryptographic techniques such as 2PC or FHE

during computation of the intersection and yields high efficiency. The only expensive operation is computing the OPRFs for the parties' inputs. We will stick to this "OPRF-and-comparing-in-the-clear" principle also for  $PDC_2$ .

### 4.1 Overview

Our main idea is based on the following observation. If two bit strings u from S and v from R,  $|u| = |v| = \ell$ , differ in the bit at position i, then  $u[i] \oplus 1 = v[i]$ . Using an OPRF, R could receive set

$$\mathcal{R} = \{ \mathsf{PRF}_K(v[1]||1), \dots, \mathsf{PRF}_K(v[\ell]||\ell) \}$$

as output, and S could send set

$$S = \{\mathsf{PRF}_K(u[1] \oplus 1||1), \dots, \mathsf{PRF}_K(u[\ell] \oplus 1||\ell)\}$$

in shuffled order to R. Receiver R would now check in the clear whether there is an element in R that is also in S. If there is such a match, then  $u \neq v$ . However, with this approach, R would learn additional information, namely how many bits are different between u and v.

To remedy, consider the following two sets

$$\mathcal{R} = \{(\mathsf{Prefix}_1(v)), \dots, (\mathsf{Prefix}_\ell(v))\} \text{ and }$$

$$\mathcal{S} = \{(\mathsf{Prefix}_1(u) \oplus 1), \dots, (\mathsf{Prefix}_\ell(u) \oplus 1)\}.$$

These are the sets of all prefixes of u and v, where each time the last bit of the prefixes of u is flipped. It is crucial to observe that, for these two sets, we have set intersection cardinality  $|S \cap \mathcal{R}| = 1$  if and only if  $u \neq v$ , otherwise  $|S \cap \mathcal{R}| = 0$ . That is, either there exists a single match between an element in  $\mathcal{R}$  which is also in  $S(u \neq v)$ , or there is no such match (u = v).

Consequently, for a single pair of length  $\ell$  bit strings u from S and v from R, parties run  $\ell$  instances of the OPRF. Here, R's inputs are  $\mathsf{Prefix}_{\ell}(v)$  to  $\mathsf{Prefix}_{\ell}(v)$  such that R receives set

$$\mathcal{R} = \{\mathsf{PRF}_K(\mathsf{Prefix}_1(v)), \dots, \mathsf{PRF}_K(\mathsf{Prefix}_\ell(v))\}$$

as output. After the OPRF evaluations, S sends

$$S = \{\mathsf{PRF}_K(\mathsf{Prefix}_1(u) \oplus 1), \dots, \mathsf{PRF}_K(\mathsf{Prefix}_\ell(u) \oplus 1)\}$$

to R. Then, R computes  $|S \cap R|$  in the clear to determine whether u = v and without learning anything else about u. Essentially, S and R compute a private set intersection cardinality (PSI-CA).

Integrating data elements. Our approach above essentially converts the problem of finding a mismatch between labels u and v into that of finding a match. We expand this technique to also determine equality of the corresponding x and y data elements at the same time. In our situation, a match is a pair of tuples (x, u) and (y, v) with x = y and simultaneously  $u \neq v$ , so we change the above computation of OPRFs as follows. For R's input (y, v), S and R run  $\ell$  instances of an OPRF where R's input is  $\{(y||\mathsf{Prefix}_1(v)), \ldots, (y||\mathsf{Prefix}_\ell(v))\}$ , and R receives

$$\mathcal{R} = \{ \mathsf{PRF}_K(y || \mathsf{Prefix}_1(v)), \dots, \mathsf{PRF}_K(y || \mathsf{Prefix}_\ell(v)) \}$$

as output. Sender S then sends

$$S = \{\mathsf{PRF}_K(x||\mathsf{Prefix}_1(u) \oplus 1), \dots, \mathsf{PRF}_K(x||\mathsf{Prefix}_\ell(u) \oplus 1)\}.$$

Finally, R again computes  $|S \cap R|$  in the clear, which is either 0 or 1. Observe that now  $|S \cap R| = 1$  iff  $x = y \land u \neq v$ .

To support n inputs  $\{(x_1, u_1), \ldots, (x_n, u_n)\}$  from S and  $\{(y_1, v_1), \ldots, (y_n, v_n)\}$  from R, parties run  $n\ell$  instances of the OPRF,  $\ell$  for each of R's inputs  $(y_i, v_i)$ . Similarly, S sends  $\ell$  PRF outputs for each input  $(x_i, u_i)$ .

```
INPUT OF S: n pairs \langle (x_1, u_1), \ldots, (x_n, u_n) \rangle, x_i \in \{0, 1\}^*, u_i \in \{0, 1\}^\ell

INPUT OF R: n pairs \langle (y_1, v_1), \ldots, (y_n, v_n) \rangle, y_i \in \{0, 1\}^*, v_i \in \{0, 1\}^\ell

PARAMETERS: Security parameter \lambda, group \mathbb G of prime order p where the DDH assumption is believed to be hard, |p| = \lambda, g is a generator of \mathbb G, hash function H : \{0, 1\}^* \to \mathbb G

PROTOCOL:

1. S selects K \overset{\$}{\leftarrow} \mathbb Z_p. R selects r \overset{\$}{\leftarrow} \mathbb Z_p.

2. For each (y_i, v_i)_{i \in [n]}, R computes \langle h_{i,j} = H(y_i || \mathsf{Prefix}_j(v_i))^r \rangle_{j \in [\ell]}. R sends all \ell n values h_{i,j} to S.

3. For i \in [n]:

(a) S selects random permutation \pi_i : [\ell] \to [\ell].

(b) For j \in [\ell]:

i. S sends h'_{i,j} = (h_{i,\pi_i(j)})^K to R, and R computes \gamma_{i,j} = (h'_{i,j})^{r^{-1}}.

ii. S computes \gamma'_{i,j} = (H(x_i || \mathsf{Prefix}_j(u_i) \oplus 1)^K.

4. S sends all \ell n values \gamma'_{i,j} in randomly shuffled order to R.

5. R outputs \{i | \exists (a, b, c) : \gamma_{i,a} = \gamma'_{b,c}\}.
```

