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### ABSTRACT

In the recent search for additional post-quantum designs, multivariate quadratic equations (MQE) based designs have been receiving attention due to their small signature sizes. Unbalanced Oil and Vinegar (UOV) is an MQE-based digital signature (DS) scheme proposed over two decades ago. Although the mathematical security of UOV has been thoroughly analyzed, several practical side-channel attacks (SCA) have been shown on UOV based DS schemes. In this work, we perform a thorough analysis to identify the variables in UOV based DS schemes that can be exploited with passive SCA, specifically differential power attacks (DPA). Secondly, we introduce masking as a countermeasure to protect the sensitive components of UOV based schemes. We propose efficient masked gadgets for all the critical operations, including the masked dot-product and matrix-vector multiplication. We show that our gadgets are secure in the *t*-probing model through formal proofs, mechanically verified using the maskVerif tool. We implemented and demonstrated the practical feasibility of our arbitrary-order masking algorithms for UOV-Ip and UOV-III. We show that the masked signature generation of UOV-Ip performs up to 62% better than Dilithium2 or ML-DSA and 99% better than Falcon 512 or FN-DSA. In addition, the security of our implementation is practically validated using the test vector leakage assessment (TVLA) methodology.

### **CCS CONCEPTS**

• Security and privacy → Digital signatures; Side-channel analysis and countermeasures.

### **KEYWORDS**

Post-Quantum Cryptography, Digital Signatures, Masking, UOV.

#### **INTRODUCTION** 1

The National Institute of Standards and Technology (NIST) recently published the first set of post-quantum (PQ) digital signature algorithm (DSA) standards [1]. Currently, this set constitutes ML-DSA [33] (CRYSTALS-Dilithium) and SLH-DSA [34] (Sphincs+), while FN-DSA [23] (Falcon) will be released in the near future. One common characteristic of these standard schemes is their large signature size, which is multiple orders  $(10-120\times)$  the size of existing elliptic curve discrete signature algorithm (EC-DSA) signatures. This

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creates serious bottlenecks for many critical applications. For example, in a chain-of-trust-based authentication in the transport layers security (TLS) where an entity, e.g a website, is authenticated by a series of certificates i.e. root certificate-intermediate certificate<sup>1</sup>-leaf *certificate*,  $l \ge 1$ , this results in a huge blowup in the required transmission bandwidth. This problem has led to the proposal of some unorthodox approaches, such as KEMTLS [44] or KEMTLS with redistributed public-keys [45].

Nonetheless, the adoption and integration of current PQC standards pose a significant challenge for devices with constrained resources, such as the Internet of Things devices, sensor nodes, etc. These devices use constrained radio networks (CRN) such as Low-Power Personal Area Networks (LPPANs) g.s Bluetooth Low Energy with a range from a few centimeters to a few meters, and Low Power Wide Area Networks (LPWANs) such as LoRaWAN, Sigfox, IEEE 802.11ah, etc. which have ranges of several kilometers. Due to operational constraints, CRNs have very small frame sizes, ultra-low speeds, and very high latency. For example, LoRaWAN has 51 bytes frames and 11 bytes frames in Europe and the United States, respectively. The small frame size combined with approximately 1% duty cycle i.e. a device sends data for 36 seconds and waits for an hour, basically means that it may take a few days (or even more in case of transmission errors) to transmit the 2.4KB signature payload of ML-DSA. Furthermore, in resource-constrained devices (RCD), the energy cost for radio transmission is significantly larger compared to the computational costs [20, 31, 38]. Similarly, the signature size of SLH-DSA and its large signing time, makes the scheme unsuitable for integration into RCDs. Interestingly, FN-DSA produces relatively small signatures (666 bytes) but due its complex data structures (Falcon tree) and floating point arithmetic it is challenging to implement (securely) on embedded devices [1].

|--|

Algorithm	EC-DSA	ML-DSA	FN-DSA	SLH-DSA	UOV
Assumption	EC DLP	SIS	NTRU	Hash	MQE
Signature [B]	64	2420	666	7856	96

As a result, NIST explicitly mentions the need for PQ DSAs suitable for RCDs in their call for the standardization of additional PQ DSAs [32], which has advanced to its second round. Signature schemes based on the hardness of solving multivariate quadratic

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equations (MQE) [9, 13, 40, 41], which is an NP-complete problem [29] offer relatively much smaller signature sizes compared to the PQDS schemes based on other hard problems and only slightly larger than EC-DSA (Table 1). In this paper, we focus on the Unbalanced Oil and Vinegar (UOV) DSA [11], which was originally proposed by Kipnis et al. [30] and is a Round 2 candidate. Several other MQE-based DSAs have been constructed using the UOV framework. Among them, QR-UOV [24], SNOVA [49], and MAYO [10], which have also advanced to Round 2 of the NIST additional DSA standardization process [36]. Another scheme, MQ-Sign [47], was a finalist candidate in the recently concluded Korean PQC standardization procedure [42].

Side-Channel attacks (SCA) exploit the physical phenomena of devices which are performing cryptographic operations dependent on secret key material. Examples of such phenomena include secretdependent execution time, power consumption, electromagnetic radiation, etc. For a real-world deployment of cryptographic schemes, especially those running on small RCD, such attacks are one of the most potent threats [3, 39, 51]. Therefore, integrating countermeasures against side-channel attacks is a crucial and necessary step for a real world deployment of any cryptographic scheme. NIST also stressed on this criterion in its call for standardization [32]. Masking is a well-known and provably secure countermeasure against differential power attacks (DPA), first introduced by Chari et al. [12]. Here, secret values are split into multiple randomized shares and computations are performed in such a way that an adversary who is not able to recover all shares, cannot construct the full secret.

**Contribution**. In this work, we present a complete analysis and methodology for masking and protecting the full UOV digital signature scheme against first- and higher-order DPAs, which we formally and empirically validate. There are many works that have proposed secure masking algorithms for current NIST PQC DSA standards [8, 14, 18]. To the best of our knowledge and in spite of being in existence for a long time, there are no masking schemes for the UOV DSA. Therefore, the primary motivation for this work is to close this gap in research. More specifically, our contributions are below.

- First, we perform a systematic and rigorous sensitivity analysis on the complete UOV scheme. We identify critical variables and operations that require protection against DPAs.
- Second, we propose novel masked gadgets for all sub-operations and provide formal proofs in the *t*-probing model, which are verified using the maskVerif tool [5]. We propose an efficient, arbitrary-order masked algorithm for matrix-vector multiplication based on our SecDotProd gadget. We propose a *lazy compression* technique, which requires only a single, costly mask refresh when performing the masked dot product between two vectors of *l* coefficients, compared to the standard approach requiring *l* refresh operations. Our approach allows the delay of the expensive share re-masking and final compression and performs it once, combining all cross-products at once. Our gadget allows us to construct efficient and secure matrix-vector multiplications, on which all MQE-based schemes heavily rely.
- Third, we combine our novel and efficient gadgets with methods from prior work to present an open-sourced, arbitraryorder masked implementation of all sensitive UOV routines

of key generation, secret key expansion, and signature generation.

- Fourth, we experimentally validate the security of the firstorder implementations of our proposed gadgets using test vector leakage assessment (TVLA) methodology (1M executions). We demonstrate how to eliminate physical leakages due to micro-architectural effects in masked implementations and identify compiler optimization flags, which allow for the use of aggressive compiler optimization (-o3) without impacting security.
- Fifth, we compare the performances of masked UOV implementations with other PQ DSAs. Additionally, we demonstrate the benefits of using our techniques and implementation to deploy PQ-secure cryptography in embedded environments.

We make the source code of our implementation and the scripts for formal verification of our proofs in the *t*-probing model (maskVerif) available for reviewers at https://anonymous.4open.science/r/mUOV-CCS-EB53/. (see Appendix A).

*Outline.* We first introduce the notation and definitions used throughout this work and a description of the UOV DSA in Section 2. Subsequently, in Section 3, we analyze the UOV scheme from sidechannel perspective and analyze which components are sensitive and require protection against DPAs. We propose novel, arbitraryorder masked gadgets for efficient vector & matrix arithmetic in Section 4. In Section 5, we propose mUOV, which includes the masked key generation and signature generation algorithms. We present and discuss our implementation, including an extensive performance and security evaluation in Section 6. Finally, we conclude with applications of masked UOV in Section 7.

### 2 PRELIMINARIES

#### 2.1 Notation

We use  $\mathbb{F}_q$  to denote a finite field with q elements and q a powerof-two positive integer. All vectors and matrices are defined over  $\mathbb{F}_q$ . Lower-case letters (e.g., *x*) denote field elements/ coefficients, lower-case bold letters (e.g., v) represent vectors and upper-case bold letters denote matrices (e.g., M). All vectors are in the column form, and the transpose of the matrix **M** is denoted by  $\mathbf{M}^{\mathsf{T}}$ . The identity matrix of size *m* is denoted by  $\mathbf{I}_m$ , while  $\mathbf{0}_k$  is the zero column vector.  $x \leftarrow S$  represents the (random) sampling of x from the set S. The *i*th bit position of a field element *x* is represented with  $x^{[i]}$ . The *j*th element of the vector **v** is indicated as  $\mathbf{v}[j]$ . The (j,k)th element of the matrix **M** is represented as  $\mathbf{M}[i,k]$  and the elements of the positions (j,k) to (j,k+l) of the matrix **M** is represented collectively as  $\mathbf{M}[j,k:k+l]$ . A sequence of *n* shares  $(x_1,...,x_n)$  of a sensitive variable *x* is represented as  $(x_i)_{1 \le i \le n}$  or  $(x_i)$ , when the number of shares *n* is clear from context. In this work, we use Boolean masking, where  $x = x_1 + \dots + x_n$ , and the addition is a logical XOR ( $\oplus$ ).

#### 2.2 Masking

Ishai et al. [28] introduced the *t*-probing model, a theoretical framework to argue about the practical security of the masking countermeasure. It allows an adversary to probe *t* intermediate values in a masked implementation: if any such *t* probes do not leak information

about the unshared secret, the implementation is *t*-probing secure. Barthe et al. [6] introduced several security notions, which allow us to prove the probing security of the composition of sub-operations (*gadgets*). We now recall the security notions used in this work, as presented in [43].

Definition 2.1 (t-(Strong-)Non-Interference (t-(S)NI) security). A gadget with one output sharing and  $m_i$  input shares is t-NI (resp. t-SNI) secure if any set of at most  $t_1$  probes on its internal wires and  $t_2$  probes on wires from its output sharings such that  $t_1+t_2 \le t$  can be simulated with  $t_1+t_2$  (resp.  $t_1$ ) shares of each of its  $m_i$  input sharings.

We also recall two extensions for these notions, which are required when masking digital signature schemes. These involve making values public, such as the computed signatures.

Definition 2.2 (free-t-Strong-Non-Interference (free-t-SNI) security [19]). A gadget with one output sharing  $b_i$  and  $m_i$  input sharings is free-t-SNI secure if any set of at most  $t_1$  probes on its internal wires such that  $t_1 \leq t$  there exists a subset I of input indices with  $|I| \leq t_1$ , such that the  $t_1$  intermediate variables and the output variables  $b_{|I|}$  can be perfectly simulated from  $a_{|I|}$ , while for any  $O \subsetneq [1,n] \setminus I$  the output variables in  $c_{|O|}$  are uniformly and independently distributed, conditioned on the probed variables and  $c_{|I|}$ .

Definition 2.3 (t-Non-Interference with public outputs (t-NIo) security [7]). A gadget with public output *b* and *m<sub>i</sub>* input sharings is *t*-NIo secure if, for any set of  $t_1 \le t$  intermediate variables, there exists a subset I of input indices with  $|I| \le t_1$ , such that  $t_1$  intermediate variables can be perfectly simulated from  $x_{|I|}$  and *b*.

### 2.3 UOV-DSA

This work specifically targets the UOV digital signature scheme, as submitted to the latest NIST standardization process [11]. Its Round 2 specification defines three variants: classic, pkc, and pkc+skc. These variants are designed to offer different trade-offs between memory utilization and performance. The classic variant employs a standard key generation process, resulting in the expanded secret and public keys (epk,esk). The pkc (public key compact) variant introduces a compact representation for the public key (cpk), significantly reducing memory requirements (Figure 1). The ExpandPK algorithm is invoked during the verification phase to expand the public key before it can be used in verification computations, at the cost of increased latency (Fig. 2). Finally, the pkc+skc (public & secret key compact) variant further optimizes storage by employing compact representations for both the secret and public keys (csk,cpk). In addition to the modifications during the verification phase, the ExpandSK algorithm is executed during the signature generation phase to expand the secret key. This variant minimizes storage overhead at the expense of increased computation time during both signature generation and verification. We present the parameter set of the different variants of UOV in Table 2. Throughout this text, we will denote vector/matrix dimension n-m as l.

#### **3 SENSITIVITY ANALYSIS OF UOV-DSA**

Performing a sensitivity analysis is a crucial first step in order to determine which variables require masking or protection to mitigate SCAs. We identify ExpandSK, CompactKeyGen, and Sign (Fig. 3 - 5) as vulnerable to differential power attacks, as they involve the secret key.

CompactKeyGen() (1)  $seed_{sk} \leftarrow \{0,1\}^{sk\_seed\_len}$ ## sk\_seed\_len = 256 ## pk\_seed\_len=128 (2)  $(seed_{pk}, \mathbf{O}) := Expand_{sk}(seed_{sk})$  $\left\{\mathbf{P}_{i}^{(1)},\mathbf{P}_{i}^{(2)}\right\}_{i\in[m]}$ :=Expand**p**(seed<sub>pk</sub>) (3) (4) for i = 1 upto m do  $\mathbf{P}_i^{(3)} := \mathsf{Upper}\left(-\mathbf{O}^{\mathsf{T}} \mathbf{P}_i^{(1)} \mathbf{O} - \mathbf{O}^{\mathsf{T}} \mathbf{P}_i^{(2)}\right)$ (5) (6)  $cpk := \left( \text{seed}_{pk}, \left\{ \mathbf{P}_{i}^{(3)} \right\}_{i \in [m]} \right)$ (7)  $csk := seed_{el}$ (8) return (cpk,csk) Sign(esk,µ) (1) salt  $\leftarrow \{0,1\}^{salt\_len}$ ## salt\_len = 128 (2)  $t := Hash(\mu || salt)$ (3) **for** ctr = 0 upto 255 **do**  $\mathbf{v} := \mathsf{Expand}_{\mathbf{v}}(\mu || \mathsf{salt} || \mathsf{seed}_{\mathsf{sk}} || \mathsf{ctr})$ (4) (5)  $L := \mathbf{0}_{m \times m}$ for *i* = 1 upto *m* do (6) Set *i*-th row of **L** to  $\mathbf{v}^{\mathsf{T}}\mathbf{S}_i$  $\mathbf{y} \coloneqq [\mathbf{v}^\top \mathbf{P}_i^{(1)} \mathbf{v}]_{i \in [m]}$ (8)  $\mathbf{x} := \mathbf{L}^{-1}(\mathbf{t} - \mathbf{y})$ ##  $\mathbf{x} = \perp$  if det( $\mathbf{L}$ ) = 0 (9) (10) if x≠⊥ then  $\mathbf{s} := \begin{bmatrix} \mathbf{v} \\ \mathbf{0}_m \end{bmatrix} + \begin{bmatrix} \mathbf{O} \\ \mathbf{I}_m \end{bmatrix} \mathbf{x}$  $\sigma := (\mathbf{s}.\text{salt})$ return  $\sigma$ (14) return ⊥ Verify( $epk, \mu, \sigma$ ) (1)  $t := Hash(\mu || salt)$ (2) return t== $[s^T \mathbf{P}_i s]_{i \in [m]}$ 

Figure 1: Main UOV-DSA (pkc) routines [11]

ExpandSK(csk)
(1) $(seed_{pk}, 0) := Expand_{sk}(seed_{sk})$
(2) $\left\{ \mathbf{P}_{i}^{(1)}, \mathbf{P}_{i}^{(2)} \right\}_{i \in [m]} := Expand_{\mathbf{P}}(seed_{pk})$
(3) <b>for</b> $i = 1$ upto $m$ <b>do</b>
(4) $\mathbf{S}_i := \left( \mathbf{P}_i^{(1)} + \mathbf{P}_i^{(1)\top} \right) \mathbf{O} + \mathbf{P}_i^{(2)}$
(5) $esk := \left( seed_{sk}, \mathbf{O}, \left\{ \mathbf{P}_{i}^{(1)}, \mathbf{S}_{i} \right\}_{i \in [m]} \right)$
(6) return esk
ExpandPK $(cpk)$
(1) $\left\{ \mathbf{P}_{i}^{(1)}, \mathbf{P}_{i}^{(2)} \right\}_{i \in [m]} := Expand_{\mathbf{P}}(seed_{pk})$
(2) <b>for</b> $i=1$ upto $m$ <b>do</b>
$ \mathbf{P}_{i} = \begin{bmatrix} \mathbf{P}_{i}^{(1)} & \mathbf{P}_{i}^{(2)} \\ 0 & \mathbf{P}_{i}^{(3)} \end{bmatrix} $
(4) $epk := \{\mathbf{P}_i\}_{i \in [m]}$
(5) return epk

Figure 2: UOV-DSA secret- and public-key expansion [11]

They contain colour-coded representations of the sensitive components within the UOV scheme. All public data, including (compact/expanded) public key, message and signature of a message, are nonsensitive and indicated in <u>blue</u>. All sensitive data, and operations dealing with them, are highlighted in <u>red</u>. In contrast, both ExpandPK and

Table 2: Parameter sets for all UOV-DSA variants.

Schame	Security	Pa	ramet	ers
Scheme	Level	n	m	q
UOV-Ip	I	112	44	256
UOV-III	і І тт	184	72	256
UOV-V	V	244	96	256

Verify are not sensitive, as they operate exclusively on public inputs and variables that do not facilitate signature forgery or key recovery.

UOV.CompactKeyGen. The compact key generation algorithm first samples the seed seed<sub>sk</sub>, from which seed<sub>pk</sub> and secret matrix  $\mathbf{O}$ , which corresponds to the oil space, are derived. Here seed<sub>sk</sub> is a sensitive variable and Expand<sub>sk</sub> is a sensitive algorithm. Both need protection and are targets for applying masking, while the public key seed seed<sub>pk</sub> can be unmasked after generation.



Figure 3: Sensitivity analysis of UOV. CompactKeyGen.

The seed  $_{pk}$  is used as input in  $\mathsf{Expand}_{\boldsymbol{P}}$  to construct two public man trices:  $\mathbf{P}_{i}^{(1)}$  and  $\mathbf{P}_{i}^{(2)}$ , which are used to compute  $\mathbf{P}_{i}^{(3)}$ . Here, seed<sub>pk</sub>,  $\mathbf{P}_{i}^{(1)}$ ,  $\mathbf{P}_{i}^{(2)}$  and  $\mathbf{P}_{i}^{(3)}$  are non- sensitive. However, the computation of  $\mathbf{P}_{i}^{(3)}$  is a sensitive operation due to its dependence on the secret vector **O**, as illustrated in the lower portion of the Figure 3. It is crucial to emphasize that, despite this dependence, the (final) value of  $\mathbf{P}_{i}^{(3)}$  does not leak any information regarding the secret vector **O**.

UOV. ExpandSK. The algorithm ExpandSK operates on the secret key, so it is sensitive and requires protection. As shown in Figure 4, the computation of  $\mathbf{S}_i$  involves the sensitive variable  $\mathbf{O}$ . An adversary could derive the secret **O** from the matrices  $\mathbf{S}_i$  and hence it requires masking. The variables  $\mathbf{P}_{i}^{(1)}$  and  $\mathbf{P}_{i}^{(2)}$  are public and not sensitive.



Figure 4: Sensitivity analysis of UOV. ExpandSK.

UOV. Sign. The signature generation algorithm takes the expanded secret key *esk* and message  $\mu$  as input. It first samples a random salt, and computes the message digest t as  $Hash(\mu || salt)$ . These variables are public and non-sensitive. We then compute the pre-image s of t using the secret key via rejection sampling. This requires uniformly sampling a vinegar vector  $\mathbf{v} \in \mathbb{F}_q^n$ . This sampling can be done by running Expand<sub>v</sub> on input seed<sub>sk</sub>, $\mu$ , and salt. This computation is sensitive, since it may leak information about seed<sub>sk</sub>, and it may influence

the distribution of **v** (Figure 5). Subsequently,  $\mathbf{y} = [\mathbf{v}^{\top} \mathbf{P}_i^{(1)} \mathbf{v}]_{i \in [m]}$ is computed. This operation (and its variables) are sensitive because the adversary can perform a SCA to retrieve v, which leads to a key recovery.



Figure 5: Sensitivity analysis of UOV. Sign.

Once the vinegar variable is fixed, then the quadratic system  $\mathcal{P}(\mathbf{s}) = \mathbf{t}$  is converted to a linear system  $\mathbf{L}\mathbf{x} = \mathbf{t} - \mathbf{y}$ . Clearly **L** is sensitive and should be masked, as it can lead back to the oil space. Now, if L is invertible, then x can be computed by performing Gaussian elimination (GE), allowing the computation of s, finally. Otherwise, v is re-sampled and the previous process is repeated. The GE operation must be masked because it can reveal information about the secret oil space **O**. However, **x** is part of the public signature **s** and can thus be revealed after its computation. The execution time of signature generation leaks ctr value, so we can consider ctr is also non-sensitive.

Differences with [2]. While this work was ongoing, a similar work appeared on IACR ePrint and was subsequently published in PQCrypto '25. As the contents in our work differ from several claims and conclusions related to masking in the other work[2, Section 5.5], we explicitly go over those differences.

**Protecting S***<sup>i</sup>* and **O**. First, we would like to explicitly highlight the need for applying the masking countermeasure on CompactKeyGen and ExpandSK routines to prevent DPAs in embedded environments. In such a scenario, the matrices  $\mathbf{S}_i$  and  $\mathbf{O}$  will be generated in a shared manner, as it involves the secret oilspace.

Protecting v. Second, the authors of [2] propose to unmask the sensitive variable v during the quadratic evaluation and computation of  $\mathbf{y} = [\mathbf{v}^\top \mathbf{P}_i^{(1)} \mathbf{v}]_{i \in [m]}$ . Instead, they propose to mask the public values  $\mathbf{P}_{i}^{(1)}$ . The main argument provided by the authors is an SPA [3]. As this matrix is public, it does not require side-channel protection and unmasking the sensitive value exposes an implementation against DPAs. Additionally, masking is not an appropriate technique for protecting against SPAs. Instead, our approach protects against any DPA and alternative countermeasures can be integrated to protect against SPAs. This includes shuffling the operations in the multiplications with the public values and performing a mask refreshing on v, between the multiplication of each share with  $\mathbf{P}_{i}^{(1)}$ . In this case, if an attacker is able to obtain one share of  $(\mathbf{v}_i)$  via a profiling attack, the next share will be randomly masked via a different random. As such, even if an attacker obtains all shares, the original  $(\mathbf{v}_i)$  can never be reconstructed as all shares belong to a different set of fresh masks. We note that all multiplications of sensitive variables with a public value are vulnerable against such SPAs and require appropriate hardening.