Figure 5: DH-OPRF-based misclassification protocol DH-PDC<sub>2</sub>

Reducing complexity. Conceptually, a PRF and an OPRF for this PRF support arbitrary long inputs using the standard trick of applying a cryptographic hash function to the input before using it as input to the PRF or OPRF. Hashing reduces arbitrary length inputs down to  $\lambda$  bits. As a result, for standard OPRF-based PSI protocols, the length of inputs does not matter for the complexity of the protocol. However for our OPRF-based PSI misclassification protocols, the situation is different. For an input (x,u) (and also (y,v)), the lengths of data elements x and y do not matter, but label length  $\ell = |u| = |v|$  does. Now, communication and communication complexity increase by a factor of  $\ell$ . While this is acceptable for smaller values of  $\ell$ , performance will suffer for larger values of  $\ell \leq \lambda$ . To reduce both asymptotic and concrete complexity, we use a probabilistic variation of the above idea which does not require  $\ell = \lambda$  OPRFs for arbitrary long labels, but only  $\min(\ell, \log n + \sigma)$ , where  $\sigma$  is a statistical security parameter. As the technical features of this variation are not crucial for understanding our OPRF-based constructions in detail, assume for now that for any label length of u or v, we will perform  $\ell$  OPRFs.

Implementing PSI-CA with OPRFs. In our PDC<sub>2</sub> protocols, we will compute and output set intersection cardinality over the set of prefixes for one pair of elements. We hence implement PSI-CA protocols using OPRFs but distinguish two types of OPRFs: Set-OPRF and Vector-OPRF. Both OPRF operate over batches of elements. Set-OPRFs return a permutation of the PRF values in a batch whereas Vector-OPRFs only allow to return the PRFs in the same order as the input elements. Furthermore, Vector-OPRF may choose a different key for each input. The ideal functionalities of both OPRFs are shown in figures 3 and 4. The standard DH-based OPRF is a Set-OPRF, while the more recent and efficient KKRT-OPRF [21] and VOLE-OPRF [35, 37] are Vector-OPRFs. Note that VOLE-OPRF is a special case of a Vector-OPRF: while it does not support random shuffling of outputs, it uses the same key  $K_i = K_j$  for all elements. Thus, it is also covered by our definition of  $\mathcal{F}^{\text{Vector-OPRF}}$ , and we will use it as a building block in the construction of Vector-PDC<sub>2</sub> below.

We describe the use of the DH-based OPRF as a Set-OPRF for our protocol DH-PDC<sub>2</sub> in Section 4.2 and the use of a Vector-OPRF for our protocol Vector-PDC<sub>2</sub> in Section 4.4.

# 4.2 DH-PDC<sub>2</sub> Details

Recall from Section 3.1 that the DH-based OPRF is conceptually very simple. For PRF key K from sender S and blinding key r and input y from receiver R, R starts by sending a blinded  $y' = y^r$  to S. Sender S replies with  $y'' = (y')^K$ , and R unblinds with  $(y'')^{r-1}$ . Informally, this realizes  $\mathsf{PRF}_K(y) = y^K$ . Applying this protocol to a batch of elements with S shuffling values y'' before returning them to R realizes  $\mathcal{F}^\mathsf{Set-OPRF}$ .

Figure 5 presents technical details of the DH-based OPRF applied to our PDC<sub>2</sub> idea for PSI misclassification. We dub this protocol DH-PDC<sub>2</sub>. The use of the DH-based OPRF in our idea is mostly straightforward,

but there are two important peculiarities. First, for each  $i \in [n]$ , S collects all  $\ell$  blinded inputs  $y_i||\operatorname{Prefix}_j(v_i)$  and shuffles them using a random permutation  $\pi_i$  before sending PRF outputs back, see Step (3(b)i) in Figure 5.

It is crucial that S shuffles the  $\ell$  inputs of R for each i. Otherwise, if R later finds a match for one of the  $\ell$  inputs, they would learn the bit position where u and v differ which is more leakage than in the ideal functionality. For the same reason, S also has to shuffle all of their PRF outputs  $\gamma'_{i,j}$  before sending them to R, see Step 4. Note that S can shuffle all  $\gamma'_{i,j}$  at once while the  $h_{i,j}$  have to be shuffled per i such that R can later still determine the index of the element with a misclassification.

There is an optimization possible which we have omitted from Figure 5. As elements from  $\mathbb{G}$  are typically larger than  $\lambda$ , we can employ another hash functions  $H':\mathbb{G}\to\{0,1\}^{\lambda}$  and hash the  $\gamma'_{i,j}$  to smaller values. Our implementation in Section 5 uses this technique for increased efficiency.

# 4.3 Security Analysis of DH-PDC<sub>2</sub>

**Theorem 2.** Protocol DH-PDC<sub>2</sub> securely implements<sup>2</sup> functionality  $\mathcal{F}^{MCLASS}$  in the semi-honest security model.