**Protecting L**. In contrast to the claims in prior work, the sensitive matrix **L** requires protection against DPAs (through masking). This includes solving the system of linear equations  $\mathbf{L}\mathbf{x} = \mathbf{t} - \mathbf{y}$  through masked gaussian elimination, instead of the unmasked variant proposed in [2].

### 4 EFFICIENT MASKED GADGETS

In this section we propose and introduce masking techniques for all sub-operations in the UOV DSA. All novel gadgets are described by a *t*-order algorithm (n = t + 1 shares) and accompanied with a detailed description. More specifically:

- SecDotProd and SecMatVec: efficient masked dot product on two Boolean masked vectors, based on our novel *lazy compression*. It is the main building block for matrix-vector multiplication, as used during key generation and signing.
- SecQuad: masked evaluation of a quadratic form, based on masked matrix-vector multiplication, as used during signing.

All components, including gadgets from literature, required to achieve fully masked UOV (Section 5) are listed in Table 3.

Table 3: Overview of used gadgets, with n = t+1 shares.

Algorithm	Description	Security	Reference
SecREF	Refresh of Boolean masking	t-SNI	[6,17]
FullAdd	Secure unmasking of Boolean shares	t-NI	[7, 16] & Alg. 7
SecDotProd	Dot prod. of two Boolean masked vectors	t-SNI	Algorithm 1
SecMatVec	Matrix-vector multiplication	t-SNI	Algorithm 2
SecQuad	Evaluation of a quadratic form	t-SNI	Algorithm 3
SecRowEch	Matrix conversion to row echelon form	t-NIo	[37] & Alg. 8
SecBackSub	Masked back substitution with public output	t-NIo	[37] & Alg. 9

**Methodology.** We prove all algorithms/gadgets to be t-(S)NI secure in the probing model via simulation. We show how probes on intermediate variables and output shares of a gadget can be perfectly simulated with only a limited number of input shares. For algorithms which are composed from multiple gadgets, we rely on the t-(S)NI properties of the sub-gadgets to argue about simulatability of all values. For example, the set of probes required from the input shares of a t-SNI gadget is independent from the amount of probes on its output shares. By iterating over all possible intermediate (and output) variables of each sub-gadget, starting at the output and moving to the input of the algorithm, all required probes for simulation are summed. Additionally, we mechanically verify all (first- and second-order) t-(S)NI claims/proofs using the verification tool maskVerif.

#### 4.1 Masked Dot Product

The (masked) matrix-vector multiplication operation is critical in multivariate-based post-quantum crypto. As highlighted in Section 2, it is also the case for the UOV scheme. We propose a method to efficiently compute the masked dot product (SecDotProd) using *lazy compression*. The typical computation of a masked multiplication involves three stages: computation of cross-products, re-sharing and compression into the final *n* shares. Computing a dot-product of two *l*-dimensional vectors naïvely thus requires performing *l* masked multiplications (i.e. re-sharing and compression) and summing the *l* results. We propose a more efficient technique: by delaying the re-sharing and compression of the cross-products, until completing them for all *l* elements in the input vectors **x** and **y**, we only need to

perform them once at the end. We now discuss our approach in detail, which is inspired by the approach in [26], modifying the domainoriented ISW multiplication [27, 28] by delaying the compression stage when chaining multiplications.

#### Algorithm 1: SecDotProd

	<b>Data:</b> Boolean sharings $(\mathbf{x}_i)$ and $(\mathbf{y}_i)$ of vectors $\mathbf{x}, \mathbf{y} \in \mathbb{F}_q^l$ .					
	<b>Result:</b> A Boolean sharing $(z_i)$ of a coefficient $z = \mathbf{x}^T \mathbf{y} \in \mathbb{F}_q$ .					
1	$(u_{ij}),(w_i):=0$					
2	## Compute and sum $l$ cross-products					
3	for $k=1$ upto l do					
4	<b>for</b> $i = 1$ upto $n$ <b>do</b>					
5	<b>for</b> $j = i+1$ upto n <b>do</b>					
6	$u_{ij} = u_{ij} + \mathbf{x}[k]_i \mathbf{y}[k]_j$					
7	$ \qquad \qquad$					
8	$(w_i) = (w_i + \mathbf{x}[k]_i \mathbf{y}[k]_i)$					
9	## Resharing					
0	for $i = 1$ upto $n$ do					
1	<b>for</b> $j = i+1$ upto $n$ <b>do</b>					
2	$r_{ij} \leftarrow \mathbb{F}_q$					
3	$u_{ij} = u_{ij} + r_{ij}$					
4						
5	$(z_i) := (w_i + \sum_{j=1, j \neq i}^n u_{ij}) \qquad \qquad \texttt{## Compression}$					
6	return $(z_i)$					

**Computation of** *l* **cross-products.** The cross-products for *l* input coefficients of  $(\mathbf{x}_i)$  and  $(\mathbf{y}_i)$  are computed and summed. We observe here that since no cross-products are combined, and all input coefficients are independent, they can be computed independently and each summed together.

**Resharing.** The cross-products which contain shares of both inputs with different share indices  $(i \neq j)$  are now refreshed using a fresh random share. This is to prevent the re-combination of all shares of a single coefficient in the following step.

**Compression.** The refreshed partial sums are now combined into the final output values  $z_i$ . As proposed in [22], it is critical (for security) that the result of the computation of  $z_i$  is stored in a memory element and only the full result is returned. This is not necessary for probing security, but required for *t*-SNI security. It is clear that only performing the re-sharing and compression step once, as proposed here, is more efficient than performing it for every input coefficient pair and summing the results of those multiplications.

4.1.1 Complexity. Here, we discuss the run-time complexity (number of operations) and randomness complexity of the SecDotProd operation, following the approach proposed in [15, 43]. We denote the run-time and randomness complexity of an operation Operation by  $T_{\text{Operation}}$  and  $R_{\text{Operation}}$ , respectively. We also assume that the runtime cost of random number generation is unit time and operands are  $w = \lceil \log(q) \rceil$  bits wide. The run-time and randomness complexity of SecDotProd are:

$$T_{\text{SecDotProd}}(l,n) = l \cdot n \cdot \left(\frac{2n(n-1)}{2} + 1\right) + n \cdot \frac{3n(n-1)}{2} + n(n-1)$$
$$= ln^3 - ln^2 + ln + \frac{3}{2}n^3 - \frac{1}{2}n^2 - n,$$
$$R_{\text{SecDotProd}}(l,n,w) = n \cdot \frac{n(n-1)}{2} \cdot w = \frac{1}{2}n^3w - \frac{1}{2}n^2w.$$

4.1.2 Security. We now show that the SecDotProd gadget is *t*-SNI secure with n = t+1 shares, providing resistance against a probing adversary with *t* probes and allowing us to use the gadget in larger compositions.

LEMMA 4.1. The gadget SecDotProd (Algorithm 1) is t-SNI secure.

*Proof.* The full proof is included in Appendix B. Additionally, we verify its *t*-SNI notion using maskVerif, for first- and second-order.

### 4.2 Masked Matrix-Vector Multiplication

We now show how the optimized SecDotProd gadget is used to compute a masked matrix vector multiplication (SecMatVec) in an efficient manner. As shown in Algorithm 2, by applying the dot product on each row (*m* in total) of a Boolean masked matrix ( $\mathbf{A}_i$ ), the shared vector ( $\mathbf{b}_i$ ) with  $\mathbf{b} = \mathbf{A}\mathbf{x} \in \mathbb{F}_q^m$  can be computed (*m* iterations, *m* coefficients).

Algorithm 2: SecMatVec
<b>Data:</b> 1. A Boolean sharing $(\mathbf{A}_i)$ of a matrix $\mathbf{A} \in \mathbb{F}_q^{m \times l}$ .
2. A Boolean sharing $(\mathbf{x}_i)$ of a vector $\mathbf{x} \in \mathbb{F}_q^{\hat{l}}$ .
<b>Result:</b> A Boolean sharing $(\mathbf{b}_i)$ of the vector $\mathbf{b} = \mathbf{A}\mathbf{x} \in \mathbb{F}_q^m$
<b>1</b> for $j=1$ upto m do
3 return (b <sub>i</sub> )

4.2.1 Complexity & Security. The run-time and randomness complexity of SecMatVec are:

$$T_{\text{SecMatVec}}(l,m,n) = lmn^3 - lmn^2 + lmn + \frac{3}{2}mn^3 - \frac{1}{2}mn^2 - mn,$$
  
$$R_{\text{SecMatVec}}(l,m,n,w) = \frac{1}{2}mn^3w - \frac{1}{2}mn^2w.$$

We now prove Algorithm 2 to be t-SNI secure with n = t + 1 shares, providing resistance against a probing adversary with t probes and allowing us to use the gadget in larger compositions.

*Proof.* This is a direct result from the SecDotProd gadget being *t*-SNI secure. As each iteration is *t*-SNI secure and independent, the whole loop is *t*-SNI too. It is clear that if an adversary can probe *t* times in total across different iterations or independent outputs, these can be simulated with no more number of input shares.

Additionally, we verified that the SecMatVec gadget satisfies the *t*-SNI notion at first- and second-order using maskVerif.

#### 4.3 Masked Quadratic Form Evaluation

The quadratic form evaluation is used in the UOV scheme to compute the vector  $\mathbf{y} = [\mathbf{x}^T \mathbf{P}_j \mathbf{x}]_{j \in [m]}$ . Our masked gadget operates on the Boolean shares  $(\mathbf{x}_i)$  and public matrices  $\{\mathbf{P}_j\}_{j \in [m]}$ , and it is

described in Algorithm 3. The computation happens in two steps: first the masked matrix  $(\mathbf{T}_i) = (\mathbf{P}_j \mathbf{x}_i)$  is computed in a share-wise manner, using *m* public matrices to compute its *m* columns. After which the SecMatVec gadget is used to compute the matrix-vector multiplication  $(\mathbf{y}_i) = (\mathbf{x}_i^T)(\mathbf{T}_i)$  on two Boolean shared operands.

**Computation of**  $\mathbf{T} = {\{\mathbf{P}_j\}}_{j \in [m]} \mathbf{x}$ **.** As the *m* matrices  ${\{\mathbf{P}_j\}}$  are public, they can be multiplied in a share-wise manner with the sensitive vector ( $\mathbf{x}_i$ ). Each masked multiplication (Line 3) is a column of matrix ( $\mathbf{T}_i$ ).

**Computation of**  $\mathbf{y} = \mathbf{x}^T \mathbf{T}$ . After the full Boolean masked matrix  $(\mathbf{T}_i)$  is constructed, it is multiplied with Boolean masked  $(\mathbf{x}_i)$  on Line 4. Here, we rely on the property  $(\mathbf{x}^T \mathbf{T})^T = \mathbf{T}^T \mathbf{x}$  to calculate the desired result through the SecMatVec gadget. Also, the masking of vector  $(\mathbf{x}_i)$  is first refreshed to ensure both inputs of the gadget are independent (Line 1).