*Proof.* Since protocol DH-PDC<sub>2</sub> does not leak we prove the existence of the following two simulators:

$$\begin{array}{cccc} \mathsf{Sim}_R(\mathcal{S}_R,\mathcal{S}_E) & \stackrel{c}{\equiv} & \mathsf{VIEW}_R^{\mathrm{DH-PDC}_2} \\ & & & \\ \mathsf{Sim}_S(\mathcal{S}_S) & \stackrel{c}{\equiv} & \mathsf{VIEW}_S^{\mathrm{DHPDC}_2} \end{array}$$

 $\operatorname{Sim}_R(\mathcal{S}_R,\mathcal{S}_E)$ : R receives  $2n\ell$  PRF outputs under S's key K. The simulator creates an empty sequence of size  $2n\ell$ . For each  $y_i \in \mathcal{S}_E$ , the simulator uniformly chooses a group element in  $\mathbb{G}$  and places it at two random positions in the sequence: between  $2(i-1)\ell$  and  $(2i-1)\ell-1$  and between  $(2i-1)\ell$  and  $2i\ell-1$ . All other elements in the sequence are filled with uniformly chosen group elements. This sequence is computationally indistinguishable from R's view in DH-PDC<sub>2</sub>.

 $\mathsf{Sim}_S(\mathcal{S}_S)$ : S receives  $n\ell$  PRF outputs under R's random number r. All of these are independently simulatable by uniformly chosen group elements.

# 4.4 Vector-PDC<sub>2</sub> Details

While DH-PDC<sub>2</sub> features low communication complexity, its main drawback is the need for  $O(n\ell)$  public-key operations during OPRF evaluation. Thus in Vector-PDC<sub>2</sub>, we replace the DH-based OPRF by more recent OPRF constructions from the literature which use only  $O(\lambda)$  or even no public key operations. The  $O(n\ell)$  evaluations of the main OPRF functionality are then performed using only fast symmetric cryptography. In our implementation, we use the KKRT-OPRF from Kolesnikov et al. [21] and the very recent VOLE-OPRF from Rindal and Schoppmann [37] with improvements by Raghuraman and Rindal [35]. Both OPRFs implement the Vector-OPRF functionality  $\mathcal{F}^{\text{Vector-OPRF}}$  from Figure 4.

### 4.4.1 Technical Challenges

Ideally, we would like to simply exchange the DH-based OPRF building block in DH-OPRF-based protocol DH-PDC<sub>2</sub> by a Vector-OPRF to benefit from its significantly higher efficiency. However, this is obviously not feasible, since a Vector-OPRF does not allow to shuffle the outputs. We hence need a different construction. Furthermore, in contrast to the DH-based Set-OPRF before, a Vector-OPRF's key may depend on the order of inputs. Even if both parties hold the same inputs  $x_i = y_j$ , PRF outputs of  $x_i$  and  $y_i$  will match only if they have been computed using the same key. More specifically, if R uses  $y_j$  as their  $j^{\text{th}}$  input, they will obtain  $\text{PRF}_{K_i}(y_i)$ . Now, S has to somehow send  $\text{PRF}_{K_i}(x_i)$ , even if  $x_i$  is not the  $j^{\text{th}}$  input of S.

For standard PSI, a well-known trick to enforce that parties use the same order for their inputs is for R to hash their inputs into a (stash-less) Cuckoo hashtable [4, 11, 21, 22, 30, 32–34]. R iterates over all buckets in their Cuckoo table and, for the  $b^{\rm th}$  bucket containing element  $y_j$ , runs an OPRF with S to receive  $\mathsf{PRF}_{K_b}(y_j)$ , i.e., the PRF output using the  $b^{\rm th}$  key. When using Cuckoo hashing with  $\eta$  hash functions, S

<sup>&</sup>lt;sup>2</sup> Without leakage of the set intersection cardinality  $|S_I|$ .

```
INPUT OF S: n pairs <(x_1, u_1), \ldots, (x_n, u_n)>, x_i \in \{0, 1\}^*, u_i \in \{0, 1\}^\ell

INPUT OF R: n pairs <(y_1, v_1), \ldots, (y_n, v_n)>, y_i \in \{0, 1\}^*, v_i \in \{0, 1\}^\ell, key K_{R,*}

PARAMETERS: Security parameter \lambda, Cuckoo table size m=1.27n, hash functions H:\{0, 1\}^* \to \{0, 1\}^{\lambda}, H_1, H_2, H_3:\{0, 1\}^* \to [m], Vector-OPRF functionality \mathcal{F}^{\text{Vector-OPRF}}
```

- PROTOCOL:
  - 1. S creates an m-bucket Cuckoo hash table  $\mathcal{T}_S$  using the  $x_i$  as keys and hash functions  $H_1, H_2$ , and  $H_3$ . R creates an m-bucket Cuckoo hash table  $\mathcal{T}_R$  using the  $y_i$  as keys and hash functions  $H_1, H_2$ , and  $H_3$ .
  - 2. S and R iterate over the m buckets of their Cuckoo hash tables. For  $j \in [m]$ ,
    - (a) Let  $x_i$  be mapped into bucket  $\mathcal{T}_S[j]$ . S and R run functionality  $\mathcal{F}^{\mathsf{Vector-OPRF}}$  with S being the receiver and R the sender. The inputs of S are  $h_{j,k} = H(x_i||\mathsf{Prefix}_k(u_i))$ . The outputs of R are keys  $K_{R,j,k}$ , and the outputs of S are  $z_{j,k} = \mathsf{PRF}_{K_{R,j,k}}(h_{j,k})$ .

If no element is mapped into  $\mathcal{T}_S[j]$ , S inputs  $\ell$  random bit strings as input.

(b) Let  $y_i$  be mapped into  $\mathcal{T}_R[j]$ . S and R run functionality  $\mathcal{F}^{\mathsf{Vector-OPRF}}$  with R being the receiver and S the sender. The inputs of R are  $h'_{j,k} = H(y_i||\mathsf{Prefix}_k(v_i) \oplus 1)$ . The outputs of S are keys  $K_{S,j,k}$ , and the outputs of R are  $z'_{j,k} = \mathsf{PRF}_{K_{S,j,k}}(h'_{j,k})$ .

If no element is mapped into  $\mathcal{T}_R[j]$ , R inputs  $\ell$  random bit strings as input.

- 3. R creates an m-bucket table  $\mathcal{T}^*$ . Each bucket  $\mathcal{T}^*[j]$  comprises  $\ell$  slots  $\langle T^*[j][k] \rangle_{k \in [\ell]}$ ,  $|T^*[j][k]| = 3\lambda$  Bit. R fills  $\mathcal{T}^*$  as follows. For  $j \in [m]$ ,
  - If  $\mathcal{T}_R[j]$  is empty, then R sets  $\mathcal{T}^*[j][k] \stackrel{\$}{\leftarrow} \{0,1\}^{3\lambda}$  for all  $k \in [\ell]$ .
  - If  $\mathcal{T}_R[j]$  is not empty, then let  $\mathcal{T}_R[j] = y_i$ . Let  $H_1(y_i), H_2(y_i), H_3(y_i)$  be the three possible positions where  $y_i$  can be mapped to in  $\mathcal{T}_S$ . For  $k \in [\ell], R$  computes

$$\begin{split} c_{j,k,1} &= z'_{j,k} \oplus \mathsf{PRF}_{K_{R,H_1(y_i),k}}(h'_{j,k}) \oplus \mathsf{PRF}_{K_{R,*}}(y_i) \\ c_{j,k,2} &= z'_{j,k} \oplus \mathsf{PRF}_{K_{R,H_2(y_i),k}}(h'_{j,k}) \oplus \mathsf{PRF}_{K_{R,*}}(y_i) \\ c_{j,k,3} &= z'_{j,k} \oplus \mathsf{PRF}_{K_{R,H_3(y_i),k}}(h'_{j,k}) \oplus \mathsf{PRF}_{K_{R,*}}(y_i). \end{split}$$

 $R \text{ sets } \mathcal{T}^*[j][k] = c_{j,k,1}||c_{j,k,2}||c_{j,k,3}.$ 

- 4. R sends  $\mathcal{T}^*$  to S.
- 5. S creates an empty set S and fills it as follows. For  $i \in [n]$ ,
  - Let  $H_1(x_i)$ ,  $H_2(x_i)$ ,  $H_3(x_i)$  be the three possible positions where  $x_i$  can be mapped to in  $\mathcal{T}_R$ . Let  $\mathsf{IDX}(x_i) \in \{1,2,3\}$  be the index of the hash function that was used to eventually map  $x_i$  into  $\mathcal{T}_S$  during Step (1). Let  $\mathsf{cut} : \{0,1\}^\lambda \times \{1,2,3\} \to \lambda$  be a function with two inputs. The first input to  $\mathsf{cut}$  is a bit string L of length  $3\lambda$ , and the second input is either 1, 2 or 3. Function  $\mathsf{cut}$  outputs either the first, second or third  $\lambda$  bits of L.
  - For  $k \in [\ell]$ , S computes

$$\begin{split} d_{i,k,1} &= z_{H_{\mathsf{IDX}}(x_i),k} \oplus \mathsf{PRF}_{K_{S,H_1(x_i),k}}(h_{H_{\mathsf{IDX}}(x_i),k}) \oplus \mathsf{cut}(\mathcal{T}^*[H_1(x_i)],\mathsf{IDX}(x_i)) \\ d_{i,k,2} &= z_{H_{\mathsf{IDX}}(x_i),k} \oplus \mathsf{PRF}_{K_{S,H_2(x_i),k}}(h_{H_{\mathsf{IDX}}(x_i),k}) \oplus \mathsf{cut}(\mathcal{T}^*[H_2(x_i)],\mathsf{IDX}(x_i)) \\ d_{i,k,3} &= z_{H_{\mathsf{IDX}}(x_i),k} \oplus \mathsf{PRF}_{K_{S,H_3(x_i),k}}(h_{H_{\mathsf{IDX}}(x_i),k}) \oplus \mathsf{cut}(\mathcal{T}^*[H_3(x_i)],\mathsf{IDX}(x_i)) \end{split}$$

and appends  $d_{i,k,1}, d_{i,k,2}, d_{i,k,3}$  to S.

- 6. S shuffles S and sends it to R.
- 7. For  $i \in [n]$ , if  $\mathsf{PRF}_{K_{R,*}}(y_i) \in \mathcal{S}$ , then R outputs  $y_i$ .

Figure 6: Vector-OPRF-based misclassification protocol Vector-PDC<sub>2</sub>

knows all  $\eta$  possible buckets  $b_1, \ldots, b_{\eta}$  where each of their inputs  $x_i$  could have been be placed by R. So, S knows all  $\eta$  possible keys and sends  $\mathsf{PRF}_{K_{b_1}}(x_i), \ldots, \mathsf{PRF}_{K_{b_{\eta}}}(x_i)$  to R which can then compare PRF outputs and compute the intersection.

While this is a valid technique to employ a Vector-OPRF in a standard PSI protocol, it does not suffice for our misclassification scenario. R still knows the PRF for each of its elements and can hence determine the matching prefix index of a misclassified element. As already mentioned, a Vector-OPRF does not allow to shuffle the outputs of the PRFs before returning them and hence there is no trivial fix to this problem. We describe our approach of dual use of Vector-OPRFs in the following section.

### 4.4.2 Main Protocol

Figure 6 formalizes protocol Vector- $PDC_2$ , an application of a Vector-OPRF to the  $PDC_2$  idea. This protocol comprises the following main steps which we explain in detail.