Algorithm 3: SecQuad					
<b>Data:</b> 1. Public matrices $\{\mathbf{P}_j \in \mathbb{R}\}$	$\mathbb{F}_q^{l \times l}\}_{j \in [m]}.$				
2. A Boolean sharing (2	$\mathbf{x}_i$ ) of the vector $\mathbf{x} \in \mathbb{F}_q^l$				
<b>Result:</b> A Boolean sharing $(\mathbf{y}_i)$ of the vector $\mathbf{y} = [\mathbf{x}^T \mathbf{P}_j \mathbf{x}]_{j \in [m]} \in \mathbb{F}_q^m$					
$(\mathbf{s}_i) := SecREF((\mathbf{x}_i))$					
<sup>2</sup> <b>for</b> $j = 1$ upto m <b>do</b>					
	/* $\mathbf{T}_i \in \mathbb{F}_q^{l  imes m}$ */				
4 $(\mathbf{y}_i) := \text{SecMatVec}((\mathbf{T}_i^T), (\mathbf{s}_i))$	$/* \mathbf{y}^T = (\mathbf{x}^T \mathbf{T})^T = \mathbf{T}^T \mathbf{x} */$				
5 return $(\mathbf{y}_i)$					

*4.3.1 Complexity.* The run-time and randomness complexity of SecQuad are:

$$\begin{split} T_{\mathsf{SecQuad}}(l,m,n) &= (\frac{3}{2}ln^2 - \frac{3}{2}ln) + (\frac{1}{2}l^2m^2n + \frac{1}{2}l^2mn) \\ &+ (lmn^3 - lmn^2 + lmn + \frac{3}{2}mn^3 - \frac{1}{2}mn^2 - mn) \\ R_{\mathsf{SecQuad}}(l,m,n,w) &= (\frac{1}{2}ln^2w + \frac{1}{2}lnw) + (\frac{1}{2}mn^3w - \frac{1}{2}mn^2w). \end{split}$$

*4.3.2* Security. We now argue about the first- and high-order security of Algorithm 3 by proving it to be *t*-SNI secure with n = t+1 shares. This means it provides resistance against an adversary with *t* probes and allows using the algorithm in larger compositions.

LEMMA 4.3. The gadget SecQuad (Algorithm 3) is t-SNI secure.

*Proof.* Figure 9c depicts an overview of the construction of Algorithm 3 from its elementary gadgets. Apart from those listed in Table 3, we model the loop of linear operations in Line 2-3 as a *t*-NI gadget  $G_2$  ('Loop'), which we prove first. Subsequently, we prove the security of the larger composition.

We first argue that a single iteration (Line 3) is *t*-NI, which is trivial as the inputs are processed in a share-wise manner. Similar as before, if an attacker can probe across different independent iterations, the *t* intermediate values can be simulated with no more number of shares of input  $(\mathbf{x}_i)$ . As a result, the whole loop is considered to be executed in parallel and modeled as single *t*-NI gadget  $G_2$ .

We now prove that the combination of all operations (whole gadget) are *t*-SNI (Lemma 4.3). An adversary can probe each gadget



Figure 6: An abstract diagram of SecQuad (Algorithm 3). The *t*-NI gadgets are depicted with a single border, the *t*-SNI gadgets with a double border.

 $(G_i)$  internally or at its output. The number of internal and output probes for each gadget are denoted as  $t_{G_i}$  and  $o_{G_i}$ , respectively. The total number of probes  $t_{A_3}$  and output shares |O| of Algorithm 3 are:  $t_{A_3} = \sum_{i=1}^3 t_{G_i} + \sum_{i=1}^2 o_{G_i}$ ,  $|O| = o_{G_3}$ .

We show that the internal and output probes can be perfectly simulated with  $\leq t_{A_3}$  input shares. Firstly, to simulate the internal and output probes on gadget  $G_3$ , only  $t_{G_3}$  shares of both inputs are required. This is a direct result of the *t*-SNI property of  $G_3$ : the simulation of a *t*-SNI gadget can be performed independent of the number of probed output shares. As a direct result, the propagation of output shares to the input shares is stopped. The simulation succeeds on a column-level as  $G_2$  produces *m* independent outputs and  $t_{G_3}$  shares of *m* independent columns are required Secondly, the simulation of  $t_{G_2}$  internal and  $o_{G_2}$  output probes on gadget  $G_2$  requires  $t_{G_2} + o_{G_2}$  shares of its input, as it is *t*-NI. Finally, due to the *t*-SNI property of gadget  $G_1$ ,  $t_{G_1}$  input shares. Finally, we sum up the required shares of the inputs for simulation of all gadgets |I|. As  $|I| = t_{G_1} + t_{G_2} + o_{G_2} + t_{G_3} \leq t_{A_3}$  and independent from |O|, Algorithm 3 is *t*-SNI.

Finally, we mechanically verify that the SecQuad gadget satisfies the *t*-SNI notion at first- and second-order, using maskVerif.

#### 4.4 Other Auxiliary Gadgets

4.4.1 FullAdd (*Alg. 7*). For securely unmasking sensitive values and making them public, e.g. the signature after signing, we rely on the FullAdd gadget. Its two main steps are a *strong* (free-*t*-SNI) mask refreshing and combining all shares. The free-*t*-SNI notion allows for the simulation of all outputs of the refresh ( $y_i$ ) with all but one share of the input ( $x_i$ ), and the unmasked value y [17]. As a result, the subsequent unmasking (which involves all shares) can be perfectly simulated. In contrast, standard *t*-(S)NI refresh would result in unsound simulation as all shares of its input would be required, which is not probing secure. It is shown in [17] that the *t*-SNI refresh in [6] also satisfies the free-*t*-SNI notion. We refer to [17, 18] for the security proof of its *t*-NIo with public output *y* notion.

4.4.2 SecRowEch & SecBackSub (Alg. 8 & 9). A method for solving a masked system of linear equations using (masked) Gaussian elimination with back substitution was proposed in [37]. We recall the SecRowEch and SecBackSub gadgets in Appendix C. Their approach relies on converting a shared matrix ( $\mathbf{T}_i$ ) to its row-echelon representation by making leading pivot-elements 1. If the matrix is invertible, and thus has a unique solution x, it can be found by performing back substitution on the reduced matrix. We refer to the original work for the complexity and security analysis, including their *t*-NIo security proofs. Additionally, we integrate the early stop during the initial phase of masked gaussian elimination to improve performance, as proposed in the UOV specification [11]. As a result, only a few instead of all rows are conditionally added to the pivot row in an attempt to make it non-zero.

### 5 MASKING UOV AT ARBITRARY ORDER

In this section, we combine the different masked gadgets described in Sec. 4 to design masked components of UOV [11]. The main algorithms are masked key generation (mCompactKeyGen, Alg. 4), secret key expansion (mExpandSK, Alg. 5) and signing (mSign, Alg. 6). As the signature verification procedure operates only on public values, no masking is required.

### 5.1 Masked UOV (Compact) Key Generation

The compact key generation of UOV is used to generate the compact public key *cpk* and compact secret key *csk*. Our approach consists of splitting secret key components and derived (ephemeral) secrets into multiple shares and performing their operations in a masked fashion. Our masking strategy is formally described in Algorithm 4.

When masked, the compact secret key csk is defined as  $(seed_{pk}, (seed_{sk,i})_{1 \le i \le n})$  with the secret-key component  $seed_{sk}$  returned as a Boolean sharing. Each share is a randomly sampled binary string of length sk\_seed\_len. Since the Round 2 specification, the public seed is derived from the (shared) secret key seed. Both compact secret key components are used to compute the upper-triangluar matrix  $\mathbf{P}_{j}^{(3)}$ , which is unmasked after computation and returned as part of the compact public key  $cpk = (seed_{pk}, \{\mathbf{P}_{j}^{(3)}\}_{j \in [m]})$ . This procedure is explained below.

Algorithm 4: mCompactKeyGen	
Result: Compact public key	
and Boolean shared compact se	cret key ( <i>cpk</i> , <i>csk</i> )
1 (seed <sub>sk,i</sub> ) <sub>1 \le i \le n</sub> $\leftarrow$ {0,1} <sup>sk_seed_len</sup>	
<sup>2</sup> (seed <sub>pk</sub> ,( $\mathbf{O}_i$ )) := mExpand <sub>sk</sub> ((seed <sub>sk</sub> ,i))	/* $0_i \!\in\! \mathbb{F}_q^{l  imes m}$ */
$\{\mathbf{P}_{j}^{(1)}, \mathbf{P}_{j}^{(2)}\}_{j \in [m]} := Expand_{\mathbf{P}}(seed_{pk})$	/* $\mathbf{P}_{j}^{(1)} \in \mathbb{F}_{q}^{l  imes l}$ */
4 $(\mathbf{Q}_i) := \text{SecREF}((\mathbf{O}_i))$	/* $\mathbf{P}_{j}^{(2)} \in \mathbb{F}_{q}^{l  imes m}$ */
<b>5</b> for $j = 1$ upto m do	
$ 6   (\mathbf{A}_i) := (-\mathbf{P}_j^{(1)}\mathbf{O}_i)$	/* $\mathbf{A}_i \in \mathbb{F}_q^{l  imes m}$ */
7 $\mathbf{A}_1 = \mathbf{A}_1 - \mathbf{P}_j^{(2)}$	
s for $k=1$ upto m do	
9 $  (\mathbf{B}[:,k]_i) = \operatorname{SecMatVec}((\mathbf{A}_i^T),(\mathbf{Q}[$	$(k_{i},k_{i}))$
10 $(\mathbf{C}_i) := Upper(\mathbf{B}_i)$	/* $C_i \in \mathbb{F}_q^{m \times m}$ */
$\mathbf{P}_{j}^{(3)} := FullAdd((\mathbf{C}_{i}))$	
12 return $(cpk = (seed_{pk}, \left\{\mathbf{P}_{j}^{(3)}\right\}_{j \in [m]}), cs$	$k = (\text{seed}_{\text{sk},i})_{1 \le i \le n}$

**Generation of O.** The shares of the secret matrix **O** are obtained by expanding the masked seed  $((seed_{sk,i})_{1 \le i \le n})$  using the masked PRNG mExpand<sub>sk</sub> in Line 2. The masked PRNG is instantiated using masked shake256(), derived from the Keccak primitive, and produces Boolean shares (**O**<sub>i</sub>). Additionally, the public key seed seed<sub>pk</sub> is derived in this step, but can be unmasked and made public after its generation. **Computation of**  $\{\mathbf{A}_j\}_{j \in [m]} = \{-\mathbf{P}_j^{(1)}\mathbf{O} - \mathbf{P}_j^{(2)}\}_{j \in [m]}$ . The *m* uppertriangular matrices  $\mathbf{P}_j$  consist of three sub-matrices. The first two  $\{\mathbf{P}_j^{(1)}, \mathbf{P}_j^{(2)}\}_{j \in [m]}$  can be computed in the clear (Line 3), and are used to compute the third  $\{\mathbf{P}_j^{(3)}\}_{j \in [m]}$ . The first step is to compute *m* matrices  $\{\mathbf{A}_j\}_{j \in [m]}$  in a masked fashion (Line 6). During each of the *m* iterations, only share-wise (linear) matrix multiplication and subtraction are required. The public matrix  $\mathbf{P}_j^{(1)}$  is multiplied with each share of secret matrix ( $\mathbf{O}_i$ ). As sub-matrix  $\mathbf{P}_j^{(2)}$  is also public, it is only subtracted from one (first) share of each  $\mathbf{A}_j$  (Line 7).

**Computation of**  $\{\mathbf{B}_j\}_{j \in [m]} = \{\mathbf{O}^T \mathbf{A}_j\}_{j \in [m]}$ . The second step is to compute *m* matrices  $\{\mathbf{B}_j\}_{j \in [m]}$  in a masked fashion, which requires multiplying two masked matrices. Each of the resulting *m* sub-matrices is computed in a column-wise fashion, using our proposed SecMatVec gadget. This gadget securely multiplies a shared matrix  $(\mathbf{A}_i^T)$  with a shared vector  $(\mathbf{Q}[:,k]_i)$  in Line 9, which is column *k* of a masked matrix  $\mathbf{Q}$ . The matrix  $\mathbf{Q}$  is a full mask refreshing of secret matrix  $\mathbf{O}$ . We refresh one of the inputs, to ensure both input sharings of the SecMatVec gadget are independent.

**Recombining the shares of**  $\{\mathbf{P}_{j}^{(3)}\}_{j \in [m]}$ . The Upper function is applied share by share, on each of *m* matrices  $\{\mathbf{B}_{j}\}$  in Line 10. Finally, one can securely recombine the shares of each  $\mathbf{B}_{j}$  to obtain each  $\mathbf{P}_{j}^{(3)}$ , using the FullAdd gadget (Line 11). Its details are discussed in Section 4.4 and its security in a larger composition is explained below.

5.1.1 Security. To argue about the first- and high-order security of Algorithm 4, we prove it to be *t*-NIo secure with n = t + 1 shares and public output  $\{\mathbf{P}_{j}^{(3)}\}_{j \in [m]}$ , providing resistance against a probing adversary with *t* probes. The proof requires us to show how probes on intermediate and output variables in the algorithm can be perfectly simulated with only a limited set of input shares.

LEMMA 5.1. The gadget mCompactKeyGen (Algorithm 4) is t-NIo secure with public output  $\{\mathbf{P}_{j}^{(3)}\}_{i \in [m]}$ .

*Proof.* We model a single iteration *j* of Algorithm 4 as a sequence of *t*-(S)NI gadgets, which is visually shown in Figure 7. In addition to the gadgets listed in Table 3, we model the linear operations in Line 6-7 and Line 10 as *t*-NI gadgets  $G_2$  and  $G_4$ , respectively. This can be trivially shown as the operations are share-wise. Note that the algorithm is independent of the specific masked implementation used for mExpand<sub>sk</sub>, which produces a uniformly masked matrix **O**. We also consider the iterations of the loop in Line 8-9 to be independent and executed in parallel, each generating one of *m* columns. This means the probes are defined on a column level here (and not variable level) to ensure successful simulation. We summarize the inner loop into a single gadget  $G_3$ .

We complete the full proof in two steps: we first prove the composition of gadgets  $G_1 - G_4$  to be *t*-SNI. Finally, we prove the full Algorithm 4 to be *t*-NIo, thanks to the final gadget  $G_5$  (FullAdd). *Part I*: As shown in Figure 7, an adversary can place a number of probes at the output  $(o_{G_i})$  and internally  $(t_{G_i})$  in each gadget  $G_i$ . The number of probes of gadget  $G_1$ - $G_4$  of Algorithm 4 are defined as  $t_{A_4}$ and output shares |O| with  $t_{A_4} = \sum_{i=1}^4 t_{G_i} + \sum_{i=1}^3 o_{G_i}$ ,  $|O| = o_{G_4}$ .



Figure 7: An abstract diagram of an iteration j in mCompactKeyGen (Alg. 4). The *t*-NI gadgets are depicted with a single border, the *t*-SNI gadgets with a double border.

We now prove Part *I* of Lemma 5.1 by showing that the internal and output probes can be perfectly simulated with  $\leq t_{A_4}$  of the input shares ( $\mathbf{O}_i$ ), and is independent of |O|. To simulate the internal probes and output shares of gadgets  $G_3$  and  $G_4$ , we require  $t_{G_3}$  shares of both inputs of  $G_3$ . This is because the *t*-SNI gadget  $G_3$  stops the propagation of probes at its output (e.g.  $G_4$ ) to the input shares. Following the flow through gadgets  $G_2$  and  $G_1$ , the simulation of  $G_1 - G_4$  of Algorithm 4 requires  $|I| = t_{G_1} + t_{G_2} + o_{G_2} + t_{G_3}$  of the input shares ( $\mathbf{O}_i$ ). Note that without *t*-SNI refresh  $G_1$ , the simulation would require at least  $2 \cdot t_{G_3}$  shares of the input and hence would not be sound. As  $|I| \leq t_{A_4}$  (no duplicate entries) and independent of  $o_{G_4}$ , the first part of Algorithm 4 is *t*-SNI.

*Part II*: Gadget  $G_5$  satisfies the *t*-NI property if the simulator has access to the public value  $\mathbf{P}_j^{(3)}$ , which is also the output of the full algorithm. As the composition of  $G_1$ - $G_4$  is *t*-SNI and  $G_5$  is *t*-NI, its composition and iteration *j* of the mCompactKeyGen algorithm is *t*-NIo with public output  $\mathbf{P}_i^{(3)}$ .

Finally, as each iteration *j* is independent and can be executed in parallel, we can summarize the gadgets in each iteration as a single gadget across all iterations. As a result, the entire Alg. 4 is *t*-NIo with public output  $\{\mathbf{P}_{j}^{(3)}\}_{i\in [m]}$ .

We verify the *t*-SNI property (Part I) of gadgets  $G_1$ - $G_4$  using maskVerif, at first- and second-order. Due to the tool's limitation with handling the NIo notion, we are not able to mechanically verify the full composition in Algorithm 4.

#### 5.2 Masked UOV Secret Key Expansion

The secret key expansion in UOV derives the expanded secret key *esk*, as used during signing, from the compact secret key *csk*. We propose our masking approach in Algorithm 5. Our strategy consists of using the shared compact secret key to generate the shared expanded key in a masked fashion.

Again, the sensitive secret key *csk* contains the Boolean masked  $(seed_{sk,i})_{1 \le i \le n}$ . It is used to compute the masked expanded secret key components: matrix (**O**<sub>i</sub>) and matrices  $\{(\mathbf{S}_{j,i})_{1 \le i \le n}\}_{j \in [m]}$ .

**Generation of O and**  $\{\mathbf{P}_{j}^{(1)}, \mathbf{P}_{j}^{(2)}\}_{j \in [m]}$ . We refer to Section 5.1, as this procedure (Line 1 - 2) is identical in mCompactKeyGen.

Computation of  $\{\mathbf{S}_j\}_{j \in [m]} = \{(\mathbf{P}_j^{(1)} + \mathbf{P}_j^{(1)T})\mathbf{O} + \mathbf{P}_j^{(2)}\}_{j \in [m]}$ . The sequence of matrices  $\{\mathbf{S}_j\}_{j \in [m]}$  is computed in a masked fashion, by performing share-wise matrix multiplication and addition. Both  $\{\mathbf{P}_j^{(1)}, \mathbf{P}_j^{(2)}\}_{j \in [m]}$  are public values: the sum of  $\mathbf{P}_j^{(1)}$  and its transpose is first multiplied with each share of matrix ( $\mathbf{O}_i$ ) (Line 4). Subsequently,  $\mathbf{P}_j^{(2)}$  is added to the first share, to obtain the final *m* matrices  $\{(\mathbf{S}_{j,i})_{1 \le i \le n}\}_{j \in [m]}$  (Line 5).

Algorithm 5: mExpandSK Data: Boolean shared compact secret key  $csk = (seed_{sk,i})_{1 \le i \le n}$ Result: Boolean shared expanded secret key esk1  $(seed_{pk}, (\mathbf{O}_i)) := mExpand_{sk}((seed_{sk,i}))$ 2  $\{\mathbf{P}_j^{(1)}, \mathbf{P}_j^{(2)}\}_{j \in [m]} := Expand_{\mathbf{P}}(seed_{pk})$ 3 for j = 1 upto m do 4  $(\mathbf{S}_{j,i})_{1 \le i \le n} := ((\mathbf{P}_j^{(1)} + \mathbf{P}_j^{(1)T})\mathbf{O}_i) /* \mathbf{S}_{j,i} \in \mathbb{F}_q^{l \times m} */$ 5  $\begin{bmatrix} \mathbf{S}_{j,1} = \mathbf{S}_{j,1} + \mathbf{P}_j^{(2)} \\ \mathbf{S}_{j,1} = \mathbf{S}_{j,1} + \mathbf{P}_j^{(2)} \end{bmatrix}$ 6 return  $esk = ((seed_{sk,i}), (\mathbf{O}_i), \{\mathbf{P}_j^{(1)}, (\mathbf{S}_{j,i})_{1 \le i \le n}\}_{j \in [m]})$ 

*5.2.1 Security.* To argue about the first- and high-order security of Algorithm 5, we prove it to be *t*-NI secure with n = t + 1 shares, providing resistance against a probing adversary with *t* probes.

LEMMA 5.2. The gadget mExpandSK (Algorithm 5) is t-NI secure.

*Proof.* This is a direct result that the operations in a single iteration (multiplication and addition) are linear and performed share-wise (*t*-NI). If an attacker places *t* probes across different (independent) iterations, the intermediate values can be simulated with no more number of shares of the input ( $O_i$ ).

The composition of Algorithm 5 is mechanically verified to be *t*-NI secure at first- and second-order, using maskVerif.

### 5.3 Masked UOV Signature Generation

The UOV signing procedure generates a valid signature  $\sigma$  of an incoming message  $\mu$  via rejection sampling. As the computation involves the expanded secret key *esk*, we propose to split all secret key and ephemeral components into multiple shares. All computations are performed in a masked manner, as described in Algorithm 6.

Following its expansion (see previous section), the expanded secret key consists of three Boolean shared components: (seed<sub>sk,i</sub>), ( $\mathbf{O}_i$ ) and {( $\mathbf{S}_{j,i}$ )<sub>1 \le i \le n</sub>}<sub>*j* ∈ [*m*]</sub>. The secret (seed<sub>sk,i</sub>) is used to derive the vinegar vector ( $\mathbf{v}_i$ ). In combination with the public matrices { $\mathbf{P}_j^{(1)}$ }<sub>*j* ∈ [*m*]</sub>, all components are used to securely compute the unmasked s. Together with a uniformly random string (salt), they form the signature  $\sigma$  = (s,salt).

**Generation of v.** The shares of the secret vinegar vector **v** are sampled from a masked PRNG mExpand<sub>v</sub> in Line 4, based on the message  $\mu$ , the masked secret seed (seed<sub>sk,i</sub>), a counter and random salt. It is instantiated with a masked shake256(), producing the Boolean shared (**v**<sub>i</sub>).

**Computation of**  $\mathbf{L} = \mathbf{v}^T \mathbf{S}$ . We compute the Boolean shared matrix ( $\mathbf{L}_i$ ) in a column-wise fashion in Line 5-6. The *m* Boolean shared matrices  $\{(\mathbf{S}_{j,i})_{1 \le i \le n}\}_{j \in [m]}$  are multiplied with Boolean shared vector ( $\mathbf{v}_i$ ), using the SecMatVec gadget. We rely on the transpose property  $\mathbf{L}^T = (\mathbf{v}^T \mathbf{S})^T = \mathbf{S}^T \mathbf{v}$ .