**Step 1**. First, both S and R hash their input data elements  $x_i$  and  $y_j$  into stash-less, m-bucket, 3-hash-function Cuckoo tables  $\mathcal{T}_S$  and  $\mathcal{T}_R$ .

Step 2. For each bucket in  $\mathcal{T}_S$ , S and R run  $\ell$  OPRFs with S being the receiver. The inputs are the  $x_i||\mathsf{Prefix}_k(u_i)$  for the data element  $x_i$  mapped to that bucket. As a result, S obtains PRF outputs  $z_{j,k}$ . Then, parties run another  $\ell$  OPRFs for each bucket of  $\mathcal{T}_R$  such that R obtains PRF outputs  $z'_{j,k}$  for their inputs  $y_j||\mathsf{Prefix}_k(v_j)$ . Additionally, R obtains PRF keys  $K_{R,b,k}$  used for all buckets S in S obtains PRF keys S in S obtains PRF keys S in S is S obtains PRF keys S in S obtains PRF keys S in S in S obtains PRF keys S in S is S in S obtains PRF keys S in S in S obtains PRF keys S is S in S obtains PRF keys S in S in S in S in S obtains PRF keys S in S is S in S in S obtains PRF keys S in S

Steps 3 to 6. Let there be two elements  $x_i = y_j$  from S and R, and let R have mapped  $y_j$  into bucket  $b_1$  in  $\mathcal{T}_R$ . Due to Cuckoo hashing, R does not know where S has mapped  $x_i$  in  $\mathcal{T}_S$ , but they now the three potential buckets  $b_1, b_2, b_3$  where S could have mapped  $x_i$  to.

In Step 3, R creates another m-bucket table  $\mathcal{T}^*$ . As R has mapped  $y_j$  into  $b_1$  of  $\mathcal{T}_R$ , they put into bucket  $b_1$  of  $\mathcal{T}^*$  the corresponding  $z'_{b_1,k}$  PRF output they have received for bucket  $b_1$  during Step 2.

Assume R would send such a table  $\mathcal{T}^*$  to S. As S also knows possible bucket indexes  $b_1, b_2, b_3$  where R could have mapped  $x_i$  in  $\mathcal{T}_R$ , S would check whether one of the buckets  $b_1, b_2, b_3$  contains  $\mathsf{PRF}_{K_{S,b,k}}(x_i||\mathsf{Prefix}_k(u_i)\oplus 1)$ . This is bad, because S would learn the index of the bucket which leaks information about R's Cuckoo table. Moreover, R would also learn which of the prefixes is different between  $u_i$  and  $v_j$ .

To avoid that, R does not put  $z'_{b_1,k}$  into bucket  $b_1$  of  $\mathcal{T}^*$ , but three copies of  $z'_{b_1,k}$ , each one 1) additionally masked with the PRF output of  $y_i||\operatorname{\mathsf{Prefix}}_k(v_i) \oplus 1$  using the three possible keys  $K_{R,b_1,k}, K_{R,b_2,k}, K_{R,b_3,k}$ , and 2) masked by a random ID representing  $y_i$ . Now, S can "peel off" the first mask from *one* of these copies only if it has received the correct  $z_{b_1,k}$  for input  $x_i||\operatorname{\mathsf{Prefix}}_k(u_i)$  in bucket  $b_1$  in Step 2.

Thus, for each bucket  $b_1, b_2, b_3$  from  $\mathcal{T}^*$ , S has computed a candidate bit string  $d_{i,j}$ . At most one of these bit strings will be equal to R's random ID. Finally, S sends back all candidate bit strings in shuffled order. Again, shuffling is crucial to avoid that R learns at which index the prefixes of  $u_i$  and  $v_j$  differ.

**Step 7**. In the shuffled set of candidate strings, R searches for their random IDs. For each random ID found, R knows that the corresponding tuple  $(y_j, v_j)$  represents a misclassification.

### 4.4.3 Discussion

To ease our exposition above, we have omitted several important technicalities.

When parties are performing Cuckoo hashing using the three hash functions  $H_1, H_2, H_3$ , we must make sure that no hash collisions for parties' data elements occur. Otherwise, this leads to a security issue in Step 3. There, two (or even all three) values  $c_{j,k,1}$  would be the same, telling S something about the input of R. There exist several techniques to avoid collisions among three hash functions for Cuckoo hashing, but we choose the following straightforward one.

Let all hash functions  $H_i$  come from a set of possible hash functions  $\mathcal{H}$  that all share the property of mapping into bit strings of length  $\lambda$ . Let S and R share a seed s for a PRG. S and R pseudo-randomly choose  $H_1, H_2, H_3 \stackrel{\$}{\leftarrow} \mathcal{H}$  using the PRG. Whenever party S (or R) observes a collision for one of their input data elements  $x_i$  (or  $y_j$ ), S (or R) hashes this data element  $x_i$  (or  $y_j$ ) with the next three hash functions  $H_4, H_5, H_6 \stackrel{\$}{\leftarrow} \mathcal{H}$  into their Cuckoo table. Again  $H_4, H_5, H_6$  are chosen pseudo-randomly using the PRG. If there is still a collision for  $x_i$  (or  $y_j$ ),  $H_7, H_8, H_9$  are chosen and so on. The next data element  $x_{i+1}$  (or

 $y_{j+1}$ ) will be hashed into the Cuckoo table starting again with hash functions  $H_1, H_2, H_3$ . Using this trick, we make sure that the same inputs  $x_i = y_j$  will always be hashed with the same three hash functions. Note that the Cuckoo hash table maintains its capacity properties, since the set of hash bins for each element remains independently, identically distributed.

The formal description of protocol Vector- $PDC_2$  in Figure 6 is in the  $\mathcal{F}^{Vector-OPRF}$ -hybrid model. Both parties can make ideal calls to a trusted party implementing the Vector-OPRF functionality  $\mathcal{F}^{Vector-OPRF}$  as defined in Figure 4.

We choose our parameters for stash-less Cuckoo hashing (m = 1.27n buckets, 3 hash functions) following Pinkas et al. [32] who also provide a failure probability analysis.

# 4.5 Security Analysis

**Theorem 3.** Protocol Vector-PDC<sub>2</sub> securely implements functionality  $\mathcal{F}^{MCLASS}$  in the semi-honest security model.

*Proof.*  $\operatorname{Sim}_R(\mathcal{S}_R, \mathcal{S}_E)$ : In the first round, R receives m PRF outputs under S's keys. These can be simulated by uniformly chosen numbers in  $\{0,1\}^{\lambda}$  according to functionality  $\mathcal{F}^{\mathsf{Vector}\mathsf{-OPRF}}$  (see also Kolesnikov et al. [21] for details).

In the second round, R receives 3n numbers. For each element  $y_i \in \mathcal{S}_E$ , the simulator outputs the PRF of  $y_i$  under R's key  $K_{R,*}$ . For the other  $3n - |\mathcal{S}_E|$  elements, the simulator outputs uniformly chosen numbers in  $\{0,1\}^{\lambda}$ . The simulator outputs these 3n numbers in random order. The uniformly chosen numbers in  $\{0,1\}^{\lambda}$  are indistinguishable from the view, because either the corresponding prefix is not in S's set and hence the PRF output under S's key is indistinguishable to R or the prefix is in the set, but R only has access to one PRF output for the corresponding bucket in  $\mathcal{T}_R$ .

 $\mathsf{Sim}_S(\mathcal{S}_S)$ : In the first round, S also receives m PRF outputs but under R's keys. These can be simulated by uniformly chosen numbers in  $\{0,1\}^{\lambda}$ .

In the second round, S receives 3m numbers. All of these can be simulated by independently, uniformly chosen numbers in  $\{0,1\}^{\lambda}$ . The uniformly chosen numbers are indistinguishable from the view, because either the corresponding prefix is not in S's set and hence the PRF output under R's key is indistinguishable to R or the prefix is in the set, but S does not have access to the PRF output for the corresponding bucket in  $\mathcal{T}_S$  or it is the PRF output of  $y_i$  under R's key  $K_{r,*}$ .

# 5 Evaluation

We have implemented our protocol variations  $PDC_1$ ,  $DH-PDC_2$ ,  $KKRT-PDC_2$ , and  $VOLE-PDC_2$  and evaluated them in different settings, varying input size n, label length  $\ell$ , and network bandwidth. We run  $Vector-PDC_2$  benchmarks with two different  $Vector-OPRF_3$ , as the  $KKRT-OPRF_3$  promises better computational efficiency and the  $VOLE-OPRF_3$  lower communication efficiency.

The goal of our evaluation is to demonstrate the real-world practicality of our constructions and to point out their individual advantages depending on the setting. We have also compared our construction to an implementation of the strawman circuit-PSI approach from Section 1.3. This strawman protocol serves as a baseline, and we will see that our constructions deliver better overall performance in many realistic settings.

Our implementation is done in C++, and we will publish the source code upon publication of the paper. Both DH-based PRF and Elgamal encryption in PDC<sub>1</sub> as well as the DH-based OPRF in DH-PDC<sub>2</sub> use point operations on curve ristretto255 [8, 38], implemented in libSodium [25]. Our code for protocol KKRT-PDC<sub>2</sub> integrates the KKRT-OPRF implementation by Rindal [36]. VOLE-PDC<sub>2</sub> uses the code by Visa-Research [42] for the underlying VOLE-OPRF.

To benchmark the circuit-PSI strawman approach, we use the VOLE-based implementation of circuit-PSI by Visa-Research [42]. This implements the circuit-PSI by Rindal and Schoppmann [37] (see Figure 10 in [37]) and improvements from follow-up work [35]. To compute the actual misclassification, we change Step 5 from Fig. 10 in [37]. Instead of using 2PC to compute shares of u and v and a bit indicating whether x = y, we implement a more complex circuit that computes whether  $x = y \land u \neq v$ . This is more efficient than first computing shares in 2PC and then running an extra 2PC to perform misclassification test on the shares.

Table 2: Total runtime. Times in s, communication in MByte, \*: arbitrary length labels ( $\ell = \log n + \sigma$ ). For each setting, blue marks lowest total runtime, purple marks lowest communication, gray marks lowest

total runtime and communication if weaker security guarantees of PDC<sub>1</sub> are acceptable.