**Computation of**  $\mathbf{y} = [\mathbf{v}^T \mathbf{P}_j^{(1)} \mathbf{v}]_{j \in [m]}$ . We propose to compute the Boolean masked vector  $(\mathbf{y}_i)$  using the previously introduced gadget SecQuad (Line 7). Th public matrices  $\mathbf{P}_j^{(1)}]_{j \in [m]}$  are first multiplied with the Boolean shared vector  $(\mathbf{v}_i)$  and then again with its transpose to obtain  $(\mathbf{y}_i)$ .

Algorithm 6: mSign Data: 1. Boolean shared expanded secret key  $esk = ((seed_{sk,i}), (\mathbf{O}_i), \{\mathbf{P}_i^{(1)}, (\mathbf{S}_{j,i})_{1 \le i \le n}\}_{j \in [m]})$ 2. Message  $\mu$ **Result:** Signature  $\sigma$ 1 salt  $\leftarrow \{0,1\}^{\text{salt\_len}}$ <sup>2</sup> t:=Hash( $\mu$ ||salt) 3 **for** ctr=0 upto 255 **do**  $\begin{aligned} & (\mathbf{v}_i) := \mathsf{m}^{\mathsf{L}}\mathsf{xpand}_{\mathbf{v}}(\mu || \mathsf{salt} || (\mathsf{seed}_{\mathsf{sk},i}) || \mathsf{ctr}) \quad /* \; \mathbf{v}_i \in \mathbb{F}_q^l \; */ \\ & \mathsf{for} \; j = 1 \; upto \; m \; \mathsf{do} \qquad /* \; \mathsf{L}^T \; = \; (\mathbf{v}^T \mathsf{S})^T \; = \; \mathsf{S}^T \mathsf{v} \; */ \\ & \ \ \left[ \; (\mathsf{L}[:,j]_i) = \mathsf{SecMatVec}((\mathsf{S}_{j,i}^T)_{1 \leq i \leq n},(\mathbf{v}_i)) \right] \end{aligned}$ 4 5 6  $(\mathbf{y}_i) := \text{SecQuad}(\{\mathbf{P}_i^{(1)}\}_{i \in [m]}, (\mathbf{v}_i)) \qquad /* \ \mathbf{y}_i \in \mathbb{F}_q^m \ */$ 7 8  $y_1 = y_1 + t$ /\*  $\mathbf{T}_i \in \mathbb{F}_q^{m \times (m+1)}$  \*/  $(\mathbf{T}_i) := \text{SecRowEch}((\mathbf{L}_i), (\mathbf{y}_i))$ 9 if  $(T_i) \neq \perp$  then /\*  $\mathbf{x} \in \mathbb{F}_q^m$  \*/  $\mathbf{x} := \text{SecBackSub}((\mathbf{T}_i))$ 11  $(\mathbf{u}_i) := (\mathbf{v}_i + \mathbf{O}_i \mathbf{x})$ 12  $/* \mathbf{w} \in \mathbb{F}_{q}^{l} */$  $\mathbf{w} := \mathsf{FullAdd}((\mathbf{u}_i))$ 13 14 x return  $\sigma = (s, salt)$ 16 **return**⊥

**Solving Lx** = t – y. The system of linear equations is solved using masked Gaussian elimination, using the techniques introduced in [37]. The Boolean shared matrix ( $\mathbf{L}_i$ ) is first converted to its row-echelon form (SecRowEch, Line 9). Finally, if the resulting (extended) matrix ( $\mathbf{T}_i$ ) has a non-zero pivot element in each row, the system is back substituted and the public result **x** is obtained (SecBackSub, Line 11). We securely unmask and make the output public, as it is a part of the public signature **s** (Line 15).

**Computation and unmasking of w.** The second part of the signature, **w**, is computed in a share-wise fashion: each share of  $(\mathbf{v}_i)$  is added to the product of the public vector **x** and Boolean shared matrix  $(\mathbf{O}_i)$  in Line 12. Finally, the resulting shares are securely combined (FullAdd, Line 13) and the vector **w** is made public as part of the signature **s**.

*5.3.1 Security.* We now discuss the first- and high-order security of Algorithm 6 and prove it to be *t*-NIo secure with n = t+1 shares and public outputs s and c. The signature s is public, while c is made public by gadget SecRowEch and indicates if all pivot-elements are non-zero. As a result, our masked algorithm provides resistance against a probing adversary with *t* probes.

LEMMA 5.3. The gadget mSign (Algorithm 6) is t-NIo secure with public outputs s(w,x) and c.

*Proof.* We model a single iteration of Algorithm 6 as a composition of t-(S)NI gadgets, which is visually shown in Figure 8. Apart from the gadgets listed in Table 3, we model the share-wise operations in Line 8 and 12 as t-NI gadgets  $G_3$  and  $G_5$ , respectively. It is trivial to show that linear operations are t-NI. We also model all iterations



Figure 8: An abstract diagram of an iteration ctr in mSign (Alg. 6). The *t*-NI gadgets are depicted with a single border, the *t*-SNI gadgets with a double border.

in the inner loop (Line 5-6) as a single *t*-SNI gadget  $G_1$ . As the iterations are independent and we define probes on a column level, the simulation is successful. Each iteration produces one of *m* independent columns of ( $\mathbf{T}_i$ ) and is assumed to be executed in parallel. We note that the algorithm and its security proof are independent of the specific masked implementation used for the PRNG mExpand<sub>v</sub>. An adversary can probe any intermediate values in any gadget ( $t_{G_i}$ ), and their output shares  $o_{G_i}$ . The total number of probes in Algorithm 6 is  $t_{A_6} = \sum_{i=1}^7 t_{G_i} + \sum_{i=1}^5 o_{G_i}$ .

We now show that all probes in a single iteration of mSign can be simulated with no more number of shares of its inputs  $(|I|): |I| \le t_{A_6}$ if the simulator has access to  $\mathbf{x}, \mathbf{w}$  and  $\mathbf{c}$ . The simulation of  $t_{G_6}$  and  $t_{G_7}$  intermediate probes requires an equal amount of shares of the outputs of  $G_4$  and  $G_5$ , respectively. This is due to the *t*-NI property of both gadgets. Similarly, the simulation of  $t_{G_4} + o_{G_4}$  probes requires  $t_{G_4} + o_{G_4}$  shares of both the output of  $G_3$  and  $G_1$ , and giving the simulator access to  $\mathbf{c}$ . The simulation of  $t_{G_5} + o_{G_5}$  probes requires the same amount of shares of inputs  $(\mathbf{v}_i)$  and  $(\mathbf{O}_i)$ . Due the *t*-SNI property of  $G_1$  and  $G_2$ , the simulation of probed intermediate values and output shares only requires  $t_{G_1}$  and  $t_{G_2}$  shares of inputs  $\{(\mathbf{S}_{J,i}^T)\}_{j \in [m]}$ and/or  $(\mathbf{v}_i)$ , respectively. We now follow the flow from the output to the input and sum all required shares of the input for simulation of Algorithm 6:  $|I| = t_{G_1} + t_{G_2} + t_{G_5} + o_{G_5} + t_{G_7} \le t_{A_6}$ . As a result, the iteration is *t*-NI secure with public outputs  $\mathbf{s}$  and  $\mathbf{c}$ .

Finally, we note that the signing procedure only requires multiple iterations if the system of linear equations is unsolvable and no unique solution  $\mathbf{x}$  can be found. In that case, all masked computations are performed again using a new vinegar vector ( $\mathbf{v}_i$ ) and thus are different from the previous iteration. As different iterations are independent, the entire outer loop (Line 3-15) is also *t*-NI secure with public outputs  $\mathbf{s}$  and  $\mathbf{c}$ .

We verify the *t*-SNI property of the composition of gadgets  $G_1$ ,  $G_2 \& G_5$  using maskVerif, at first- and second-order. Due to the tool's limitation with handling the NIo notion, we are not able to mechanically verify the full composition in Algorithm 6.

### **6** IMPLEMENTATION AND EVALUATION

We complement our theoretical analysis from Section 4 & 5 with a practical side-channel leakage evaluation and performance analysis of our masked implementation of UOV.

#### 6.1 Practical Security Evaluation

In this section we complement our theoretical and formal security analysis of the proposed gadgets with a practical side-channel leakage evaluation on a real-world device. We confirm the theoretical results of the previous sections and demonstrate how our proposed techniques lead to efficient and practically secure implementations on real-world devices.

6.1.1 Micro-Architectural Effects & Compiler Optimization. To ensure our implementation is secure, we take explicit measures to mitigate side-channel leakage due to micro-architectural effects, such as transitional leakage in memory elements. This effect leads to unexpected leakages and is a result of successively writing both shares  $(r \text{ and } x \oplus r)$  of a (first-order masked) sensitive variable x into one (pipeline) register or ALU unit. This will cause the power consumption of the device to be correlated to the original secret x, as HD $(x \oplus r, r) = HW(x)$ . We carefully craft and deploy various *clearing* routines, written in assembly, to mitigate leakages due to micro-architectural effects. They pre-load affected memory elements with a random value, before loading the second share, to break such secret dependencies.

Prior work typically relies on turning off compiler optimizations (-o0) to ensure masking countermeasures (written in C) are not optimized away [4, 52], at the cost of performance degradation. For example, allowing (aggressive) compiler optimizations might result in the removal of *intentional* dummy-operations or re-ordering of instructions. However, as demonstrated below, we find our implementation remains secure when using compiler optimization flag -o3, allowing for high optimization. By ensuring the compiler does not re-order any (security-critical) operations through the compiler flags -fno-schedule-insns and -fno-schedule-insns2, the resulting machine code is efficient and remains secure.

6.1.2 Measurement Setup. We conduct our measurements from the dedicated measurement port on a NewAE CW308 UFO board with an STM32F415RG Arm Cortex-M4<sup>1</sup> microcontroller as target. We supply the target board with an external 8 MHz clock and configure it to internally run at a 24 MHz operating frequency. For trace acquisition, we use a 6426E PicoScope with 12-bit resolution and the sampling rate set to 125 MS/s. We synchronize the oscilloscope with the external clock during all measurements and use the on-chip TRNG for on-the-fly randomness generation. The micro-controller sends a trigger signal before (and after) the target operation, indicated by vertical red lines in each figure below. The initial sharings are computed randomly and sent directly to the micro-controller. As is common practice, we choose a reduced (UOV) parameter set (m = 4, n = 10) for our practical security evaluation to ensure practical feasibility. The operations performed in all gadgets are not impacted by the choice of *m* or *n* and only the amount of iterations are.

6.1.3 TVLA Methodology. The side-channel security of our masked implementation is evaluated using the Test Vector Leakage Assessment (TVLA) methodology [25] and the *non-specific*, *fixed vs. random t*-test statistic. More specifically, power measurements are taken from the target device which is operating on either a fixed or random input, in a random fashion. Subsequently, two sets of power traces are constructed:  $S_f$  and  $S_r$ . Finally, the Welch's two-tailed *t*-test is computed for each sample point in the trace to determine if the masking countermeasure is secure. If the *t*-value doesn't exceed a threshold value, typically ±4.5, the samples from both sets cannot be distinguished with high confidence ( $\alpha = 0.01$ ), i.e. no leakage. We recall that this threshold value is not universal, as computing

<sup>&</sup>lt;sup>1</sup>arm-none-eabi-gcc 10.3.1 20210621

the *t*-value on many samples (long traces) will, with high probability, introduce false positives which exceed  $\pm 4.5$  (see [21, Table 1] and [4, Appendix A]). Below, we adapt the threshold value for each experiment accordingly (Appendix E).

6.1.4 Results. The first-order TVLA results with RNG ON for the SecDotProd, SecMatVec and SecQuad gadgets are shown in Figure 9. The results confirm our theoretical expectation, as the *t*-value does not cross the threshold value after acquiring one million traces and thus the implementations under test are considered secure. Additionally, we include the mean power traces and first-order statistical moments with the masking countermeasure disabled (randomness set to zero) in Appendix E (Fig. 12 - 14). For SecDotProd, significant leakages can be detected with only 50 thousand traces, guaranteeing the soundness of our set-up.



#### (c) SecQuad

Figure 9: TVLA analysis of  $1^{st}$ -order SecDotProd, SecMatVec & SecQuad (RNG ON) with 1M traces. The *t*-test threshold is marked by red lines.

Additionally, we adapt the implementation of [37] for solving the system of linear equations in the masked signing procedure, with early stop (Section 4.4). Figure 10 shows the first-order TVLA results of masked Gaussian elimination. We successfully apply our methodology to remove leakages due to micro-architectural effects and do not allow the compiler to re-order instructions. As can be seen, no leakage is detected after acquiring 100K traces, while significant leakages are detected with our countermeasure turned off after 1K traces (Fig. 10c).

In summary, we have successfully demonstrated how our masked gadgets (Section 4 & 5) result in efficient and first-order secure implementations on the Arm Cortex-M4. We find minimal performance overhead can be achieved through enabling compiler optimizations, without impacting side-channel security in practice. We leave the investigating of the applicability of aggressive compiler optimization (-o3) without instruction re-ordering to other masked implementations as future work.



Figure 10: TVLA analysis of  $1^{st}$ -order SecRowEch [37]. Mean trace and *t*-test results with RNG ON (100K traces) and RNG OFF (1K traces). The  $\pm$  5.423 threshold is marked by red lines.

#### 6.2 Performance Evaluation and Comparison

This section presents the implementation results of masked UOV algorithms and compares them with the state-of-the-art post-quantum masked digital signature algorithms. We have used a DELL Latitude E7470 laptop with an Intel (R) Core (TM) i7-6600 CPU running at 2.60 GHz and the GCC 6.5 compiler with optimization flag 03 to calculate the performance of our algorithms in cpucycle counts.

The performance of our masked key generation and masked signature generation of the UOV schemes is presented in Table 4. We have implemented and documented the performance results for the UOV parameter sets UOV-Ip and UOV-III, the pkc variant. However, our code can be extended to other variants of the UOV scheme. The performance overhead factor in the masked key generation of UOV-Ip for the first-, second-, and third-order are 13×, 38×, and 62×, respectively. The masked signature generation algorithm of UOV-Ip has 12×, 48×, and 78× overhead for first-, second-, and thirdorder masking. These overhead factors for the masked algorithms of UOV-III are similar to or slightly larger than those of UOV-Ip.

We also compare the performance result of the masked signature generation of UOV-Ip with the masked signature generation of Dilithium and Falcon in Table 4. We also compare the performance result of the masked signature generation of UOV-Ip with the state-of-the-art masked signature generation of Dilithium [14] and Falcon [8] in Table 4. Although the unmasked signature generation of the NIST standard Dilithium2 and UOV-Ip requires a similar amount of CPU cycles, the first-order, second-order, and third-order masked signature generation of UOV-Ip perform, respectively, 62%, 19%, and 25% better than Dilithium2. For easier visualization, we

		Key-generati	on (1000× cpucycle	es)		<b>Sign (</b> 1000× cp	ucycles or secor	nds)
Scheme	unmasked		Masked		unmasked		Masked	
		1st	2nd	3rd		1st	2nd	3rd
UOV-Ip [TW]	58,772	791,871 (13×)	2,215,190 (38×)	36,616,77 (62×)	1,268	15,490 (12×)	61,420 (48×)	99,469 (78×)
Dilithium2 [14]	-	-	-	-	1,228	40,368 (33×)	76,173 (62×)	133,508 (109×)
Falcon 512* [8]	-	-	-	-	-	3.199 s	6.368 s	-
UOV-III [TW]	370,483	5,670,203 (15×)	16,241,454 (44×)	25,509,566 (69×)	5,064	62,110 (12×)	259,969 (51×)	415,293 (82×)

Table 4: Comparing the performance of masked implementations of UOV with the state-of-the-art PQ masked digital signature schemes. The performances are in  $1000 \times$  cpucycles unless otherwise mentioned.

\*: The performances are in seconds as the processor frequency was not provided. Except this, all others are measured using the same laptop.

compare the execution time (in seconds) of first-, second-, and thirdorder masked signature generations of UOV-Ip with Dilithium2 in Figure 11. The masked signature generation of UOV compared to Falcon 512 performs 99.8% better for first-order and 99.6% better for second-order masking.



Figure 11: Comparison of masked UOV-Ip with Dilithium2

Finally, we also want to note that our primary objective in this work was to design the masking algorithms and provide theoretical and empirical proofs for security. Our implementations can be considered as a small improvement over proof-of-concept and not a fully optimized version. Therefore the efficiency can be improved further. This is in contrast to ML-DSA, which, due to its popularity, has gone through a larger cycle of optimizations and improvements. Further, we would like to note that our approach is not limited to the UOV scheme but can be extended to other UOV-based multivariate schemes, such as Mayo, QR-UOV, SNOVA, and MQ-Sign.

### 7 IMPACT AND DISCUSSIONS

Resource-constrained devices such as IoT, sensor nodes, medical monitoring devices, etc., usually operate under very stringent operational constraints [31]. Throughout this work, we shed light on another important aspect of deploying PQC in the real world, especially on RCD: masking PQ DSA schemes. Due to the ubiquitous nature of RCDs, they are often easy targets for SCA adversaries. One such compromised device can jeopardize the security of the whole network. Therefore, from the perspective of widespread deployment, it is crucial to protect these devices from such adversaries. However, due to their limited resources, it is also difficult to incorporate sophisticated and strong SCA countermeasures.

However, the public key (verification key) is quite large for UOV and its MQE-based variants. As mentioned in Section 1, for the Round 2 specification of UOV [11], the signature size is 96 bytes, yet the verification key is approximately 66 KB. Therefore, UOV is particularly useful where the public key does not need to be transmitted, or in a hybrid with other PQ DSAs. In many cases, public keys can be pre-distributed to reduce the overhead as the work [45] suggests. Alternatively, following the example (TLS handshake) given in [50], a minimum of two public keys (public key of intermediate and terminal server) need to be transmitted along with five signatures (certificates of root, intermediate, and leaf certificates along with two signed certificates timestamps according to current standards), assuming only one intermediate certificate. Since root servers and signed certificate timestamps do not carry their public keys during handshake, one can use UOV DSA there, and ML-DSA can be used in the rest of the fields. This reduces the required bandwidth during the TLS handshake to 7.2 KB from 14.7 KB if ML-DSA is used everywhere.

In constrained radio networks, ephemeral Diffie-Hellman over COSE (EDHOC) [46] is a lightweight Diffie-Hellman key-exchange protocol used in RCD design by the Internet Engineering Task Force (IETF). In typical use cases it needs to send 3 messages of size 37, 45, and 19 bytes (total 101 bytes) using classical cryptography. If a combination of ML-KEM [35] with ML-DSA [33] is used, these sizes would be 773, 3194, and 2433 bytes or 6400 bytes in total. However, a hybrid of ML-KEM and UOV reduces the sizes of the messages to 773, 870, and 109 bytes or 1752 bytes in total. Although the large size of ML-KEM dominates the total size in the latter case it still offers approximately 3.6x improvement over the ML-KEM + ML-DSA hybrid. Another protocol used in CRN and also designed by IETF is Group OSCORE [48], which provides end-to-end security for group communications in RCD. Here, a non-interactive key-exchange (NIKE) is used. Therefore, the payload size is equal to the signature size in addition to some headers. In this protocol and others where the public key is not sent while sending the certificate and signing is performed on the RCD, using UOV DSA has almost similar overhead as compared to the classical signatures and a clear advantage over other PQ DSAs.

Our results show that in the context of masking on RCD, UOV is a far better alternative than ML-DSA and a strong candidate for easing PQ DSA migration. Although several works [20, 31, 38] mentioned that the energy cost for computations is less energy intensive than radio transmission, an overhead of (33-109×) for masked implementations is significant. UOV with smaller overhead has much better leeway to provide higher-order security for a similar cost in energy. It also leaves room to incorporate other types of countermeasures, such as duplication countermeasures, to prevent fault injection attacks. **Acknowledgements.** This work was partially supported by Horizon 2020 ERC Advanced Grant (101020005 Belfort), Horizon Europe

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#### APPENDICES

#### A Artifact & Data Availabiltiy

We make the source-code of our arbitrary-order masked implementation of UOV available, which we plan to open-source. Additionally, we make the scripts for formally verifying the security notions of our proposed gadgets at first- and second-order using maskVerif available.

#### B Proof Lemma 4.1

The security proof follows from the potential observations that a probing adversary can make. We note that probes are defined on the *coefficient*-level: the output of the gadget is a coefficient and the inputs are vectors, consisting of *l independent* coefficients. We now show that all potential observations can be perfectly simulated using a limited amount of shares of each of the *l* (independent) inputs.

Let  $\Omega = (\mathcal{I}, O)$  be a set of *t* observations made by an adversary on the internal and output values, respectively, where  $|\mathcal{I}| = t_{A_1}$ , such that  $t_{A_1} + |O| \le t$ . We construct a perfect simulator of the adversary's probes, which makes use of at most  $t_{A_1}$  shares of the secret input coefficients  $\mathbf{x}[k]$  and  $\mathbf{y}[k]$  ( $1 \le k \le l$ ).

Let  $w_1,...,w_t$  be the set of probed values. We classify the internal wires in the following groups:

- (1)  $x[k]_i, y[k]_j, x[k]_i y[k]_j$  at iteration *i*, *j*, *k*,
- (2)  $u_{ij}, u_{ij} + x[k]_i y[k]_j$  at iteration *i*, *j*, *k*,
- (3)  $x[k]_j, y[k]_i, x[k]_j y[k]_i$  at iteration *i*, *j*, *k*,
- (4)  $u_{ji}, u_{ji} + x[k]_{i}y[k]_{i}$  at iteration *i*, *j*, *k*,
- (5)  $x[k]_i, y[k]_i, x[k]_i y[k]_i$  at iteration *i*,*k*,
- (6)  $w_i, w_i + x[k]_i y[k]_i$  at iteration *i*,*k*,
- (7)  $u_{ij} + r_{ij}$  with i, j = 1, ..., t+1,

The output variables are the final values of  $(z_i)$ .

We define two arrays of sets of indices  $I_k$  and  $J_k$   $(1 \le k \le l)$  such that  $|I_k| \le t_{A_1}$  and  $|J_k| \le t_{A_1}$  and the values of the probes can be perfectly simulated given only knowledge of  $(\mathbf{x}[k]_i)_{i \in I_k}$  and  $(\mathbf{y}[k]_i)_{i \in J_k}$ . The sets are constructed as follows.

- Initially all  $I_k$  and  $J_k$  are empty  $(1 \le k \le l)$ .
- For every probe as in group (1) add *i* to  $I_k$  and *j* to  $J_k$ .
- For every probe as in group (2) and (7) add *i* to  $I_m$  and *j* to  $J_m$  with m=1,...,k.
- For every probe as in group (3) add j to  $I_k$  and i to  $J_k$ .
- For every probe as in group (4) add *j* to *I<sub>m</sub>* and *i* to *J<sub>m</sub>* with *m*=1,...,*k*.
- For every probe as in group (5) add i to  $I_k$  and  $J_k$ .
- For every probe as in group (6) add i to  $I_m$  and  $J_m$  with m=1,...,k.