Circuit-PSI   PDC <sub>1</sub>   DH-PDC <sub>2</sub>   KKRT-PDC <sub>2</sub> VOLE-PDC <sub>2</sub>								-PDC <sub>0</sub>				
n	$\ell$	Bandwidth	Time	Comm	Time	Comm	Time	Comm	Time	Comm	Time	Comm
		1 GBit/s	1.7		6.8	0 0 0 0 0 0 0 0 0 0 0 0 0 0 0 0 0 0 0 0	1.7	0 0 0 0 0 0 0 0 0 0 0 0 0 0 0 0 0 0 0 0	0.2		0.2	
	1	100  MBit/s	12.9	146	8.6	28	2.2	5	1.2	13	0.8	7
		$10~\mathrm{MBit/s}$	126.8		30.1		5.2		11.4		6.3	
		1 GBit/s	1.8		6.8		6.9		0.7		0.5	
	4	100  MBit/s	13.7	154	8.6	28	7.3	18	4.8	53	2.6	26
		10  MBit/s	133.4		30.1		20.2		45.5		23.2	
$2^{16}$		1 GBit/s	1.9		6.8		18.0		1.6		1.2	
	10	100  MBit/s	15.0	169	8.6	28	19.1	45	11.8	131	6.1	65
		10 MBit/s	146.5		30.1		50.5		113.8		56.7	
	20	1 GBit/s	2.2	105	6.8	00	35.9	00	3.2	0.00	2.1	100
	20	100 MBit/s	17.2	195	8.6	28	39.5	90	23.7	263	12.1	129
		10 MBit/s	168.4		30.1		102.4		227.7		112.6	
	*	1  GBit/s 100  MBit/s	$\frac{2.9}{25.2}$	286	6.8 8.6	28	99.7 113.5	252	8.8 66.1	736	$5.8 \\ 33.8$	361
		100  MBit/s $10  MBit/s$	$25.2 \\ 247.4$	280	30.1		$\frac{113.5}{299.3}$		637.6	130	314.8	
		,										
	1	1 GBit/s	6.0	COF	29.3	110	7.2	10	0.7	F 4	0.6	00
	1	100 MBit/s 10 MBit/s	53.4 $523.0$	605	35.9	112	$7.8 \\ 20.2$	18	5.0	54	2.7	28
		10 MBit/s 1 GBit/s	$\frac{525.0}{6.5}$		121.0 29.3		28.8		$\frac{47.0}{2.8}$		24.6 1.9	
	4	100  MBit/s	56.0	636	$\frac{29.3}{35.9}$	112	32.2	72	19.7	217		111
		100  MBit/s $10  MBit/s$	549.3		121.0		81.2		188.2	211		111
		$\frac{10 \text{ MBH/s}}{1 \text{ GBit/s}}$	7.4		29.3		71.3		6.6		4.4	
$2^{18}$	10	100  MBit/s	61.4	697	35.9	112	81.5	180	48.8	543	25.7	275
_		10  MBit/s	601.9		121.0		210.9		470.3	0 -0	239.8	_, ,
		1 GBit/s	8.6		29.3	-	142.8	-	13.1		8.9	
	20	100  MBit/s	70.1	798	35.9	112	165.8	320	97.5	1085	51.4	550
		10  MBit/s	689.7		121.0		432.6		940.5		479.2	
	*	1 GBit/s	12.6		29.3	112	416.6	1044	37.3		30.7	
		100  MBit/s	103.7	1184	35.9 $121.0$		491.9		282.3	3147	151.3	1594
		10  MBit/s	1022.9				1290.1		2727.0		1390.2	
	1	1  GBit/s	28.0	2500	119.3		28.8	72	3.1		2.4	111
		100  MBit/s	220.5		149.0	448	32.3		20.0	217	11.2	
		10 MBit/s	2161.4		489.7		81.1		188.6		97.2	
		1 GBit/s	29.0	2022	119.3		114.7	200	11.5	0.00	8.0	4.40
	4	100 MBit/s	231.1	2622	149.0	448	132.4	288	79.0	868	42.0	440
$2^{20}$ .		10 MBit/s	2266.6		489.7		343.1		753.3		384.2	
	10	$1~\mathrm{GBit/s}$ $100~\mathrm{MBit/s}$	$31.5 \\ 252.4$	2000	119.3 149.0	448	$286.4 \\ 336.5$	720	26.8 195.8	0170	$20.5 \\ 104.6$	1100
	10	100  MBit/s $10  MBit/s$	252.4 $2477.1$	2866	$\frac{149.0}{489.7}$	448	884.6	720	195.8	2170	959.4	1100
		1 GBit/s	35.6		119.3		573.5		$\frac{1001.0}{52.3}$		49.6	
	20	100 MBit/s	287.6	3273	149.0	448	678.9	1440	390.4	4341	211.6	2199
		10  MBit/s $10  MBit/s$	2827.9	5215	489.7	110	1786.8	1440	3762.5	4941	1920.5	2100
	*	$\frac{16 \text{ HB} t/s}{1 \text{ GB} t/s}$	51.8		119.3		1733.4		3.02.0		282.0	
		100  MBit/s	429.2	4898	149.0	448	2065.2	4320	Out of	RAM	703.1	6599
		10  MBit/s	4231.1		489.7		5412.2				5832.5	

We evaluate this circuit with 2PC using the EMP-Toolkit [43]. The input bit length of the data elements is set to the optimal  $\log n + \sigma$  for 2PC, and we only vary label lengths  $\ell$ .

We have conducted our evaluation on a machine with 3.2 GHz Intel Xeon(R) W-1290 CPU and 64 GByte RAM. To emulate different network scenarios and precisely control network bandwidth, we use WonderShaper [16]. The evaluation results are shown in Table 2.

Table 3: Cloud computing costs for 100 runs in US\$ on Amazon t3.medium instances in US East data center, assuming communication at 100 MBit/s over the Internet. blue marks lowest total cost, gray marks lowest total cost if weaker security guarantees of PDC<sub>1</sub> are acceptable.

n	$\ell$	Circuit-PSI	$PDC_1$	$\mathrm{DH} ext{-}PDC_2$	$VOLE-PDC_2$			
	1	1.31		0.05	0.06			
	4	1.35		0.18	0.23			
$2^{16}$	10	1.52	0.26	0.44	0.59			
	20	1.75		0.88	1.16			
	*	2.57		2.22	3.25			
	1	5.44		0.18	0.25			
	4	5.72		0.71	1.00			
$2^{18}$	10	6.27	1.07	1.77	2.48			
	20	7.17		3.20	4.95			
	*	10.64		10.31	14.36			
	1	22.48		0.71	1.00			
	4	23.57		2.84	3.96			
$2^{20}$	10	25.77	4.28	7.10	9.91			
	20	29.43		14.22	19.81			
	*	44.03		42.74	59.61			

We vary input sizes n from  $2^{16}$  to  $2^{20}$ . For label length  $\ell$ , we focus on practical values 1)  $\ell=1$ , e.g., for binary classification, linear regression, medical diagnosis (cancer, no cancer), 2)  $\ell=4$ , e.g., for MNIST CIFAR-10 image classification [24], 3)  $\ell=10$ , e.g., for ImageNet classification [9] or types of malware, 4)  $\ell=20$ , e.g., for supporting a huge number of classes such as with GPT-3 tokens [3]. Yet, we also evaluate arbitrarily long labels, marked \* in Table 2, by setting  $\ell=\log n+\sigma$ .