An adversary is allowed to make  $t_{A_1}$  internal probes at most, thus it holds that  $|I_k| \le t_{A_1}$  and  $|J_k| \le t_{A_1}$   $(1 \le k \le l)$ .

We now construct the simulator with the probed wires denoted  $w_h$  with h = 1,...,t and show it is able to simulate any internal wire  $w_h$ . For each variable  $r_{ij}$  entering in the computation of any probe, the simulator assigns a random value.

1. For each observation as in group (1) (or (3)), by definition of  $I_k$  and  $J_k$  the simulator has access to  $x[k]_i$  and  $y[k]_j$  (or  $x[k]_j$  and  $y[k]_i$ , respectively) and thus the values are perfectly simulated.

2. For each observation as in group (2) (or (4)), by definition of  $\{I_m\}_{1 \le m \le k}$  and  $\{J_m\}_{1 \le m \le k}$  the simulator has access to  $x[m]_i$  and

 $y[m]_j$  (or  $x[m]_j$  and  $y[m]_i$ , respectively) for m = 1,...,k and thus the values are perfectly simulated.

3. For each observation as in group (5), by definition of  $I_k$  and  $J_k$  the simulator has access to  $x[k]_i$  and  $y[k]_i$  and thus the values are perfectly simulated.

4. For each observation as in group (6), by definition of  $\{I_m\}_{1 \le m \le k}$ and  $\{J_m\}_{1 \le m \le k}$  the simulator has access to  $x[m]_i$  and  $y[m]_i$  for m = 1,...,k and thus the values are perfectly simulated.

5. For each observation as in group (7), by definition of  $\{I_k\}_{1 \le k \le l}$ and  $\{J_k\}_{1 \le k \le l}$  the simulator has access to  $x[k]_i$  and  $y[k]_j$  for k = 1,...,land we distinguish three cases:

- If i = j, the simulator assigns r<sub>ii</sub> to 0 and perfectly simulates the value w<sub>h</sub> using x[k]<sub>i</sub> and y[k]<sub>i</sub> for k = 1,...,l.
- If  $j \in I$  and  $i \in J$ , then by definition the adversary has also probed uji and thus a value containing in its computation the random value  $r_{ij}$ . The simulator then perfectly simulates  $w_h$  using  $x[k]_i$  and  $y[k]_j$  for k = 1,...,l and the  $r_{ij}$  assigned previously.
- In all other cases,  $r_{ij}$  does not enter in the computation of any other probe and  $w_h$  is assigned a fresh random value and thus perfectly simulated.

We now consider the observations of the output values. We distinguish two cases:

- If an intermediate sum is also observed, then the previously probed partial sums are already simulated. By definition of the gadget, there always exists one random bit *r<sub>op</sub>* in *w<sub>h</sub>* which does not appear in the computation of any other observed element. Thus, the simulator can assign a fresh random value to *w<sub>h</sub>*.
- If no internal values have been probed by an adversary, then by definition of the gadget, each output share contains trandom values and at most one of them can enter in the computation of each other output variable  $z_i$ . An adversary may have probed t-1 other values and thus there exists one random value  $r_{op}$  in  $w_h$  which does not enter in the computation of any other observed value. The simulator can thus simulate  $w_h$  using a fresh random value, completing the proof.  $\Box$

### C Auxiliary Algorithms



Alg	Algorithm 8: SecRowEch, from [37]						
Da	<b>Data:</b> 1. A Boolean sharing $(\mathbf{A}_i)$ of matrix $\mathbf{A} \in \mathbb{F}_q^{m \times m}$ 2. A Boolean sharing $(\mathbf{b}_i)$ of the vector $\mathbf{b} \in \mathbb{F}_q^m$						
R	esult: Masked conversion to row echelon form or $\perp$						
1 ( <b>T</b>	$\mathbf{T}_i := [\mathbf{A}_i \mid \mathbf{b}_i] $ /* $\mathbf{T}_i \in \mathbb{F}_q^{m \times (m+1)}$ */						
2 <b>fo</b>	$\mathbf{r} \ j = 1 \ up to \ m \ \mathbf{do}$						
3	## Try to make pivot ( $\mathbf{T}[j,j]$ ) non-zero						
4	<b>for</b> $k = j+1$ upto m <b>do</b>						
5	$(z_i) := $ SecNonzero $((\mathbf{T}[j,j]_i))$						
6	$(z_i) = \text{SecNOT}((z_i))$						
7	$(\mathbf{T}[j,j:m+1]_i) =$						
	SecCondAdd(( $\mathbf{T}[j,j:m+1]_i$ ),( $\mathbf{T}[k,j:m+1]_i$ ),( $z_i$ ))						
8	## Check if pivot is non-zero						
9	$(t_i) := \text{SecNonzero}((\mathbf{T}_{[j,j]_i}))$						
10	$\mathbf{c}[j] := FullAdd((t_i))$						
11	if $c[j] \neq 0$ then						
12	## Multiply row <i>j</i> with the inverse of its pivot						
13	$(p_i) := B2Minv((\mathbf{T}[j,j]_i))$						
14	$(\mathbf{T}_{[j,j:m+1]_i}) = \operatorname{SecScalarMult}((\mathbf{T}_{[j,j:m+1]_i}),(p_i))$						
15	## Subtract scalar						
	multiple of row $j$ from the rows below						
16	<b>for</b> $k = j+1$ upto m <b>do</b>						
17	$(s_i) := \operatorname{SecREF}((\mathbf{T}[k,j]_i))$						
18	$(\mathbf{T}[k,j:m+1]_i) =$						
19	else return ⊥						
20 re	$turn(\mathbf{T}_i)$						

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Algorithm 9: SecBackSub, from [37]	
Data: A Boolean sharing	
$(\mathbf{T}_i) = [\mathbf{A}_i   \mathbf{b}_i]$ of matrix $\mathbf{A} \in \mathbb{F}_q^{m \times m}$ and vector $\mathbf{b} \in \mathbb{F}_q^m$ .	
<b>Result:</b> Unique, public solution $\mathbf{x} \in \mathbb{F}_q^m$ such that $\mathbf{A}\mathbf{x} = \mathbf{b}$	
1 <b>for</b> $j = m$ downto 2 <b>do</b> 2 $x[j] = FullAdd((\mathbf{b}[j]_i))$ 3 <b>for</b> $k = 1$ upto $j - 1$ <b>do</b> 4 $(\mathbf{b}[k]_i) := (\mathbf{b}[k]_i + \mathbf{x}[j] \cdot \mathbf{A}[k,j]_i)$	
5 $\mathbf{x}[1] = FullAdd((\mathbf{b}[1]_i))$	
6 return x	

### D Complexity Algorithm 6 (mSign())

The run-time and randomness complexity of mSign are:

 $T_{\text{mSign}}(l,m,n) = 1 + T_{\text{Hash}}(m,n) + \text{ctr} \cdot (T_{\text{mExpand}_n}(l,n))$ + $(m \cdot T_{\text{SecMatVec}}(l,m,n))$ + $(T_{\text{SecOuad}}(l,m,n))$  $+(m)+(T_{SecRowEch}(m,n))+(T_{SecBackSub}(m,n))$  $+(lmn+ln)+(l \cdot T_{\text{FullAdd}}(n)))$  $=1+T_{\text{Hash}}(m,n)+\text{ctr}\cdot(T_{\text{mExpand}_{p}}(l,n))$  $+(lm^2n^3-lm^2n^2+lm^2n+\frac{3}{2}m^2n^3-\frac{1}{2}m^2n^2$  $-m^2n)+(rac{3}{2}ln^2-rac{3}{2}ln)+(rac{1}{2}l^2m^2n+rac{1}{2}l^2mn)$  $+(lmn^3-lmn^2+lmn+\frac{3}{2}mn^3-\frac{1}{2}mn^2-mn)$  $+(m)+(\frac{m^2-m}{2}\cdot(((5n^2+2n-1)+\lceil \log(w+1)\rceil\cdot$  $(5n^2-n+2))+1)+\frac{2m^3+3m^2+m}{6}\cdot(5n^2-3n)+m\cdot$  $((5n^2+2n-1)+\lceil \log(w+1) \rceil \cdot (5n^2-n+2))$  $+m\cdot\frac{3n^2-n-2}{2}+m+m\cdot\frac{5n^2-5n+4}{2}$  $+\frac{m^2+3m}{2}\cdot(5n^2-3n)+\frac{m^2-m}{2}\cdot$  $\frac{3n^2 - 3n}{2} + \frac{2m^3 + 3m^2 + m}{6} \cdot \frac{7n^2 - 3n}{2})$  $+(\frac{3}{2}n^2m-\frac{3}{2}mn-m+m^2n)+(lmn+ln)$  $+((\frac{3}{2}ln^2-\frac{3}{2}ln+ln-l)),$ 

$$\begin{split} R_{\text{mSign}}(l,m,n,w) &= \operatorname{ctr} \cdot (R_{\text{mExpand}_{\textbf{0}}}(l,n,w) + (m \cdot R_{\text{SecMatVec}}(l,m,n,w)) \\ &+ (R_{\text{SecQuad}}(l,m,n,w)) + (R_{\text{SecRowEch}}(m,n,w)) \\ &+ (R_{\text{SecBackSub}}(m,n,w)) + (l \cdot R_{\text{FullAdd}}(n,w))) \\ &= \operatorname{ctr} \cdot (R_{\text{mExpand}_{\textbf{0}}}(l,n,w) + (\frac{1}{2}m^{2}n^{3}w - \frac{1}{2}m^{2}n^{2}w) \\ &+ (\frac{1}{2}ln^{2}w + \frac{1}{2}lnw) + (\frac{1}{2}mn^{3}w - \frac{1}{2}mn^{2}w) \\ &+ (\frac{m^{2}-m}{2} \cdot \frac{\lceil\log(w+1)\rceil^{2} - \lceil\log(w+1)\rceil}{2} \cdot (n^{2}-n)w + m \cdot \frac{\lceil\log(w+1)\rceil^{2} - \lceil\log(w+1)\rceil}{2} \cdot (n^{2}-n) \\ &+ m \cdot \frac{(n^{2}-n)w}{2} + m \cdot \frac{n^{2}-n}{2} \cdot w + \frac{m^{2}+3m}{2} \cdot (n^{2}-n)w + \frac{m^{2}-m}{2} \cdot (\frac{n^{2}-n}{2} \cdot w) + \frac{2m^{3}+3m^{2}+m}{6} \cdot \frac{n^{2}-n}{2} \cdot w) + (\frac{(n^{2}-n)mw}{2}) + (\frac{1}{2}ln^{2}w - \frac{1}{2}lnw)). \end{split}$$

E Other TVLA Results



Figure 12: TVLA analysis of  $1^{st}$ -order SecDotProd. Mean trace and *t*-test results with RNG ON (1M traces) and RNG OFF (50K traces). The  $\pm 4.849$  threshold is marked by red lines.



#### (b) RNG ON

Figure 13: TVLA analysis of  $1^{st}$ -order SecMatVec. Mean trace and *t*-test results with RNG ON (1M traces). The  $\pm$  5.079 threshold is marked by red lines.



### (b) RNG ON

Figure 14: TVLA analysis of 1<sup>st</sup>-order SecQuad. Mean trace and *t*-test results with RNG ON (1M traces). The  $\pm$  5.283 threshold is marked by red lines.