We vary network bandwidth to emulate the effect of fast LAN networks with 1 GBit/s, inter-continental WANs (100 MBit/s), and even slower cell phone networks (10 MBit/s).

We measure total runtime for all schemes, i.e., from the time receiver R starts until they output misclassifications. The communication complexity comprises all data sent or received by R. For all benchmarks, we set  $\lambda = 128$  and  $\sigma = 40$ . To achieve a failure probability of less than  $2^{-40}$  for Cuckoo hashing, we use m = 1.27n and three hash functions [32].

Finally, we also present estimates for monetary costs incurred by these schemes. One could imagine that sender and receiver are running in cloud environments where CPU time and network communication have to be paid for. To estimate monetary costs, we assume pricing from an Amazon US East t3.medium AWS instance [2]. Given current throughputs over the Internet, we chose the evaluation setup that is closest to a cloud setup over different data centers. i.e., 100 MBit/s throughput.

Table 3 presents total costs (CPU and communication) in US\$ for 100 executions of each scheme.

**Discussion**. Our constructions and circuit-PSI outperform each other depending on the choice of  $\ell$  and the available network bandwidth.

For a number of labels of up to thousand  $(2^{10})$  as in current deep neural network classifiers and medium to very fast networks, VOLE-PDC<sub>2</sub> offers the lowest total runtime. It is between 50% and 2000% faster than circuit-PSI, depending on the concrete choice of  $\ell$  and bandwidth. In slow networks (10 MBit/s) and  $\ell \leq 20$ , DH-PDC<sub>2</sub> is fastest due to it having lower communication requirements. As label lengths grow to arbitrary, circuit-PSI becomes faster than both PDC<sub>2</sub> schemes, due to its lower communication requirements. However, if differentially private leakage is admissible, PDC<sub>1</sub> is the fastest approach with label lengths  $\ell \geq 10$ . It is between 387% and 764% faster than circuit-PSI, depending on the concrete choice of n,  $\ell$ , and bandwidth.

Surprisingly, despite the computational simplicity of the KKRT-OPRF, the runtime of KKRT-PDC<sub>2</sub> is always worse than the one of VOLE-PDC<sub>2</sub>. The savings in communication of the VOLE-OPRF outweigh any computational advantages of the KKRT-OPRF in our specific setting.

There exist many scenarios where the amount of communication, e.g., over the Internet, mobile networks or in a cloud setting, matters most and dominates total cost [18, 19, 39]. In such a setting, DH-PDC<sub>2</sub> is always the cheapest option with no leakage, see Table 3. Depending on the concrete choice of  $\ell$ , circuit-PSI costs between 1% and 3200% more than DH-PDC<sub>2</sub>. Again, if differentially private leakage is admissible, and label lengths become long  $\ell \geq 10$ , PDC<sub>1</sub> is the cheapest approach. It costs between 485% and 929% less than circuit-PSI, depending on the choice of n,  $\ell$ , and bandwidth.

Note that we have omitted KKRT- $PDC_2$  from Table 3, since it is always outperformed in both computation and communication by VOLE- $PDC_2$ .

# 6 Related Work

Private data cleaning (PDC) can be implemented using extended PSI, such as circuit-PSI, but we have shown that it also can be reduced to regular PSI. While the literature on PSI is too extensive to summarize it in this paper, we are not aware of related work investigating the connection between data cleaning and PSI or related work that considers mismatch (of labels) in PSI.

Labels associated with their data elements have been considered in the literature as labeled PSI [5, 6]. In labeled PSI, instead of a bit indicating inclusion in the intersection, the output is the label for each element in the intersection.

Circuit-PSI [4, 20, 33] which allows computing arbitrary circuits over the function also operates on "payloads", which are similar to labels and that we use to build our strawman.

Privacy in data cleaning has so far been considered in the single database setting. It has previously been investigated how privacy impacts the querying party and how it can be improved by tailoring data cleaning methods [23]. It has also been previously investigated how privacy can be tailored for the data scientist performing the data cleaning [12].

Collaborative data cleaning without consideration of privacy has also been investigated [28]. However, the obvious privacy implications hinder deployment, and our paper addresses the problem in a systematic and formal manner.

# 7 Conclusion

In this paper, we have formalized the problem of private collaborative data cleaning (PDC) and investigated its connection to PSI. Private collaborative data cleaning is an important primitive in data science that leads to better data and hence better models with more accurate predictions. Just as PSI, it arises in many data science applications.

While PDC can be solved using circuit-PSI, we show that its efficiency does not scale to large data set sizes. We present a construction that has complexity independent of the number of possible labels, but has a small leakage, and we present a construction that reduces PDC to PSI at the expense of increasing the complexity by the size of the possible labels. However, when the PSI-based construction is implemented using (mostly) symmetric cryptography, its efficiency for current big data sizes is very practical. We combine the currently most efficient oblivious pseudo-random functions by Kolesnikov et al. [21] and Rindal and Schoppmann [37] with a new technique for shuffling its outputs in this second, most efficient protocol. As a result, we achieve total runtime or communication improvements of up to one order of magnitude over circuit-PSI.

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